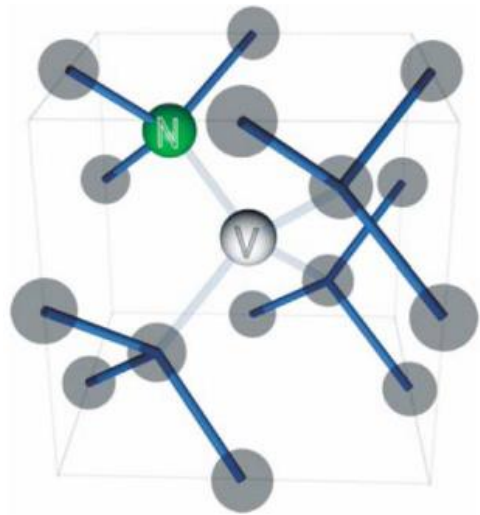


# Surface termination effects for near-surface nitrogen-vacancy (NV) centers in diamond

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Jyh-Pin Chou, Song Li, Alice Hu,  
and Adam Gali



# Nitrogen-Vacancy (NV) center in diamond

## Diamond

Chemical inertness

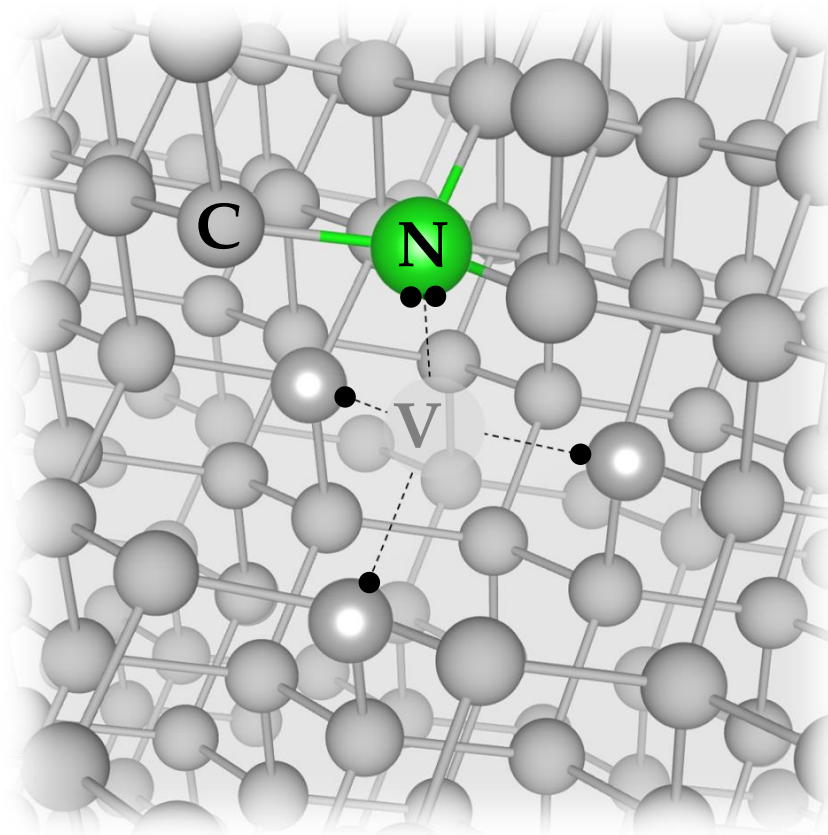
Radiation hardness

Wide bandgap (5.45 eV)

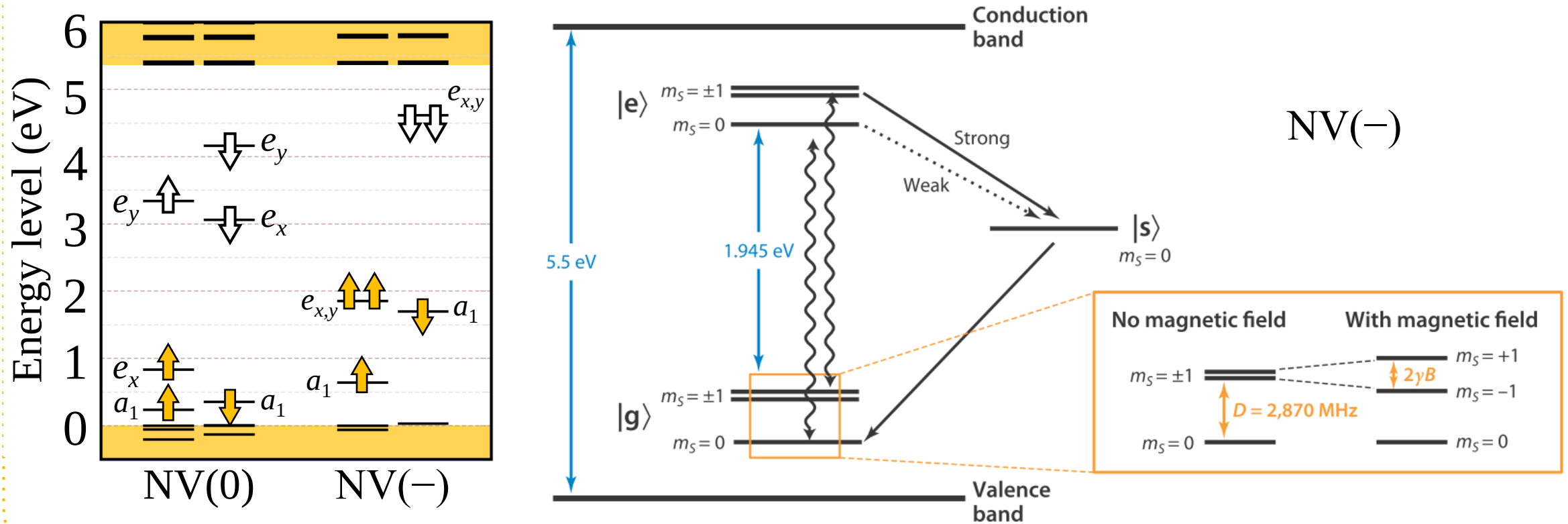
## NV in diamond

Quantum bit (qubit)

Quantum sensing



# Nitrogen-Vacancy (NV) center in diamond



Energy-level diagram of NV(-)

Annu. Rev. Phys. Chem. 2014. 65:83–105

# Quantum Sensing

## ARTICLES

<https://doi.org/10.1038/s41928-018-0130-0>

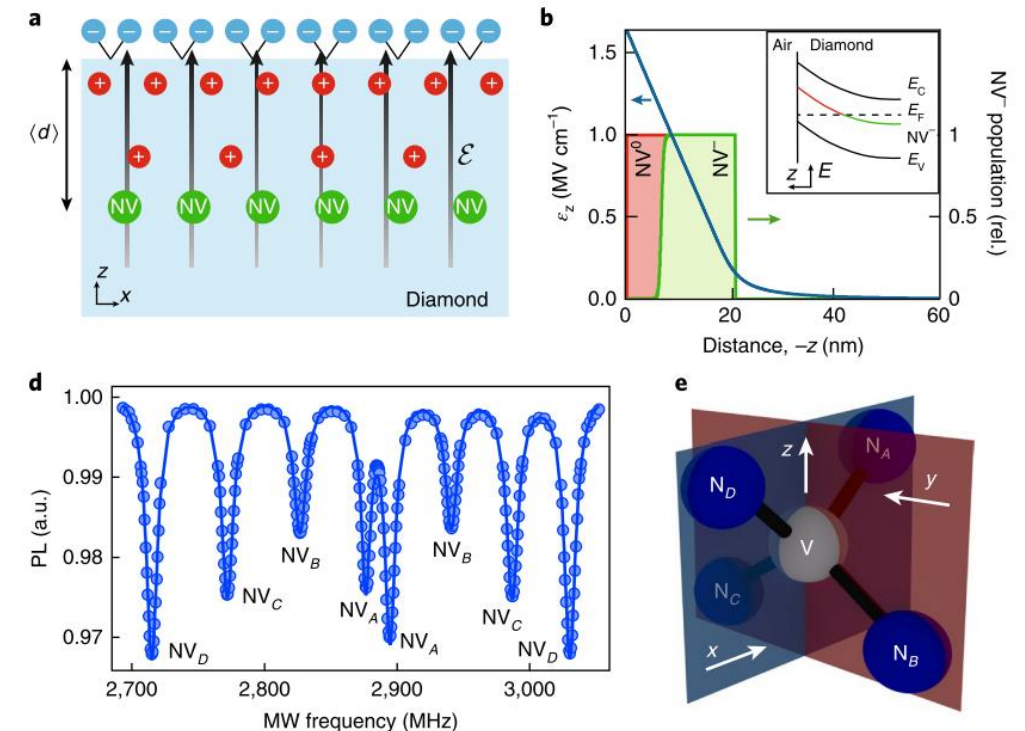
nature  
electronics

## Spatial mapping of band bending in semiconductor devices using in situ quantum sensors

D. A. Broadway<sup>1,2,5</sup>, N. Donschuk<sup>1,2,5</sup>, A. Tsai<sup>1</sup>, S. E. Lillie<sup>1,2</sup>, C. T.-K. Lew<sup>1,2</sup>, J. C. McCallum<sup>1</sup>, B. C. Johnson<sup>1,2</sup>, M. W. Doherty<sup>3</sup>, A. Stacey<sup>1,2,4</sup>, L. C. L. Hollenberg<sup>1,2\*</sup> and J.-P. Tetienne<sup>1\*</sup>

NATURE ELECTRONICS | VOL 1 | SEPTEMBER 2018 | 502–507

### **ELECTRIC FIELD SENSING**



# Quantum Sensing

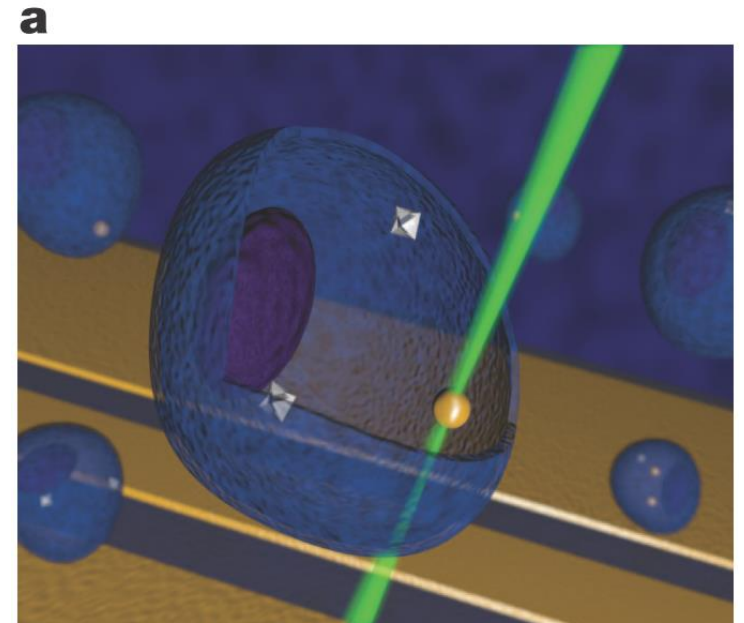
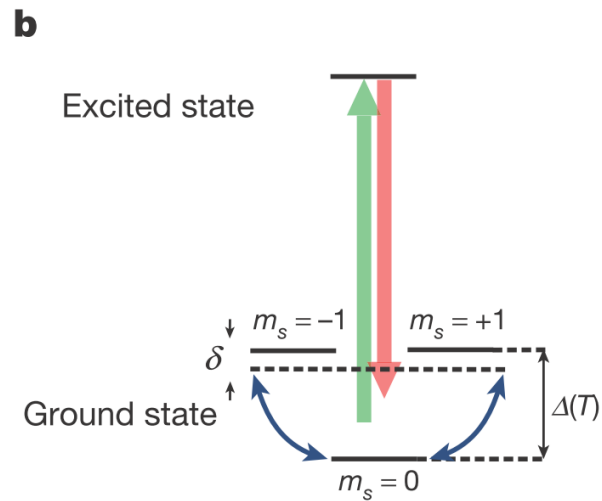
## LETTER

doi:10.1038/nature12373

### Nanometre-scale thermometry in a living cell

G. Kucsko<sup>1\*</sup>, P. C. Maurer<sup>1\*</sup>, N. Y. Yao<sup>1</sup>, M. Kubo<sup>2</sup>, H. J. Noh<sup>3</sup>, P. K. Lo<sup>4</sup>, H. Park<sup>1,2,3</sup> & M. D. Lukin<sup>1</sup>

#### TEMPERATURE SENSING



# Quantum Sensing

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Science

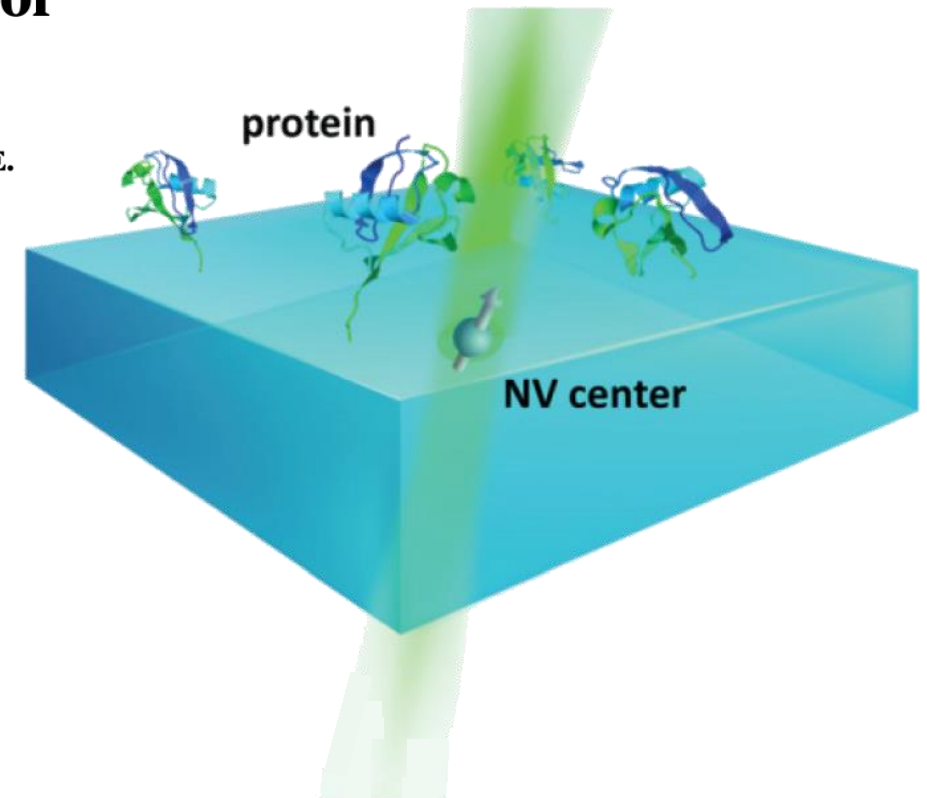
REPORTS

Cite as: I. Lovchinsky *et al.*, *Science*  
10.1126/science.aad8022 (2016).

