First Principles Design of Materials for Energy and Optoelectronic Device Applications

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Beijing Computational Science Research Center CSF



CSRC Location: ZPark

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 Beijing Computational Science Research Center (CSRC) was founded in 2009 and it is an interdisciplinary fundamental research organization focusing on computational study.

CSRC

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The Center has recruited senior and young scientists worldwide to do interdisciplinary and innovative researches, and currently it has established 7 divisions:

Quantum Physics and Quantum Information Division
Physical Systems Simulation Division
Materials and Energy Division
Complex System Division
Applied Mathematics Division
Mechanics Division
Algorithm and Computer Science Division

CSRC

Research Activity of Material and Energy Division



PV Materials:

Explain the underlying physics of new experimental observations; Design new PV materials with high efficiency and low cost Catalytic Materials: Develop materials for H_2 production through PEC water splitting. Find materials that can use solar energy and CO₂ reduction to generate fuel.



Nano Materials: Design low-dimensional systems for energy applications. Develop advanced theoretical approaches for these quantum materials.

Material Design For Energy Applications

Theory of Doping in Semiconductors: Develop new physics of doping theory; provide new strategies to overcome the doping bottlenecks; alter physical properties of a materials through defect control



Energy Storage Materials: Understand and design new materials for battery, supercapacitor, hydrogen and thermal storage applications



Inverse Design of Novel Materials:

Develop new techniques to predict material properties and design materials with prescribed physical properties



Amorphous Materials Understand the nature of glass and glass transition for high performance amorphous materials



Excellent Research Environment



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Developing Clean Solar Energy Is Important for Energy Security and Environment

Computational Design

Clean energy applications require new functional materials with unique structural, optical, electrical, and thermo properties

- Recent development in first-principle theory and computational power has made *ab initio* knowledgebased material design possible
- First-principles design of functional materials has now become a vital tool for accelerating scientific discovery of clean energy materials

First-Principles Calculation of Material Properties

- Total energy, structural parameters, elastic constants, stress, force, phase stability, phonon spectrum, thermal properties
- Band structure, electron energy levels, density of states, optical transition coefficient
- Charge density distribution, electric field gradient, transport coefficient, diffusion coefficient
- Magnetic moment, spin density, hyperfine interaction parameters, magnetic coupling strength
- Select materials with targeted material properties for specific energy related applications.

- Design Stable and Lead-free Inorganic Perovskite Solar Cell Absorbers
- Design Oxides for Hydrogen Production through PEC Water Splitting
- Develop Bipolarly Dopable Transparent Conducting Materials
- Understand the Origin of the Self-limited Energy Density of Cathode Materials for Li-ion Batteries

Design Stable and Lead-free Inorganic Perovskite Solar Cell Absorbers

"Halide perovskite materials for solar cells: A theoretical review", W.-J. Yin, J. Yang, J. Kang, Y. Yan, and **S.-H. Wei***, J. Phys. Chem. A **3**, 8926 (2015);

"Design of lead-free inorganic halide perovskites for solar cells via cation-transmutation", X. Zhao, J.-H. Yang, Y. Fu, D. Yang, Q. Xu, L. Yu, **S.-H. Wei*** and L. Zhang*, J. Am. Chem. Soc. **139**, 2630 (2017).

Requirements for Single Junction Solar Cell Absorbers

Photovoltaic Semiconductors

Solar Cell Efficiencies And Challenges

Despite great success of current solar cell technologies, due to relatively low efficiency and stability, large scale application of solar cells is still quite challenging.

Organic-inorganic Hybrid Perovskite Solar Cell

Typical Cell Structure

The hybrid halide perovskites have some unique optoelectronic properties, many of those are related to Pb. Its efficiency has reached over 22% in just a few years, comparable to other commercial cells.

"Halide perovskite materials for solar cells: A theoretical review", W.-J. Yin, J. Yang, J. Kang, Y. Yan, and S.-H. Wei, J. Phys. Chem. A **3**, 8926 (2015); 16

Crystal and Electronic Structures of MAPbI₃

- > The perovskites have inverted band structure. The VBM has anion p, Pb p+s characters and the CBM has non-bonding Pb p character.
- The high degeneracy of the band edge states and *s*,*p* wavefunction characters result in high joint DOS and large matrix elements, i.e., high absorption coefficient.
- ➤ Large SOC of Pb is also responsible for the long carrier lifetime.

Defect Formation Energy of CH₃NH₃PbI₃

The low energy defects have shallow defect levels whereas the defects with deep defect levels all have high formation energy. This is mainly due to the large atomic size of the elements and the ionic character of the compounds with ionization state q=1.