## Nuclear magnetic resonance detection and spectroscopy of single proteins using quantum logic

I. Lovchinsky,<sup>1</sup> A. O. Sushkov,<sup>1,2\*</sup> E. Urbach,<sup>1</sup> N. P. de Leon,<sup>1,2</sup> S. Choi,<sup>1</sup> K. De Greve,<sup>1</sup> R. Evans,<sup>1</sup> R. Gertner,<sup>2</sup> E. Bersin,<sup>1</sup> C. Müller,<sup>3</sup> L. McGuinness,<sup>3</sup> F. Jelezko,<sup>3</sup> R. L. Walsworth,<sup>1,4,5</sup> H. Park,<sup>1,2,5,6†</sup> M. D. Lukin<sup>1†</sup>

High-confidence detection of individual protein and reveal information about their chemical composition.



# Quantum Sensing

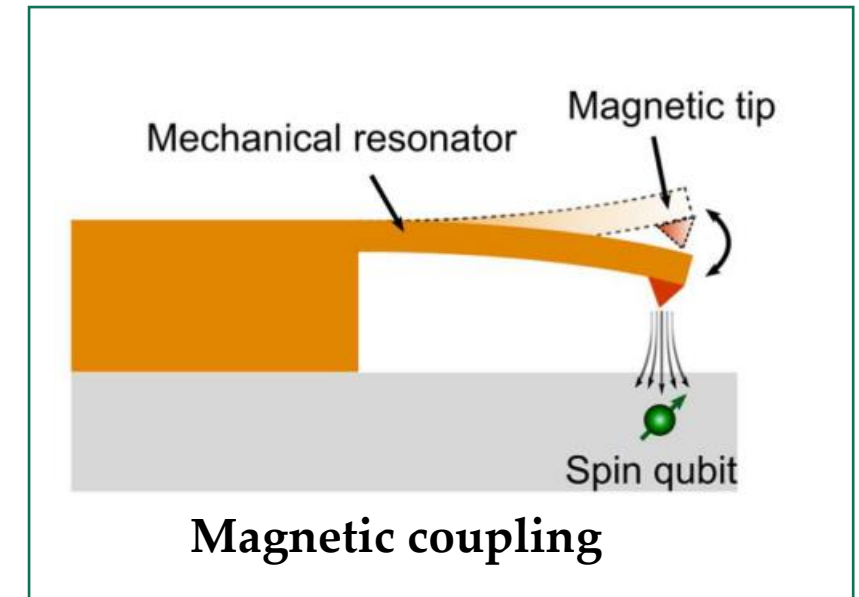
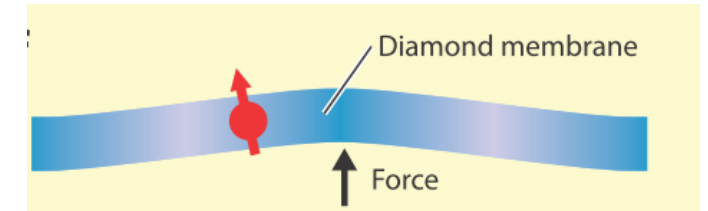
To maximum the sensibility, NV sensor should be placed as close as possible to the surface; the surface morphology becomes critical!

## Nitrogen-Vacancy Centers in Diamond: Nanoscale Sensors for Physics and Biology

Romana Schirhagl, Kevin Chang, Michael Loretz, and Christian L. Degen

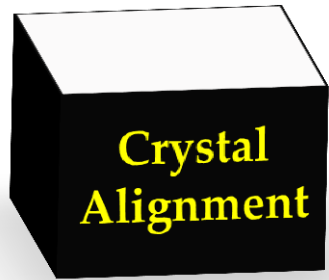
Department of Physics, ETH Zürich, 8093 Zürich, Switzerland; email: degenc@ethz.ch

Annu. Rev. Phys. Chem. 2014. 65:83–105



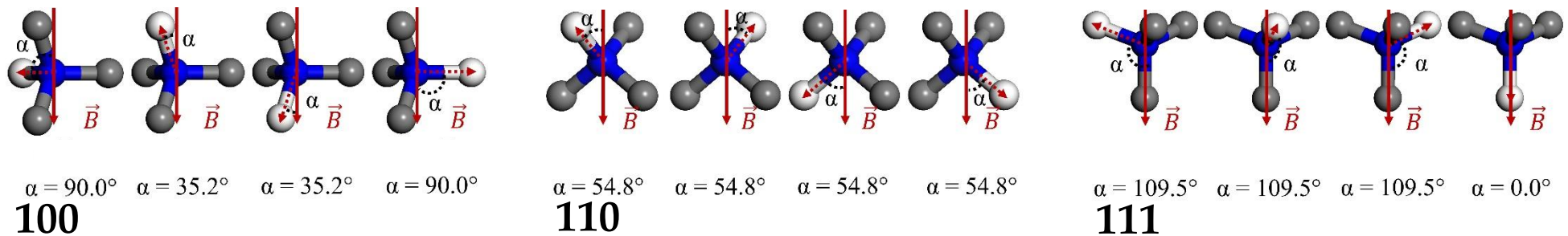
J. Opt. 19 ( 2017 ) 033001

# Four important issues



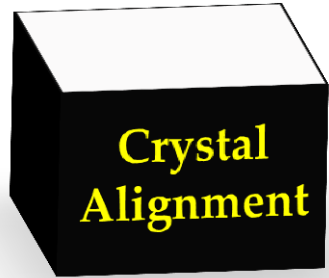
———— NV preferential orientation.

Appropriate surface orientation can  
maximum the photon collection efficiency.

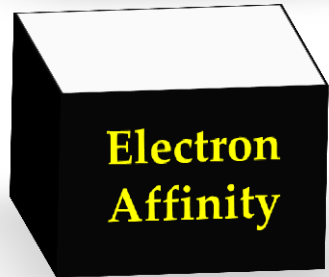


# Four important issues

---



———— NV preferential orientation.



———— Maintain the negatively charged state of NV.

# Four important issues

---

**Crystal  
Alignment**

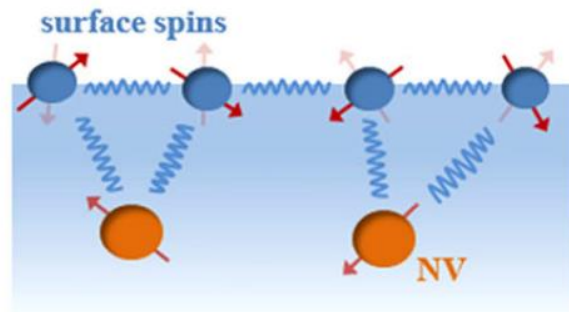
—— NV preferential orientation.

**Electron  
Affinity**

—— Maintain the negatively charged state of NV.

**Surface  
roughness**

—— Avoid the unwanted surface spin noise.



It is regardless to surface species; the origin of surface spin noise is still a mystery!

PRL 114, 017601 (2015)

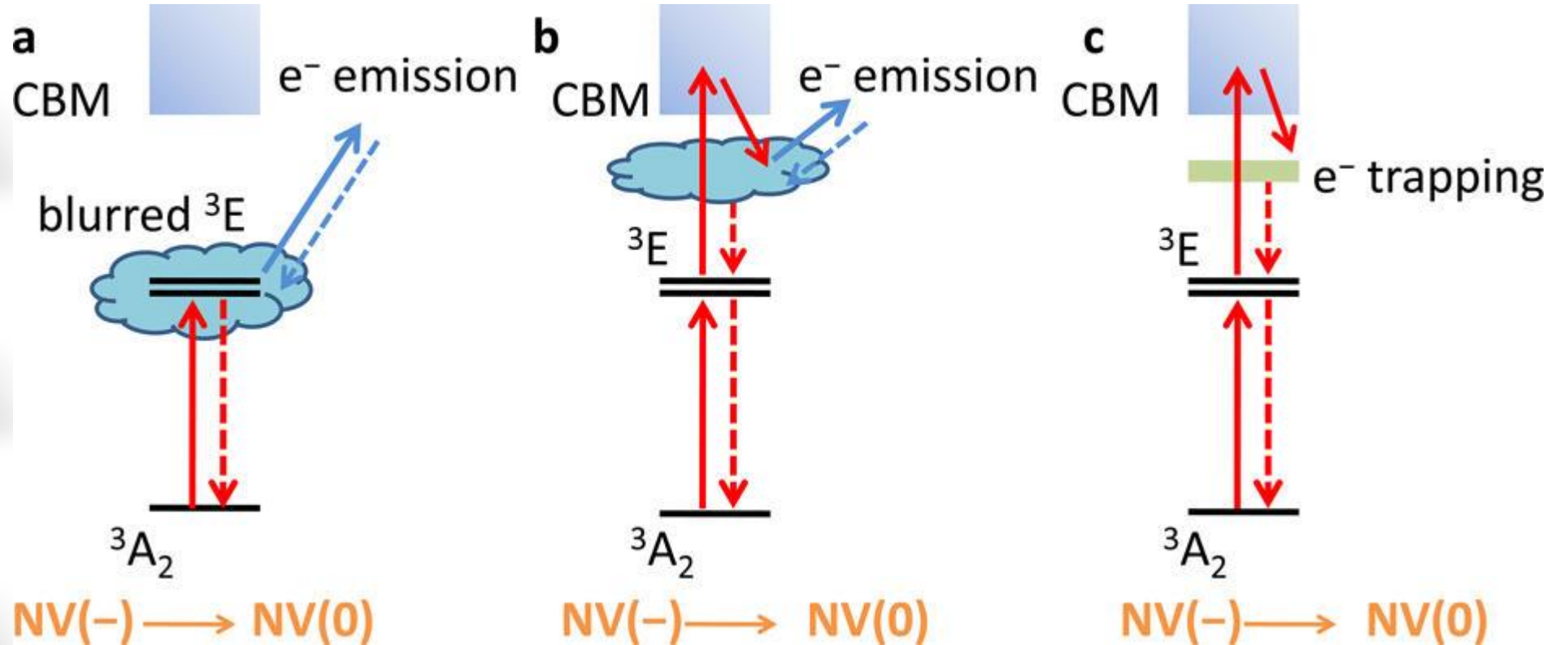
# Four important issues

Crystal  
Alignment

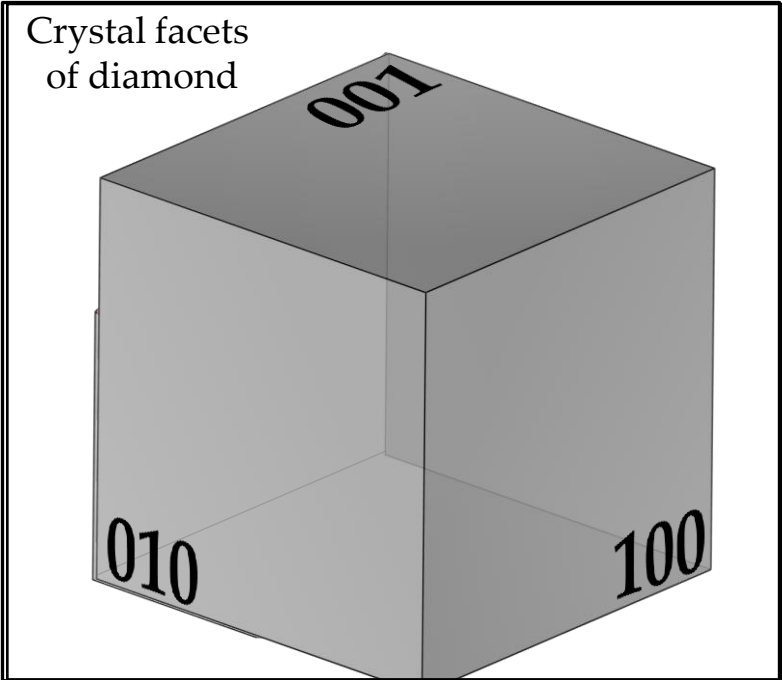
Electron  
Affinity

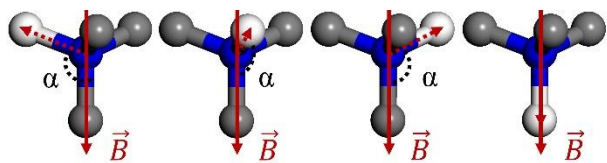
Surface  
roughness

Surface  
State



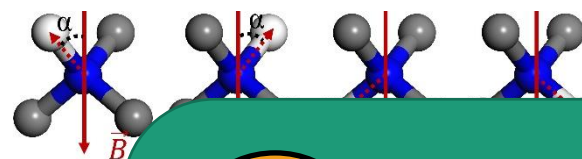
———— Minimize the intrusion into the bulk bandgap.





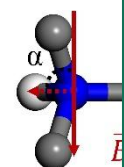
$\alpha = 109.5^\circ$   $\alpha = 109.5^\circ$   $\alpha = 109.5^\circ$   $\alpha = 0.0^\circ$

111



$\alpha = 54.8^\circ$

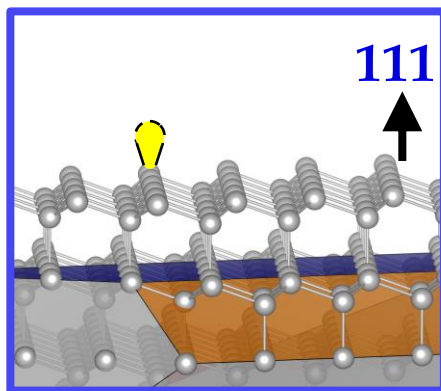
110



$\alpha = 90^\circ$

100

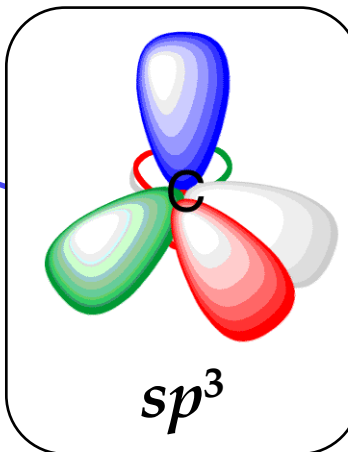
Four orient  
Blue: N, Gr



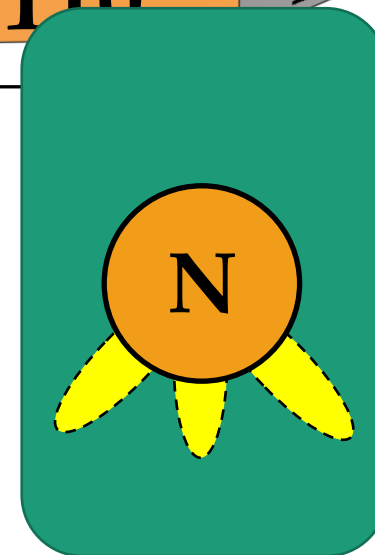
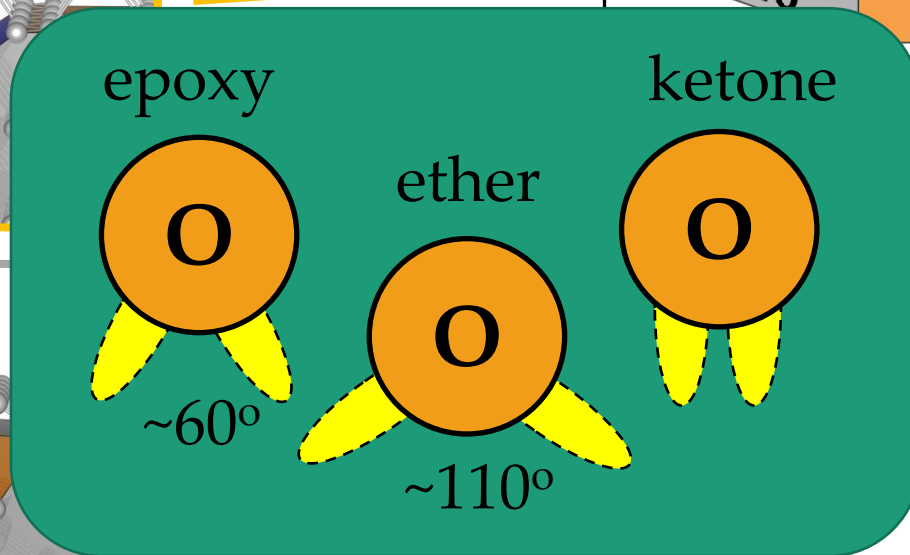
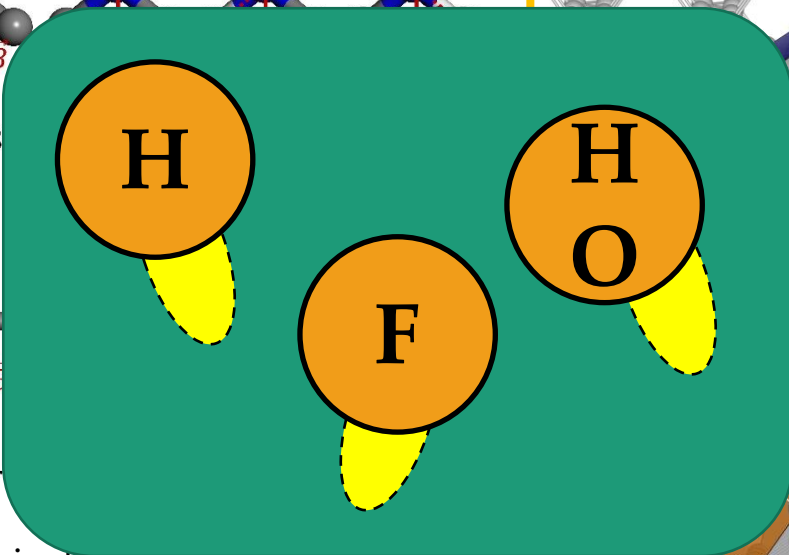
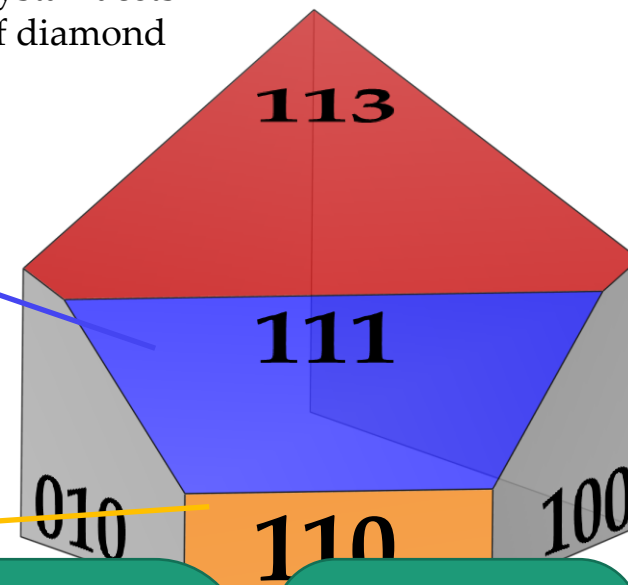
111

110

↑



Crystal facets  
of diamond



# Challenges

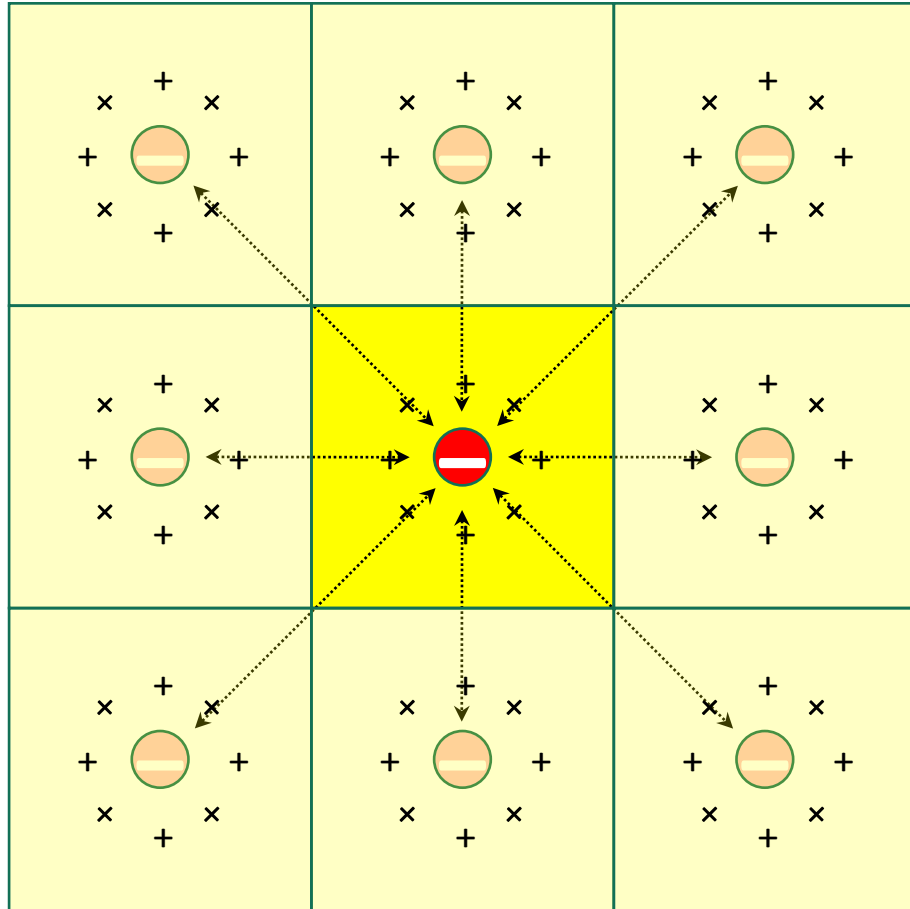
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- Underestimated bandgap of diamond:  
PBE: 4.2 eV  $\rightarrow$  HSE: 5.2 eV
- Excitation: Constrained DFT  
[Chem. Rev. 112 (2012) 321-370]



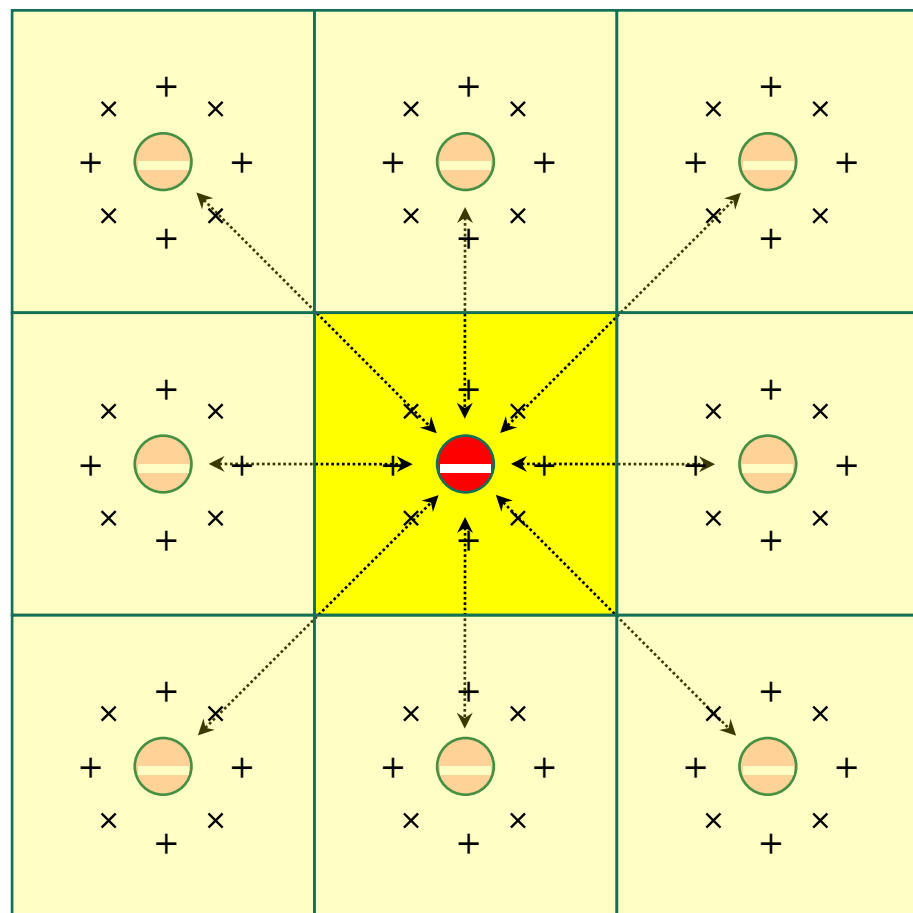
# Challenges – charged system

---



**Spurious long-range Coulomb interactions between the localized charge and its periodic images.**

# Challenges



**Spurious long-range Coulomb interactions between the localized charge and its periodic images.**

Point charge correction: the interaction energy can be estimated from the Madelung energy of an array of point charges with neutralizing background

$$V_{\text{PC}}(q) = -\frac{\alpha q}{\epsilon L}$$

$\alpha$ : Madelung constant  
 $\epsilon$ : dielectric constant  
 $q$ : defect charge  
 $L$ : dimension of supercell

$$E_{\text{PC}}^{\text{corr}}(q) = \frac{1}{2} \int_{\Omega} (-V_{\text{PC}}) q \delta(r) dr$$
$$= -\frac{q}{2} V_{\text{PC}} = \frac{\alpha q^2}{2\epsilon L}$$

## Correction schemes

### ■ M. Leslie and M. J. Gillan

*J. Phys. C: Solid State Phys.* **18**, 973 (1985)

– Madelung energy (Point-Charge correction)

### ■ G. Makov and M. C. Payne (MP correction)

– *Phys. Rev. B* **51**, 4014 (1995)

■ C. W. M. Castleton and S. Mirbt - *Physica B* **340-342**, 407-411 (2003)

■ S. Lany and A. Zunger - *Phys. Rev. B* **78**, 235104 (2008)