Main Issues Related to the Perovskites

Low Stability of the Perovskites

Toxicity of Pb in Solution

Modifying Material Properties of the Perovskites

Possible Systems That Can Be Studied:

	– Halides	MAPbI ₃ , CsPbI ₃ , CsPbBr ₃
Perovskite	Chalcogenides	$(Na,K,Rb,Cs)(V,Nb,Ta,Sb,Bi)(O,S,Se)_3$ $(Mg,Ca,Sr,Ba)(Ti,Zr,Hf,Si,Ge,Sn)(O,S,Se)_3$
	Pnictogenides	(Ti,Zr,Hf,Si,Ge,Sn)(V,Nb,Ta,Sb,Bi)(N,P,As) ₃ (Sc,Al,Ga,In)(Cr,Mo,W)(N,P,As) ₃
	Isovalent Alloys	(MA,FA,Cs)(Pb,Sn,Ge)(I,Br,Cl,F) ₃
	Atomic Transmutation	$(Na,K,Rb,Cs)_2(CuAg,Au)(Sb,Bi,Sc,Y)(I,Br,Cl)_6$ $(Na,K,Rb,Cs)(Sb,Bi,Sc,Y)(O,S,Se)(I,Br,Cl)_2$ $(Mg,Ca,Sr,Ba)_2(Sc,Al,Ga,In)(V,Nb,Ta,Sb,Bi) (O,S,Se)_3$

Other Systems

 AB_2X_4 Spinels, Heusler (Cu,Ag)_x(Sb,Bi)_y(S,Se)_z

Stabilize Perovskite Structure by Alloying Anions

Cs or

Pb

- > The variations of formation energies and band gaps of the mixed random halide alloys $CsPbX_{1-x}Y_x$ show unusual behaviors such as small or even negative formation energies at some concentrations and CH₃NH₃ negligible or even negative band gap bowing.
 - ► Formation of mixed-(I, Cl) alloy is difficult but forming mixed-(Br, Cl) and (I, Br) alloys are easy.
 - \blacktriangleright The very stable ordered structures at x=1/3 is due to combined effects of strain and Coulomb interactions.

W.-J. Yin, Y. Yan, and S.-H. Wei, J. Phys. Chem. Lett. 5, 3625 (2014).

Improve the Stability of Perovskite by Extracting a 2D Slab from the 3D Perovskites

Cleaved structure within (001) plane leads to two termination: CsBr- and PbBr₂. One of the surface could have low energy.

Atomic Transmutation Derived Double Perovskite

X. Zhao, et al. J. Am. Chem. Soc. 139, 2630 (2017).

Decomposition Entropy Associated with Decomposition Pathway

 Many compounds in double perovskite structure are stable against decomposition into binary and ternary compounds.

Symmetry Properties of the Band Structures of Ordered Double Perovskite Compounds

To obtain superior optoelectronic properties, both of the B⁺/B³⁺ cations in A₂B⁺B³⁺X₆ must possess the lone-pair s states, so that a strong s-p coupling between the lone-pair s and X p orbitals could occur.

P. Zhang, J. Yang, and S.-H. Wei*, J. Mater. Chem. A. 6, 1809 (2018).

Indirect Band Gap of Ordered Cs₂AgBiBr₆

Recently, Cs₂AgBiBr₆ has been found to be stable and characterized as indirect band gap of 1.95 eV by photoluminescence spectroscopy.

A. Slavney et al., J. Am. Chem. Soc. 138, 2138 (2016).

Ordering Effects on the Band Structure of Cs₂AgBiBr₆

Reduced symmetry in disordered structure can significantly lower the band gap and convert an indirect semiconductor to direct (pseudodirect) semiconductor.

J. Yang, P. Zhang, and S.-H. Wei, J. Phys. Chem. Lett. 9, 31 (2018).

Control the Band Gap and Optical Transitions of Cs₂AgBiBr₆ by Controlling the Ordering Parameters

Bandgap and optical transitions are function of ordering parameter, which can be controlled by growth temperature.

Control the Band Gap and Optical Transitions of Cs₂AgBiBr₆ by Controlling the Ordering Parameters

 Doping such as Ba_{Cs}, La_{Cs} or creating Cs vacancy etc. could reduce the energy difference between ordered and disordered structure or even make disordered structure more stable than ordered structure, reduce transition temperatures.