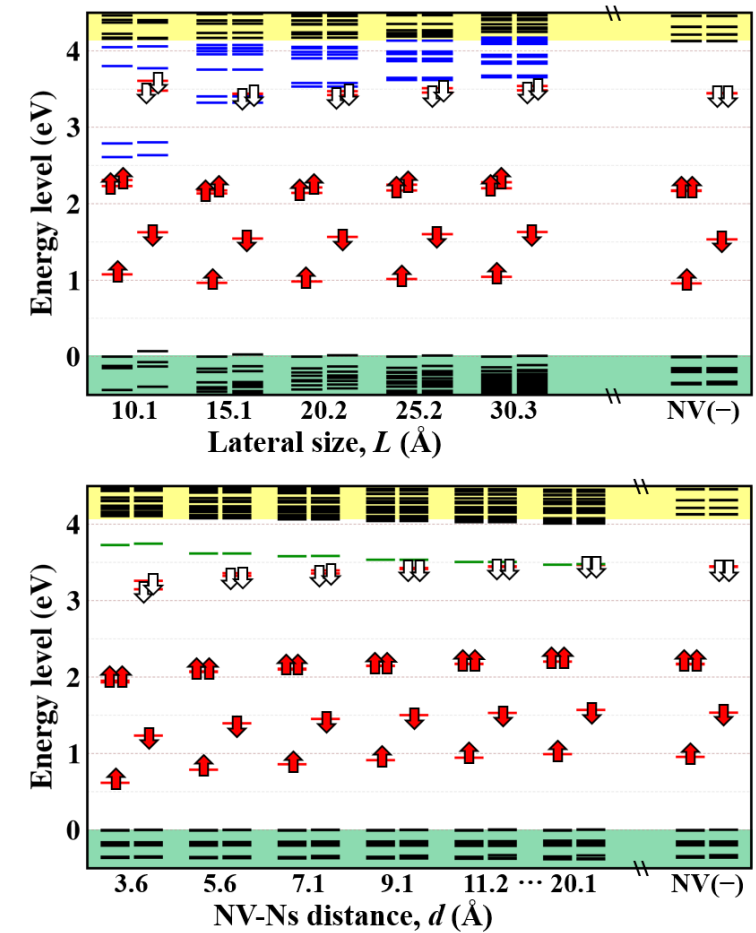
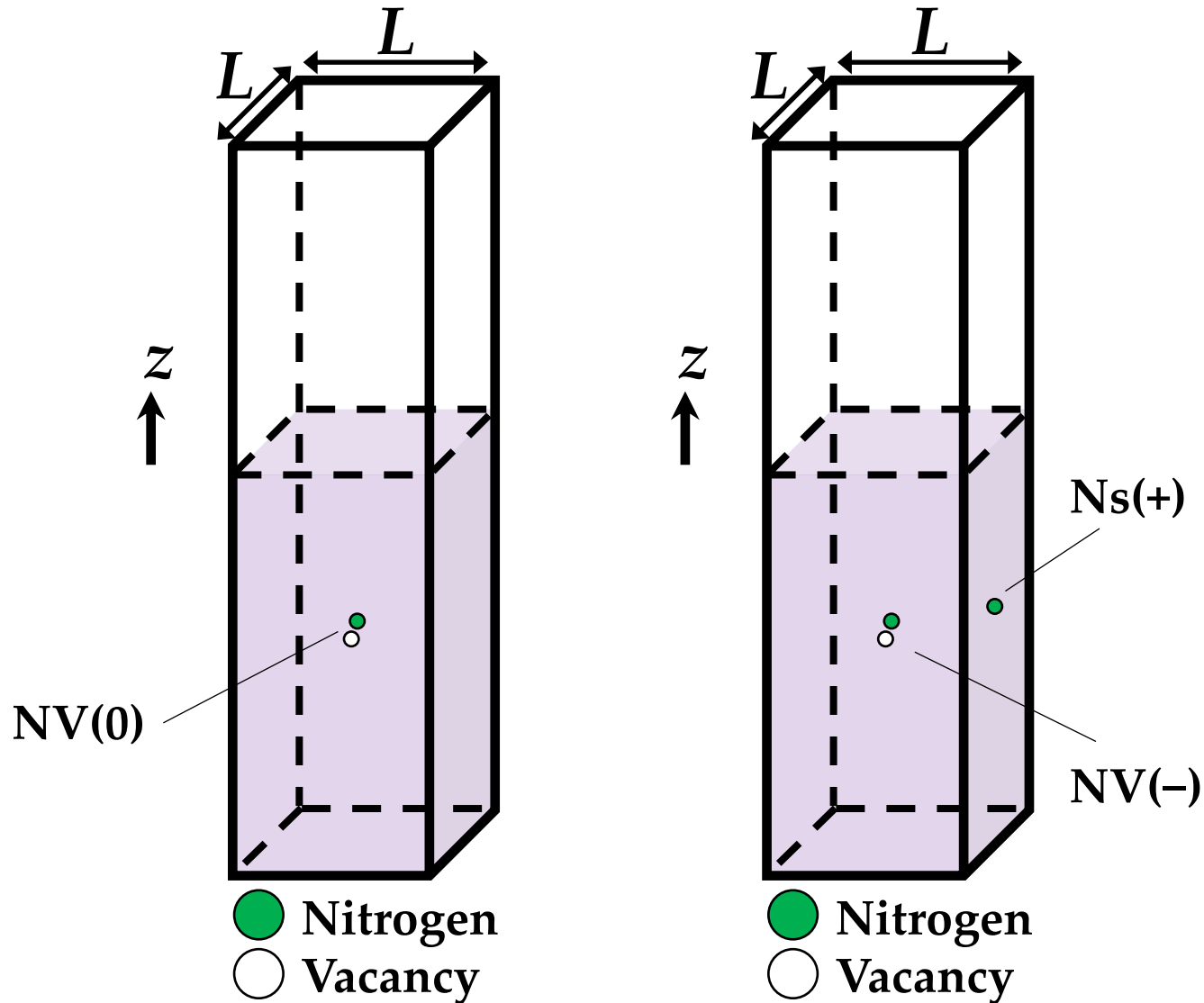
### ■ C. Freysoldt, J. Neugebauer and C. G. Van de Walle (FNV correction)

– *Phys. Rev. Lett.* **102**, 016402 (2009)

■ H.-P. Komsa and A. Pasquarello - *Phys. Rev. Lett.* **110**, 095505 (2013)

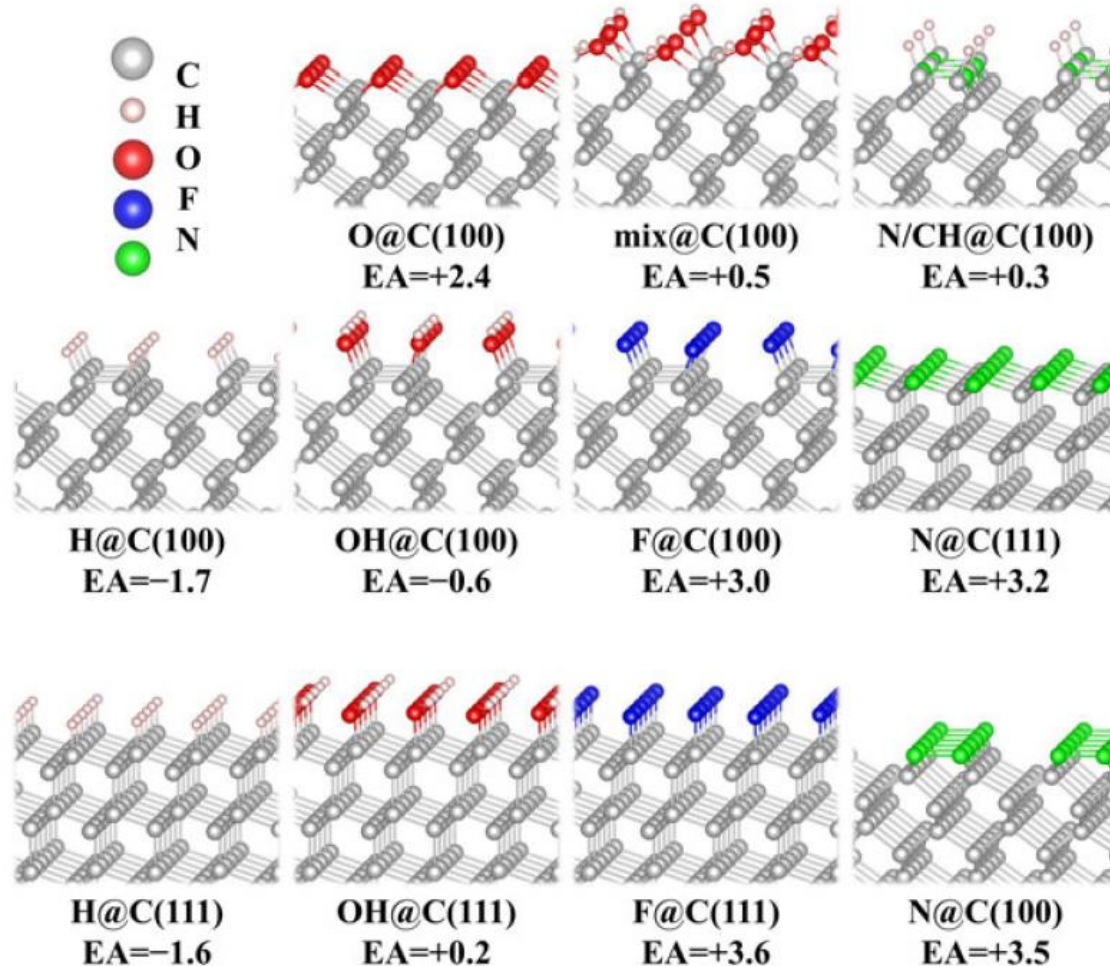
■ Y. Kumagai and F. Oba - *Phys. Rev. B* **89**, 195205 (2014)

# Trick



- ❖ Nano Letters **14**, 4772 (2014).
- ❖ MRS Communications **7**, 551 (2017).

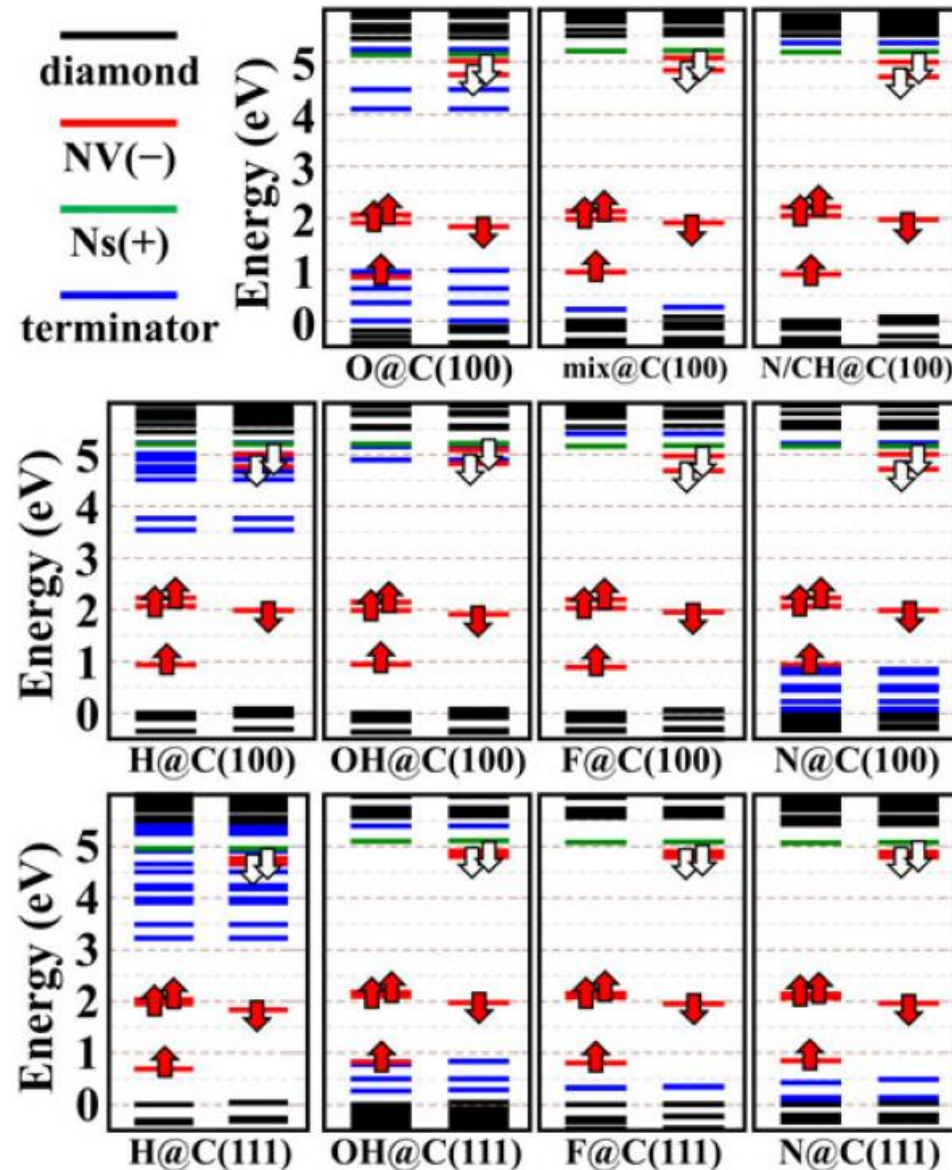
# Electron affinity



- ❑ O and F show PEA, H and OH show NEA.
- ❑ Oxidation surface is very easy, however, the oxygen induced strain will rough the surface.
- ❑ Fluorination might form Teflon layer.
- ❑ O/H/OH mixed surface is an alternative way.
- ❑ Nitrogen terminated diamond surface is possible.

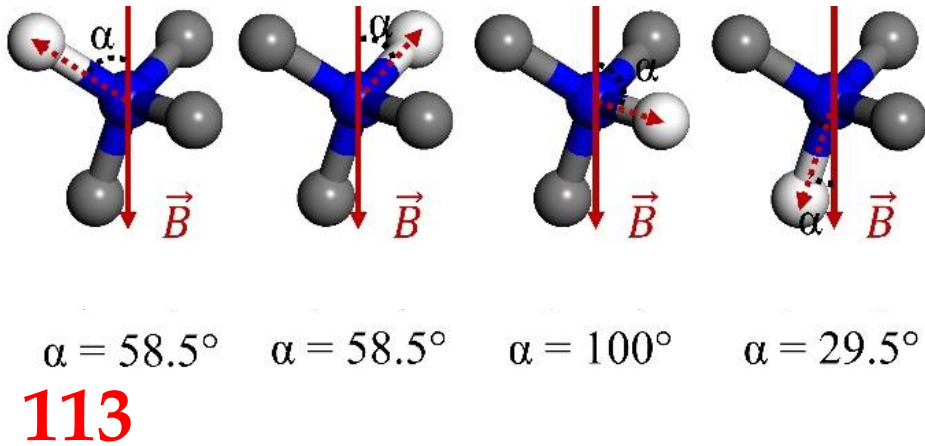
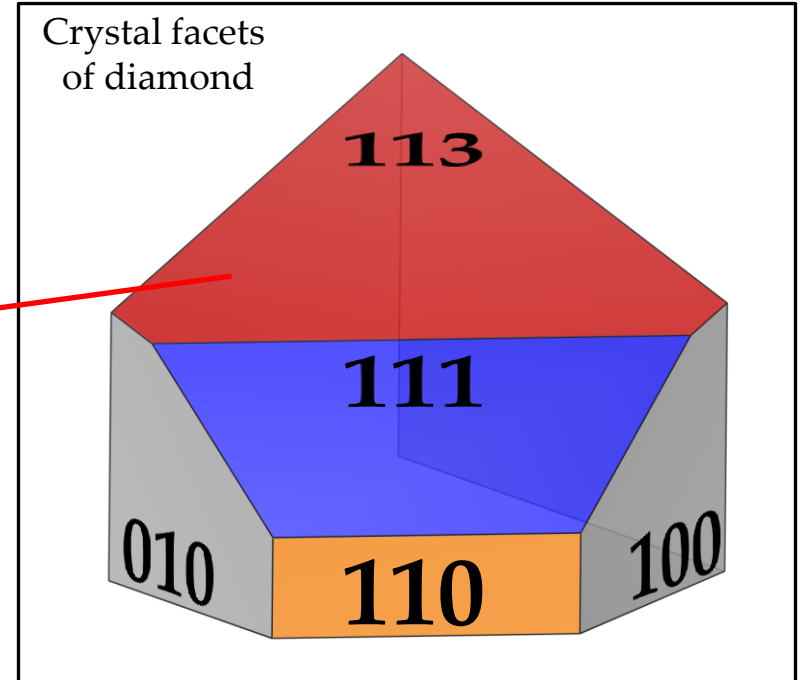
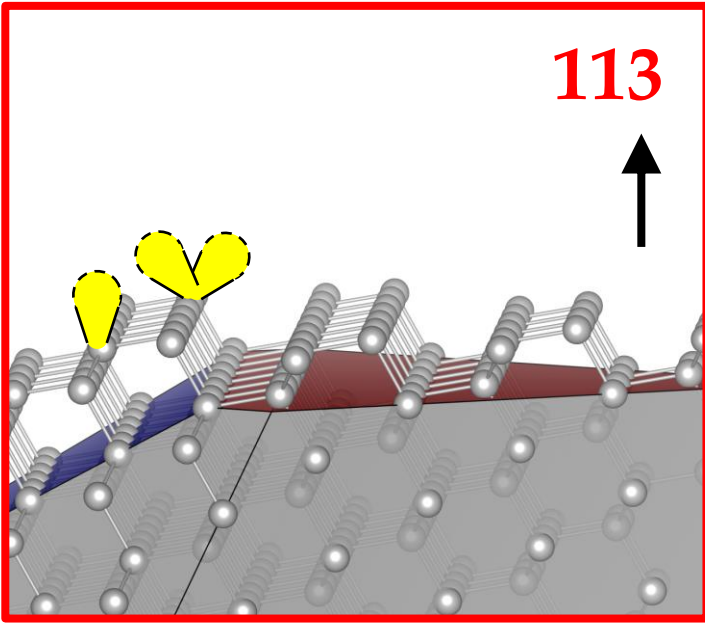
- ❖ Nano Letters **14**, 4772 (2014).
- ❖ Advanced Materials Interfaces **2**, 1500079, 1500079 (2015).
- ❖ Nano Letters **17**, 2294 (2017).
- ❖ MRS Communications **7**, 551 (2017).

# Energy levels

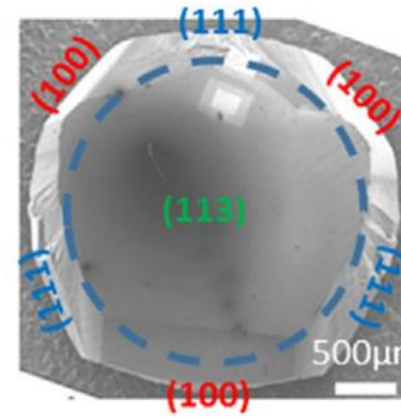


- ☐ Surface state intrusion occurs in H, O and OH termination cases.
- ☐ F does not show unwanted state in the bandgap.
- ☐ O/H/OH mixed surface is still pretty good.
- ☐ Nitrogen terminated diamond surface is nice.

- ❖ Nano Letters **14**, 4772 (2014).
- ❖ Advanced Materials Interfaces **2**, 1500079, 1500079 (2015).
- ❖ Nano Letters **17**, 2294 (2017).
- ❖ MRS Communications **7**, 551 (2017).
- ❖ Carbon **145**, 273 (2019)



Four orientations of NV.  
Blue: N, Grey: C, White: vacancy



M. Lesik et al. / Diamond  
& Related Materials 56  
(2015) 47–53

SEM image of a 460  $\mu\text{m}$  thick CVD film grown on a circular (113) diamond substrate.

|                                   | (100)                                                                                                                                                                | (110)                                                                                  | (111)                                                                                                                                                                   | (113)                                                                                                                                                                   |
|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Substrate for growth              |   |     |                                                                                      |                                                                                      |
| Growth condition window           |                                                                                     |     |                                                                                      |   |
| Growth rates                      |                                                                                     |  ×5 |   |                                                                                      |
| Crystalline quality               |   |     |                                                                                      |   |
| B doping efficiency               |                                                                                     |     |  ×10                                                                                 |  ×5                                                                                  |
| N doping efficiency               |                                                                                     | —                                                                                      |   |                                                                                      |
| NV orientation                    | < 50%                                                                                                                                                                | 50%                                                                                    | ~100%                                                                                                                                                                   | 73%                                                                                                                                                                     |
| <b>Surface terminator effects</b> |                                                                                                                                                                      |                                                                                        |                                                                                                                                                                         |                                                                                                                                                                         |
| Surface roughness                 |                                                                                     |     |                                                                                      | ?                                                                                                                                                                       |
| Electron affinity                 | H, OH,<br><b>O, F, N</b>                                                                                                                                             | —                                                                                      | H, <b>OH,</b><br><b>O, F, N</b>                                                                                                                                         | H, OH,<br><b>O, F, N</b>                                                                                                                                                |
| Surface state intrusion           | H,OH,O,<br><b>F, N</b>                                                                                                                                               | —                                                                                      | H,OH,<br><b>F, N</b>                                                                                                                                                    | H,OH,<br><b>O, F, N</b>                                                                                                                                                 |

- ❖ Power Electronics Device Applications of Diamond Semiconductors. DOI: <https://doi.org/10.1016/B978-0-08-102183-5.00001-7>
- ❖ Diamond and Related Materials **56**, 47 (2015).
- ❖ Diamond and Related Materials **66**, 61 (2016).
- ❖ Surface Science **337**, L812 (1995).
- ❖ Applied Physics **71**, 5930 (1992).
- ❖ Crystal **7**, 166 (2017).

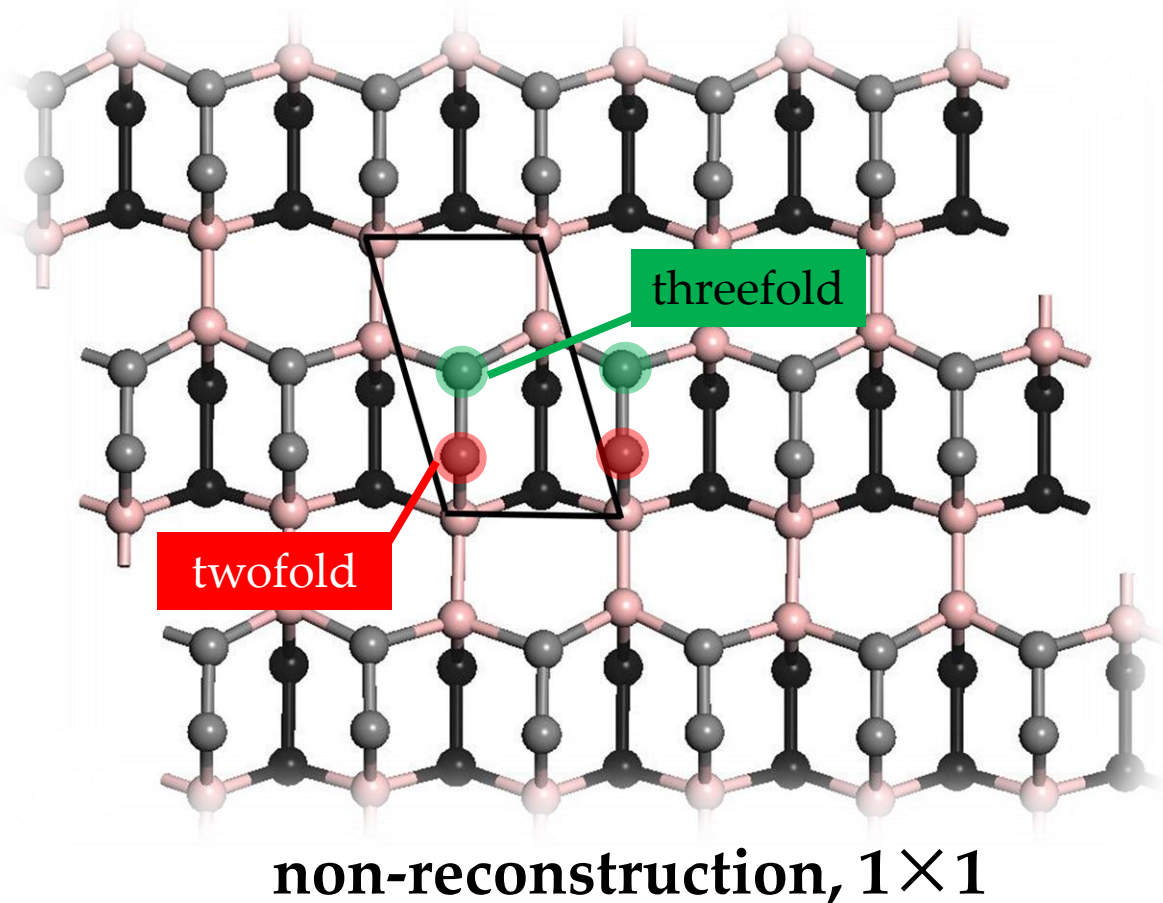
#### Our works

- ❖ Nano Letters **14**, 4772 (2014).
- ❖ Nano Letters **17**, 2294 (2017).
- ❖ Advanced Materials Interfaces **2**, 1500079, 1500079 (2015).
- ❖ MRS Communications **7**, 551 (2017).