J. Yang, P. Zhang, and S.-H. Wei, J. Phys. Chem. Lett. 9, 31 (2018).

Design Oxides for Hydrogen Production through PEC Water Splitting

Material Choices for PEC Water Splitting

None of the common compounds meet the criterions. Band structure engineering is needed.

PEC catalyst criteria

- Structural **stability** in solution.
- High **availability** (low cost).
- Good catalytic activity.
- **Band gap**: 1.7 2.2 eV.
- High **Photocurrent** generation.
- Band-edge alignment.

Anatase TiO₂ for PEC Water Splitting

TiO₂ is one of the most studied oxides for water splitting. It satisfy most of the criteria except that its band gap is too large to absorber visible light so the generated photocurrent is low.

Rational Band Structure Engineering

Identify atomic wavefunction characters of the band edge states

- VBM has anion O, p character
- CBM has cation Ti, d character
- The defect bands should raise the VBM but not reduce the CBM
- Charge compensated defect pairs should be used to reduce carrier recombination.

Chemical trend of atomic orbital energy

TiO₂:(C+Mo) for PEC Water Splitting

[Y Gai et al., Phys. Rev. Lett. **102**, 036402 (2009)]

Mo + C Codoped TiO₂ Using Thermal Oxidation for Enhancing Photocatalytic Activity

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Key Laboratory of Artificial Micro- and Nano-structures of Ministry of Education and School of Physics and Technology and Center for Electron Microscopy, Wuhan University, Wuhan 430072, P.R. China

ABSTRACT The photocatalytic activity of TiO2 is enhanced mainly through heightening absorption of UV-vis light and improving the separation efficiency of photoinduced electrons and holes. The recent new theoretical research revealed that the TiO₂ codoped with Mo + C is considered to be an optimal doping system. On the basis of this theory, the Mo + C codoped TiO_2 powders were first experimentally synthesized by thermal oxidizing a mixture of TiC and MoO₃ powders in the air. The XRD patterns and the XPS survey spectrum showed that carbon (C) acted as a Ti-O-C band structure and molybdenum (Mo) existed as Mo^{6+} in anatase TiO_2 . The Mo+C codoped TiO₂ had a 32 nm red shift of the spectrum onset compared with pure anatase TiO₂, and its band gap was reduced from 3.20 to 2.97 eV. The photocurrent of the Mo + C codoped TiO₂ was about 4 times as high as that of pure anatase TiO₂, and its photocatalytic activity on decomposition of methylene blue was enhanced.

FIGURE 4. Photoelectrochemical responses of Mo + C codoped TiO₂, C-doped TiO2, and anatase TiO2.
Reduce the Band Gap of Nano Metal Oxides



- Nano materials have large surface/bulk ratio, therefore, is more suitable for catalytic applications
- Most of the oxides have too large band gap for a specific application. Can the band gap of a nano structured material be reduced comparing to its bulk form?



Pseudo-H passivation removes the mid-gap state and increase the band gap due to quantum confinement effect.

Real-H atoms cannot provide enough electrons to saturate each Ti dangling bonds, therefore, it can produces defect levels derived from H and Ti orbitals inside the gap.

Real H Passivation vs. Pseudo H Passivation on the Electronic Structure of Ionic Semiconductors



It is possible to reduce the band gap of a low dimensional oxides with real hydrogen passivation!

H.-X. Deng, S.-S. Li, J. Li, S.-H. Wei, Phys. Rev. B 85, 195328

Design Bipolar Dopable Transparent Conducting Oxides

Transparent Conducting Materials



Solar cells 41

Development of TCOs



Bipolar Doping of TCOs

- Most of the well known post-TM TCOs are ntype. Why?
- ➢ How to achieve p-type doping in TCOs?
- ➢ Is it possible to have bipolarly dopable TCO?



The Doping Limit Rule

The dopability of a material is determined by its band alignment in an absolute energy scale

 $\Delta \mathsf{H}^{(\alpha,\mathsf{q})}(\mathsf{E}_{\mathsf{F}},\mu) = \Delta \mathsf{E}^{(\alpha,\mathsf{q})}(\mathsf{E}_{\mathsf{F}}=0,\mu_{\mathsf{i}}=0) + \Sigma n_{\mathsf{i}}\mu_{\mathsf{i}} + \mathsf{q}\mathsf{E}_{\mathsf{F}}$



A material is difficult to be doped n-type if the CBM is too high p-type if the VBM is too low

Optical Peculiarity of Metal Oxides: In₂O₃



- In₂O₃ doped with Sn (ITO) is currently the best TCO materials. It has an optical band gap of 3.7 eV and high electron mobility (>80 cm² V⁻¹ s⁻¹), thus is widely used in optoelectronic devices.
- → However, the band structure of In_2O_3 is not very well understood: Despite its high optical band gap, XPS measurements suggest that its band gap should be less than 3 eV. Does it has an indirect gap?