# (113) diamond:

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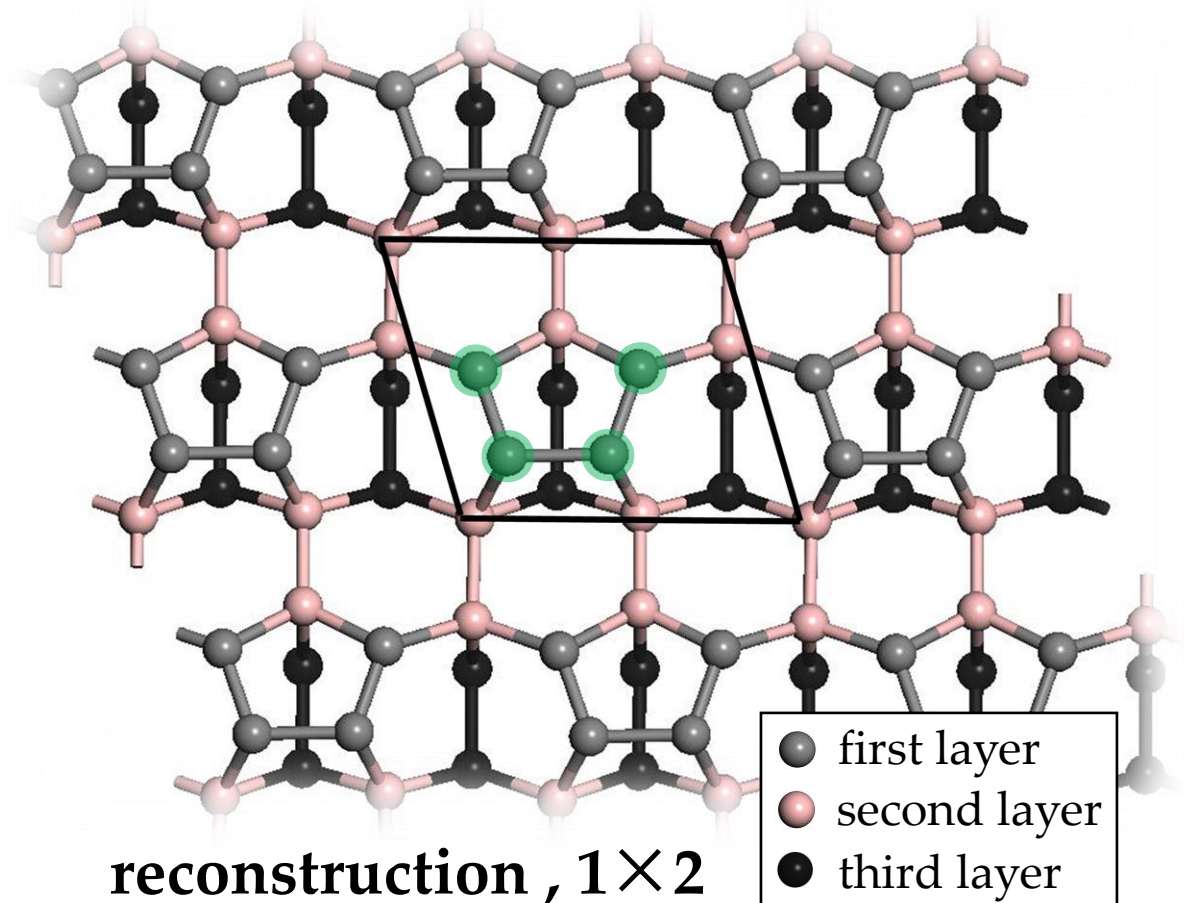
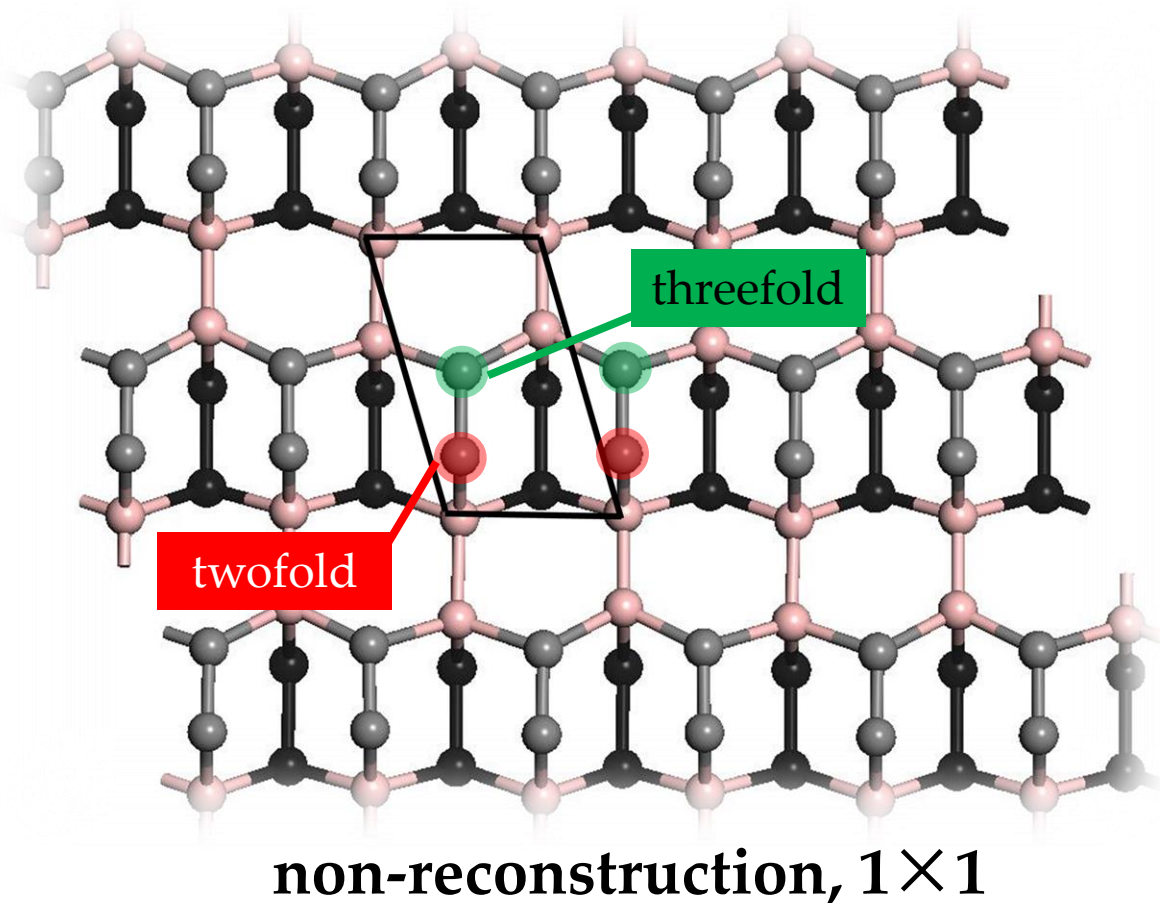
## surface morphology



- first layer
- second layer
- third layer

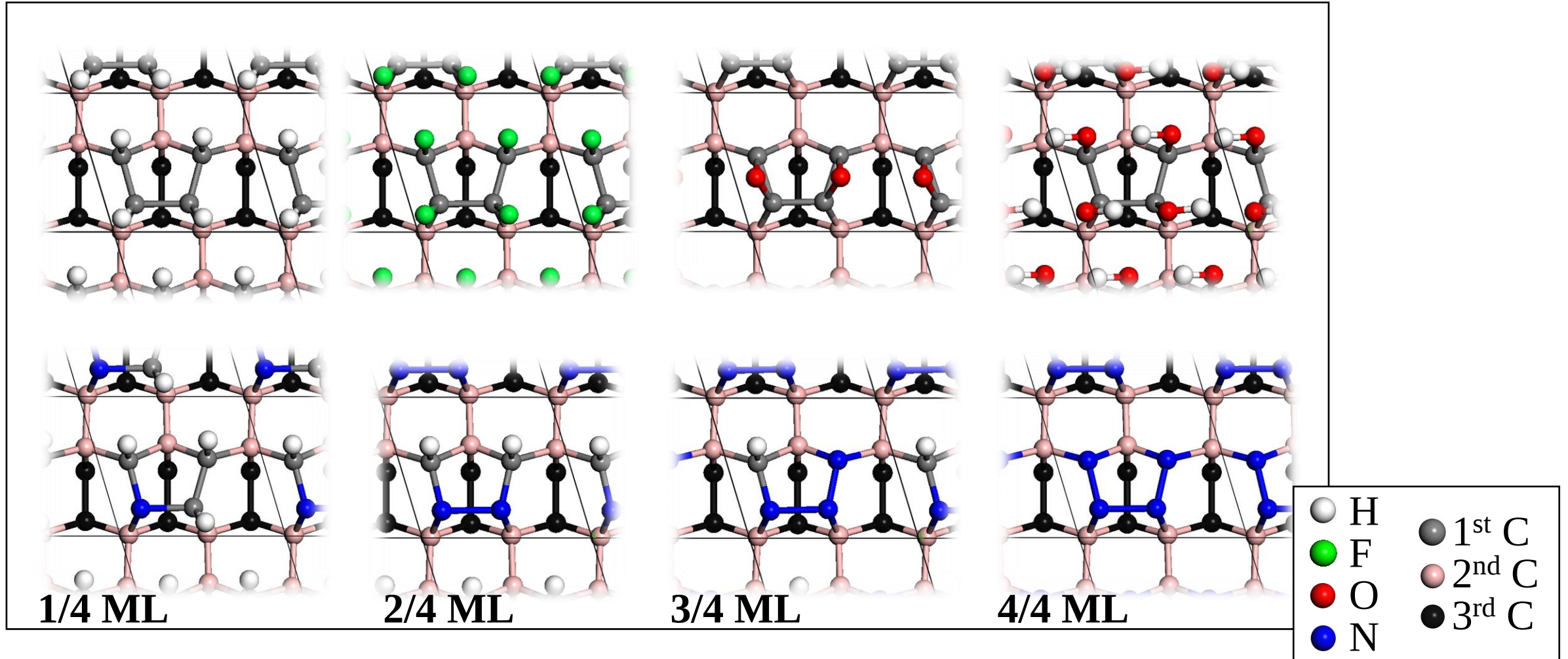
# (113) diamond: surface morphology

PHYSICAL REVIEW B 67, 195332 (2003)



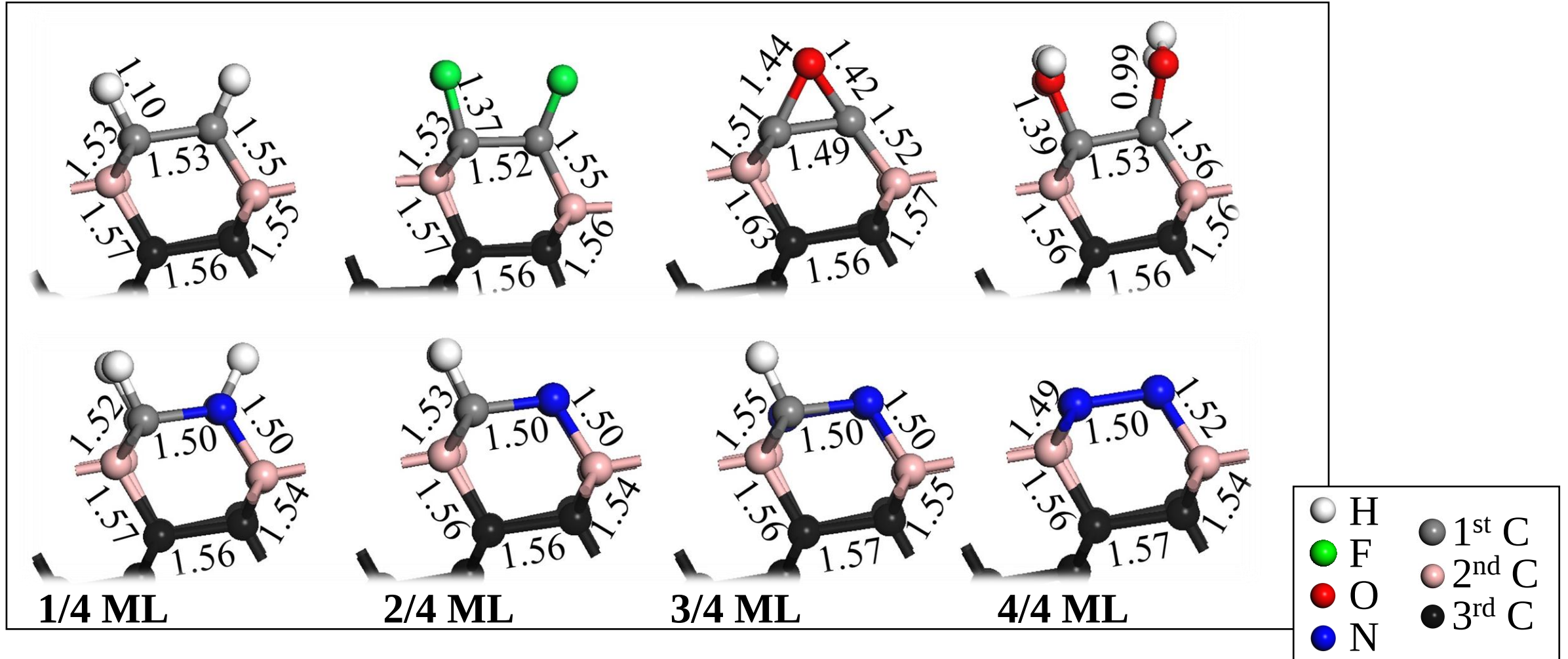
# (113) diamond:

## Terminators, top view



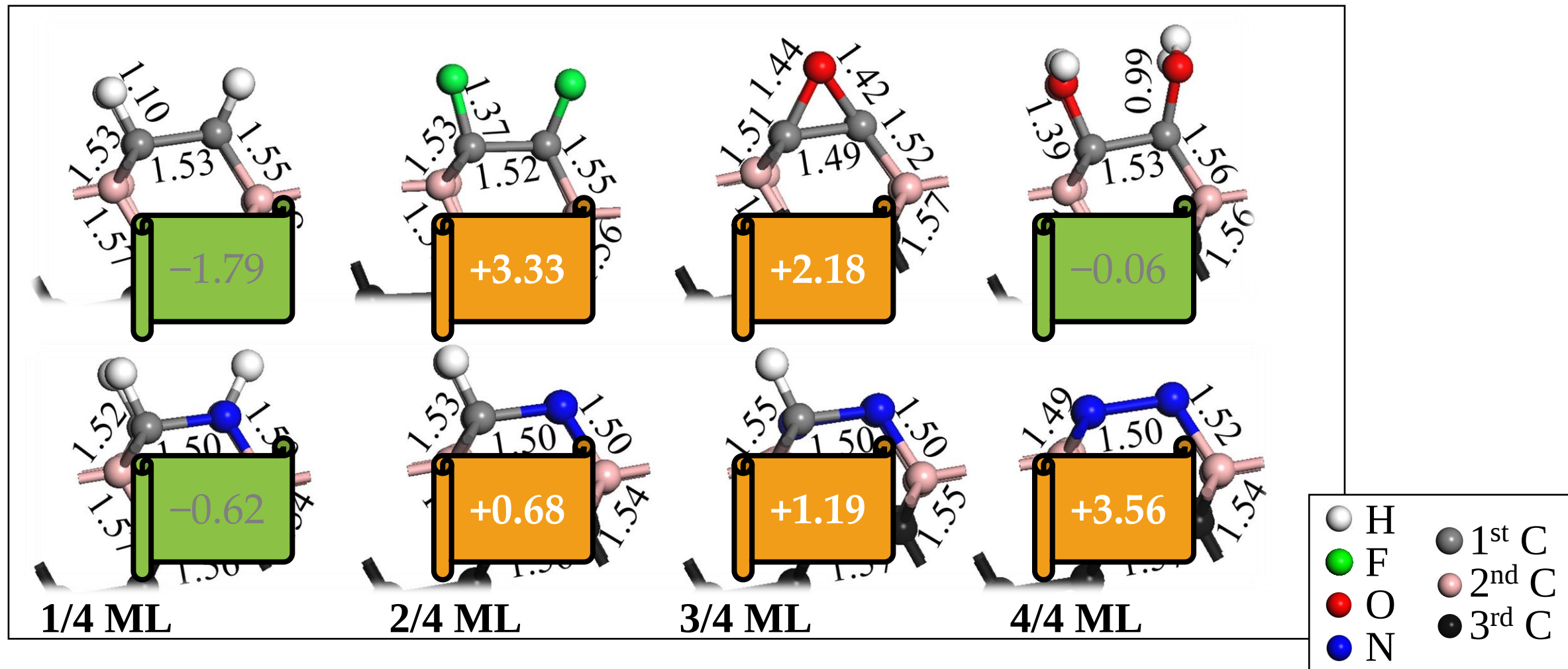
# (113) diamond:

## Terminators, side view

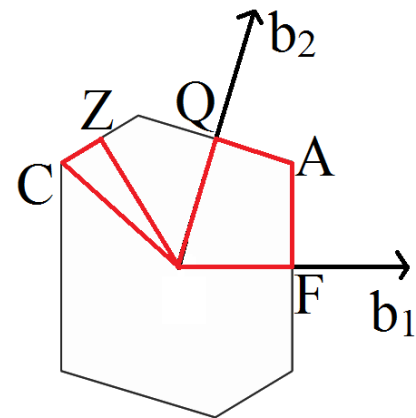
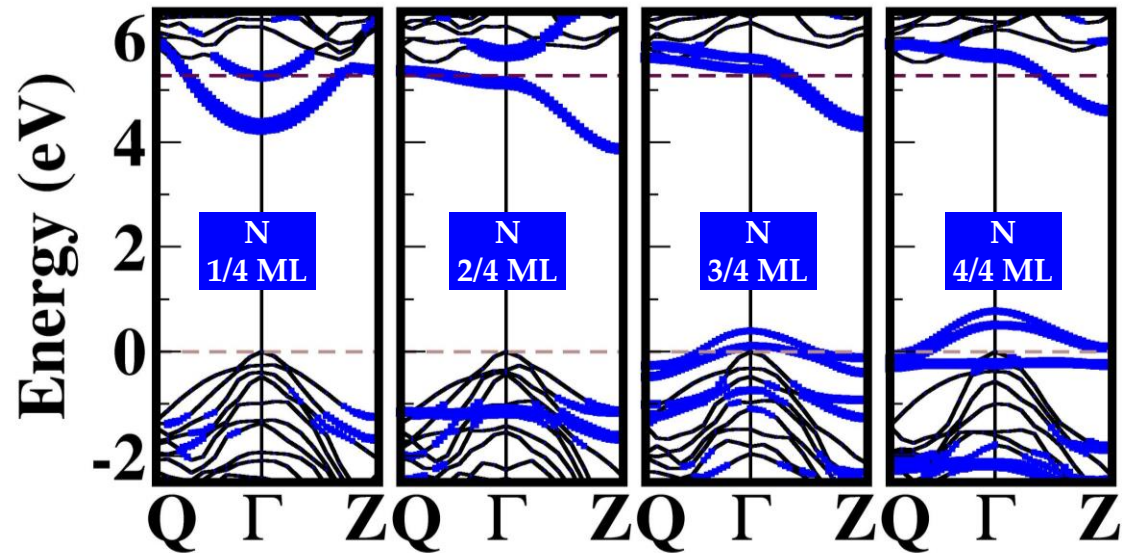
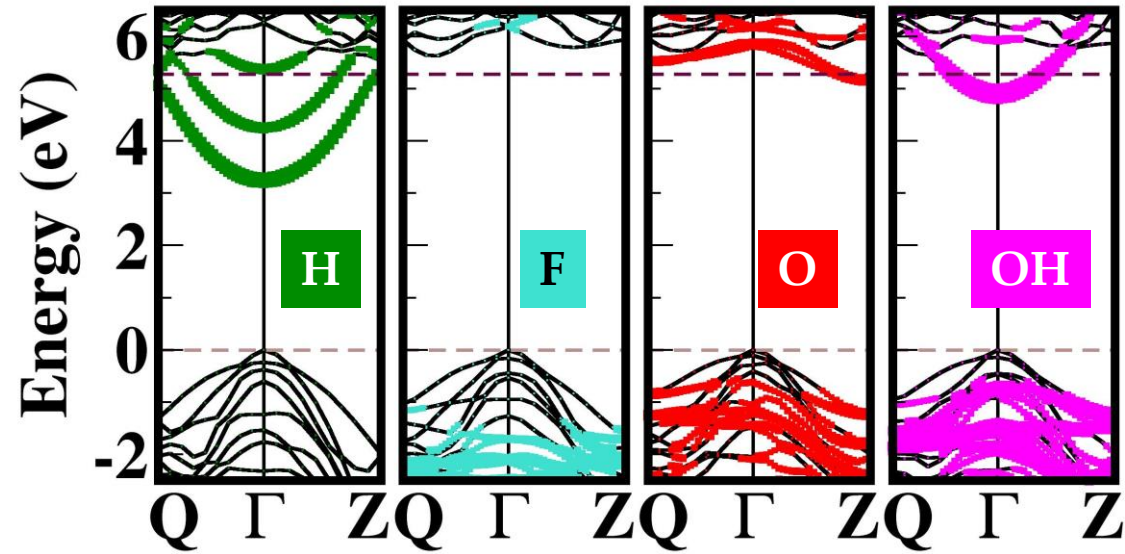
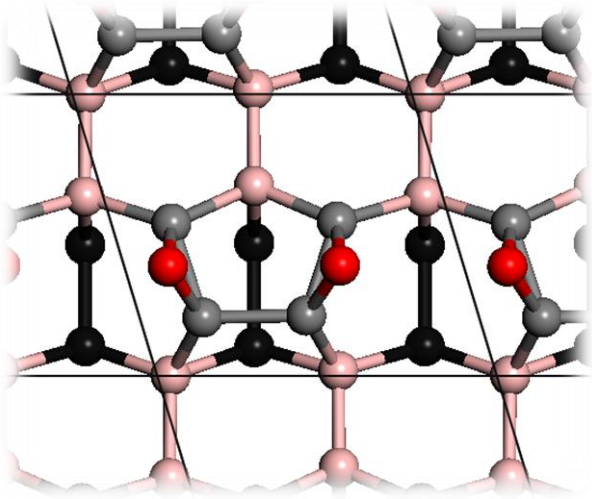


# (113) diamond:

## Electron affinity

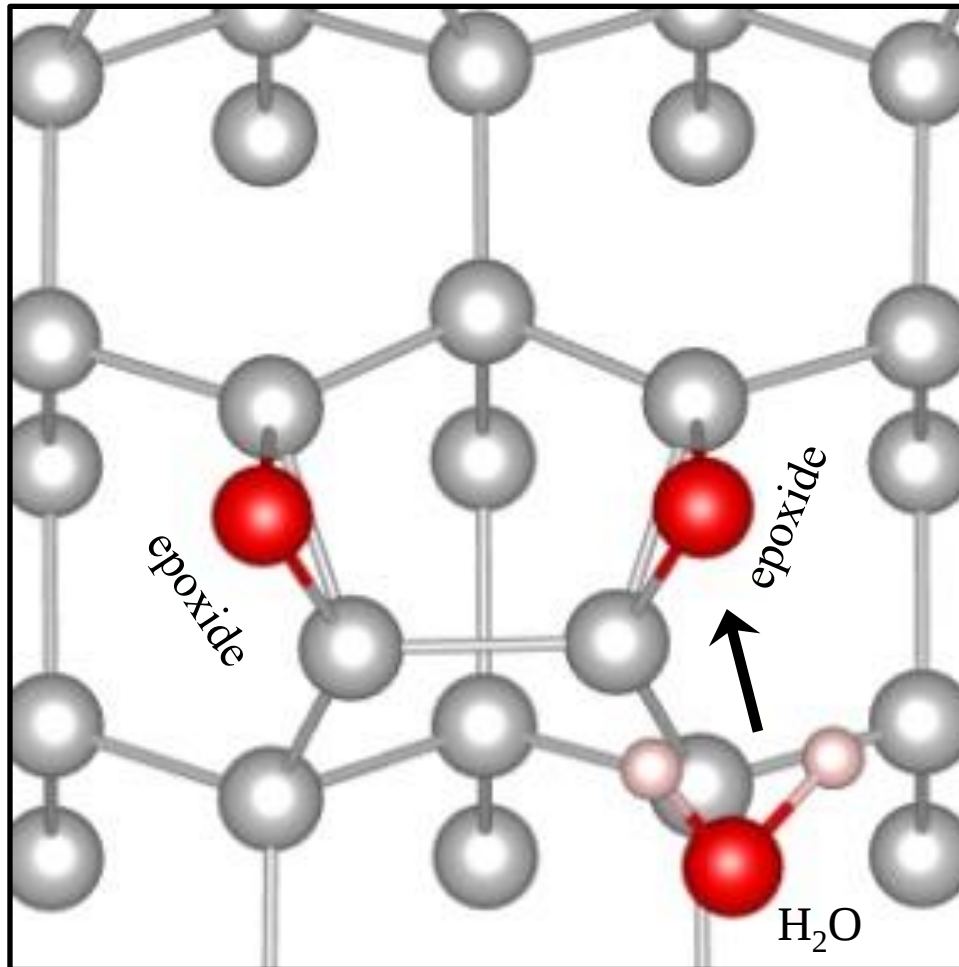


# (113) diamond: Band structure

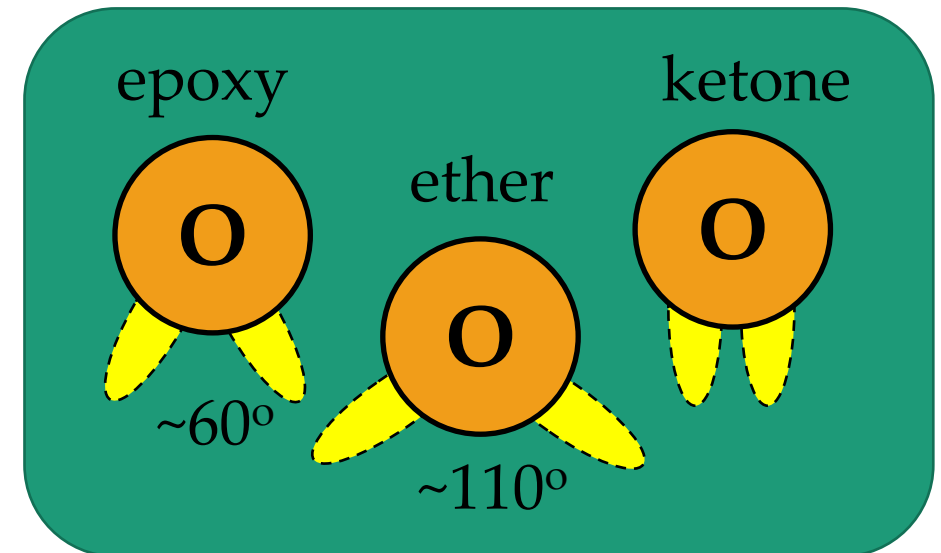


The Brillouin zone

# Chemical stability

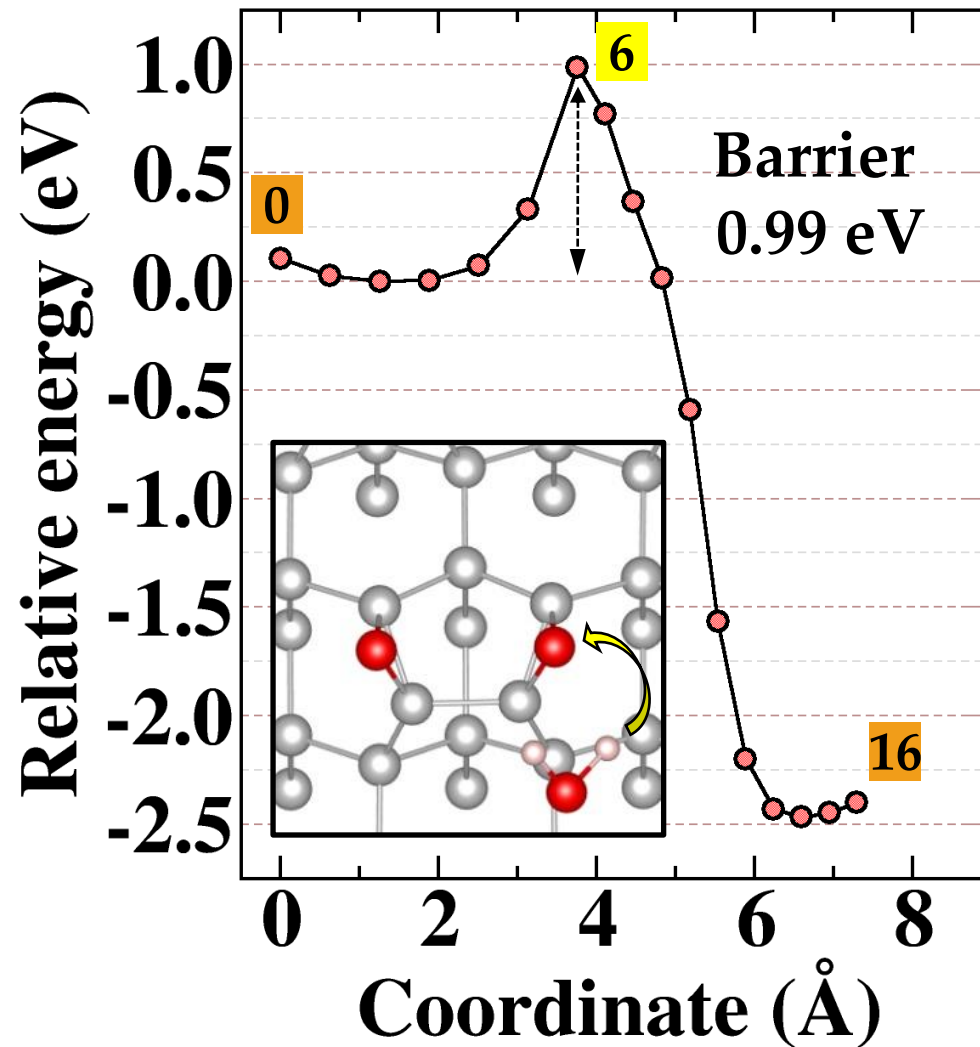


The -C-O-C- epoxide-like configuration formed on the diamond surface is stable or unstable?

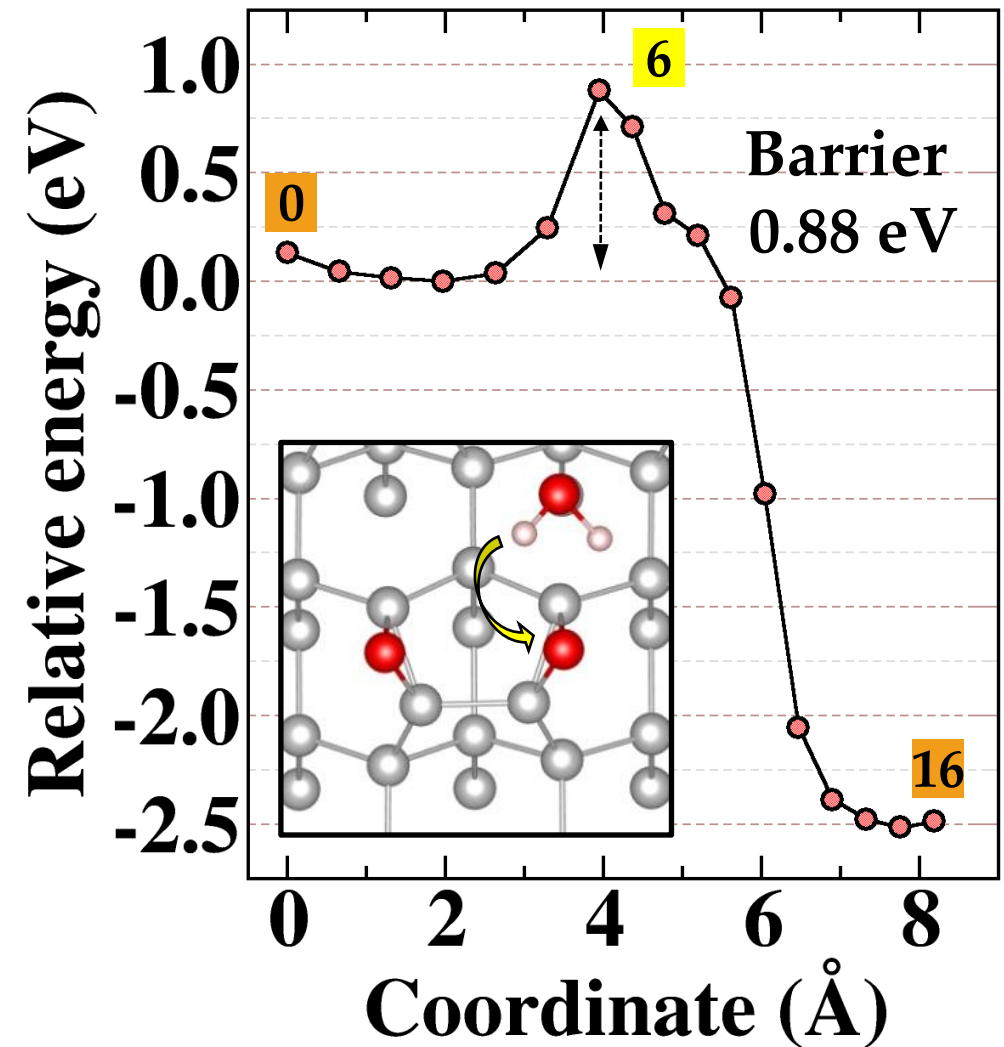


# Chemical stability

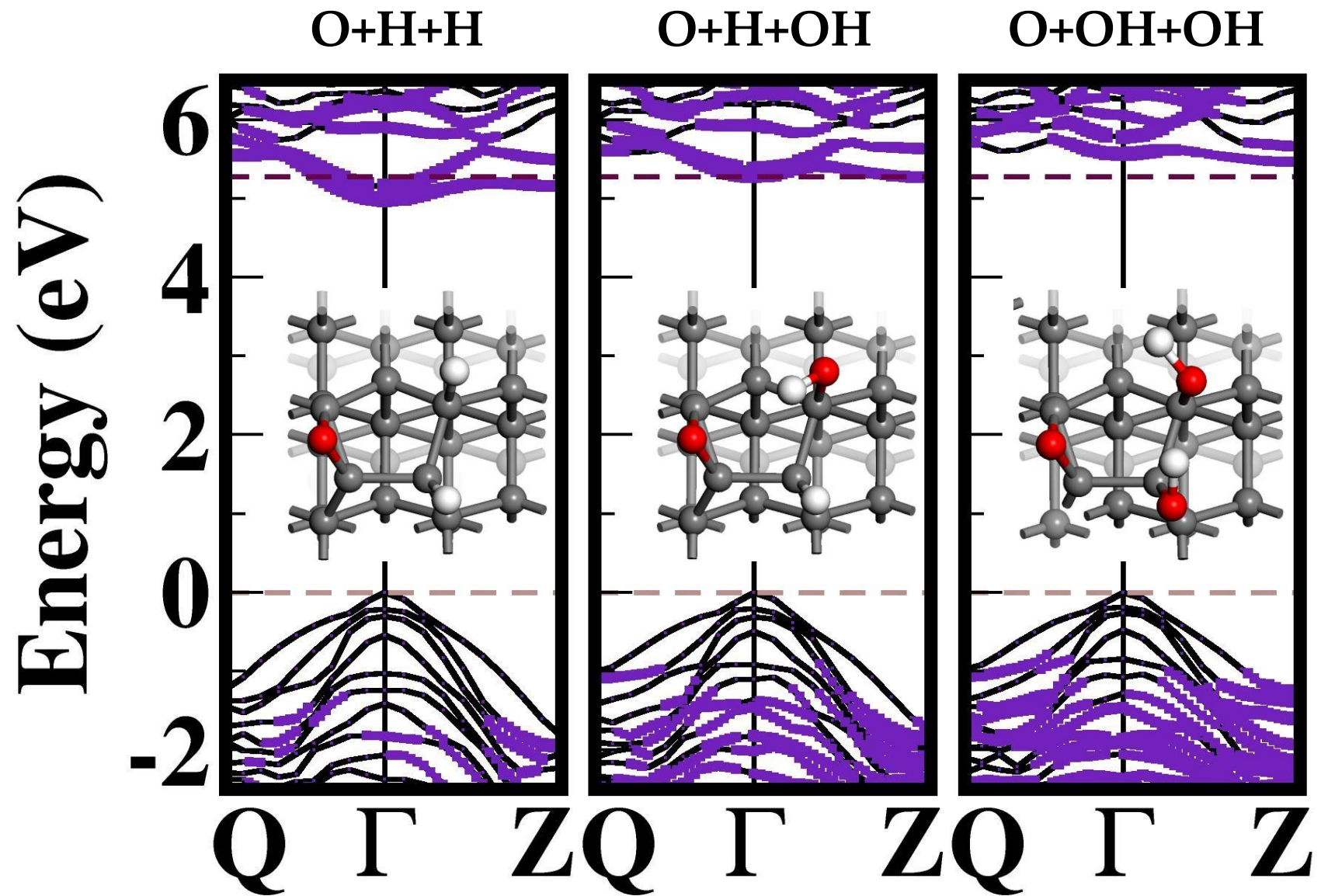
Path-1



Path-2



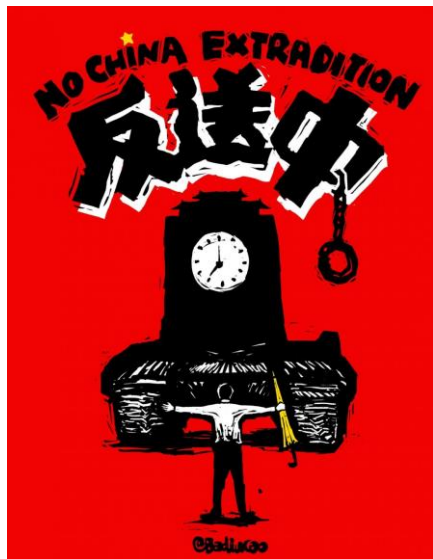
# H/O/OH termination



# Summary

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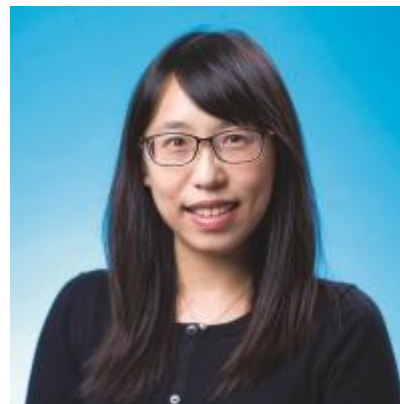
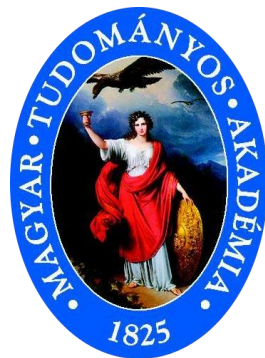
- ❑ A painless way to simulate charged system:  
NV(-) in diamond surface  $\rightarrow$  NV + Ns.
- ❑ F, mixed O/H/OH, and N would be good surface termination for NV quantum sensing applications.
- ❑ Complete oxygen termination of (113) diamond creates positive electron affinity with neither strain on the surface nor in-gap levels which is supposed to be the most prospective host for NV quantum sensors.



# Thank you for your attention~



Ádám Gali



Alice Hu

Song Li



香港城市大學  
City University of Hong Kong

# Conclusion

- ❑ In general, the physical and chemical properties of (113) are better than other facets.

|                            | (100)                                                                                                                                                               | (110)                                                                                | (111)                                                                                                                                                                   | (113)                                                                                                                                                                   |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Substrate for growth       |   |     |                                                                                      |                                                                                      |
| Growth condition window    |                                                                                    |     |                                                                                      |   |
| Growth rates               |                                                                                    |  ×5 |   |                                                                                      |
| Crystalline quality        |   |     |                                                                                      |   |
| B doping efficiency        |                                                                                    |     |  ×10                                                                                 |  ×5                                                                                  |
| N doping efficiency        |                                                                                    | –                                                                                    |   |                                                                                      |
| NV orientation             | < 50%                                                                                                                                                               | 50%                                                                                  | ~100%                                                                                                                                                                   | 73%                                                                                                                                                                     |
| Surface terminator effects |                                                                                                                                                                     |                                                                                      |                                                                                                                                                                         |                                                                                                                                                                         |
| Surface roughness          |                                                                                  |   |                                                                                    | ?                                                                                                                                                                       |
| Electron affinity          | H, OH,<br><b>O, F, N</b>                                                                                                                                            | –                                                                                    | H, <b>OH</b> ,<br><b>O, F, N</b>                                                                                                                                        | H, OH,<br><b>O, F, N</b>                                                                                                                                                |
| Surface state intrusion    | H,OH,O,<br><b>F, N</b>                                                                                                                                              | –                                                                                    | H,OH,<br><b>F, N</b>                                                                                                                                                    | H,OH,<br><b>O, F, N</b>                                                                                                                                                 |

- ❑ *Oxygenated* (113) could be a promising candidate surface for NV quantum sensing in diamond.

|                                   | (100)                                                                                                                                                                | (110)                                                                                  | (111)                                                                                                                                                                   |
|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Substrate for growth              |   |     |                                                                                      |
| Growth condition window           |                                                                                     |     |                                                                                      |
| Growth rates                      |                                                                                     |  ×5 |   |
| Crystalline quality               |   |     |                                                                                      |
| B doping efficiency               |                                                                                     |     |  ×10                                                                                 |
| N doping efficiency               |                                                                                     | —                                                                                      |   |
| NV orientation                    | < 50%                                                                                                                                                                | 50%                                                                                    | ~100%                                                                                                                                                                   |
| <b>Surface terminator effects</b> |                                                                                                                                                                      |                                                                                        |                                                                                                                                                                         |
| Surface roughness                 |                                                                                     |     |                                                                                      |
| Electron affinity                 | H, OH,<br><b>O, F, N</b>                                                                                                                                             | —                                                                                      | H, <b>OH,</b><br><b>O, F, N</b>                                                                                                                                         |
| Surface state intrusion           | H,OH,O,<br><b>F, N</b>                                                                                                                                               | —                                                                                      | H,OH,<br><b>F, N</b>                                                                                                                                                    |

Blue: N, Grey: C, White: vacancy

- ❖ Power Electronics Device Applications of Diamond Semiconductors. DOI: <https://doi.org/10.1016/B978-0-08-102183-5.00001-7>
- ❖ Diamond and Related Materials **56**, 47 (2015).
- ❖ Diamond and Related Materials **66**, 61 (2016).
- ❖ Surface Science **337**, L812 (1995).
- ❖ Applied Physics **71**, 5930 (1992).
- ❖ Crystal **7**, 166 (2017).

#### Our works

- ❖ Nano Letters **14**, 4772 (2014).
- ❖ Nano Letters **17**, 2294 (2017).
- ❖ Advanced Materials Interfaces **2**, 1500079, 1500079 (2015).
- ❖ MRS Communications **7**, 551 (2017).

|                                   | (100)                                                                                                                                                                | (110)                                                                                  | (111)                                                                                                                                                                   | (113)                                                                                                                                                                   |
|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Substrate for growth              |   |     |                                                                                      |                                                                                      |
| Growth condition window           |                                                                                     |     |                                                                                      |   |
| Growth rates                      |                                                                                     |  ×5 |   |                                                                                      |
| Crystalline quality               |   |     |                                                                                      |   |
| B doping efficiency               |                                                                                     |     |  ×10                                                                                 |  ×5                                                                                  |
| N doping efficiency               |                                                                                     | —                                                                                      |   |                                                                                      |
| NV orientation                    | < 50%                                                                                                                                                                | 50%                                                                                    | ~100%                                                                                                                                                                   | 73%                                                                                                                                                                     |
| <b>Surface terminator effects</b> |                                                                                                                                                                      |                                                                                        |                                                                                                                                                                         |                                                                                                                                                                         |
| Surface roughness                 |                                                                                     |     |                                                                                      |                                                                                      |
| Electron affinity                 | H, OH,<br>O, F, N                                                                                                                                                    | —                                                                                      | H, OH,<br>O, F, N                                                                                                                                                       | ?                                                                                                                                                                       |
| Surface state intrusion           | H, OH, O,<br>F, N                                                                                                                                                    | —                                                                                      | H, OH,<br>F, N                                                                                                                                                          | ?                                                                                                                                                                       |

Blue: N, Grey: C, White: vacancy

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