Why In₂O₃ Is a Good n-type TCO?



- In_2O_3 has a low CBM due to the large atomic size of In and the low O, s orbital energy, so it can be doped easily n-type
- The fundamental band gap of In_2O_3 is estimated to be

 $E_g \sim 2.9 \text{ eV}$

• There exists an disparity between the fundamental band gap (2.9 eV) and the optical band gaps (3.7 eV), i.e., the onset of the optical absorption is 0.8 eV larger than the fundamental band gap so it is transparent to visible light

[A. Walsh, J.F. Da Silva, S.-H. Wei, et al. Phys. Rev. Lett. 100, 167402 (2008).]

Criterion for Being a Good n-type TCO



A good n-type TCO should have :

- a small fundamental band gap caused by low CBM (i.e., it should contains large cations)
- a large optical band gap due to forbidden band edge transition (i.e., it should has crystal structure with inversion symmetry and large p-d coupling).
- The separation between first and second CB should be large to avoid intra-band absorption (i.e., material should have large ionicity)

Origin of p-type Doping Difficulty in Oxides



- Oxides are difficult to be doped p-type because their VBMs with O, p character are too low in energy, e.g., there are no group-V element that is more electronegative than O
- p-type TCO can be obtained by increasing the VBM
- A true wide gap transparent material is not possible to be doped both p-type and n-type!

Enhance p-type Doping in Oxides by Introducing Cu



• Cu 3*d* orbital energy is higher than O *p* orbital energy, so the VBM increases

- Coupling between the O *p* and Cu *d* orbitals delocalizes the hole state at the VBM
- Band gap could be tuned by controlling the degree of Cu *d*-*d* coupling

[H. Kawasoe et al., Nature 389, 939 (1997); X. Nie, S. B. Zhang, and S.-H. Wei, Phys. Rev. B **65**, 075111 (2002); X. Nie, S. B. Zhang, and S.-H. Wei, PRL 066405]

Enhance p-type Doping by Introducing Heavy Cations: BiVO₄



A. Walsh, Y. Yan, M. Huda, M. Mowafak, and S.-H. Wei, Chem. Mater. 21, 547(2009)

p-type TCO Based on Perovskite Materials

CsPbCl₃ Cs

Merits:

- ➢ Band gap: ~3.0 eV
- Optical absorption at R is parity forbidden
- High hole mobility because of s component at VBM
- High-energy VBM because s-p repulsion
- Defect properties: easy to dope p-type
- Structural comparability to perovskite solar cell



	Calculated	Expt.		
Lattice constant	5.670 A	5.605 A(ICSD)		
Band gap	2.83 eV	3.00 eV		
Effective mass (m/m ₀)	X> R (100)	R> M (011)	G> R (111)	
	0.164	0.312	0.163	

Bipolar Doping of TCOs

According to the doping limit rule, wide gap transparent material can not be doped both p-type and n-type!

➢ Is it possible to have bipolarly dopable TCO?

Design Bipolarly Dopable Transparent Conducting Oxides (TCOs)



• A bipolarly dopable TCO should have a large optical band gap so it is transparent, but also a much smaller fundamental band gap so it can be doped both p- and n-type

Nie, Wei, Zhang, PRL 88, 066405

Origin of the Self-limited Energy Density of Oxide Cathode Materials for Li-ion Batteries

LIBs Have Powered the World



But, to reduce the cost, we still need to increase the energy density of LIBs !

How to Increase the Energy Density of LIBs



The bottleneck is to increase the capacity of cathode, i.e., the number of Li ions or the number of electrons that can be reversibly exchanged during the delithiation-lithiation process as well as the output voltage.

The Cathode Is the Key



Meng et al., Accounts of Chemical Research 1, 3518 (2013)

Different Scenarios for the Charge Compensation



The Charge-compensation Process in Li_xFeSiO₄



P. Zhang and S.-H. Wei, Electrochimica Acta. 270, 409 (2018).

Mechanism for the Oxygen Vacancy Formation

Li₂FeSiO₄





Density of states

Li_{2-δ}FeSiO₄







Li₁₋₀FeSiO₄





Design 2D Functional Materials Using Atomic Transmutation

2D Materials



2D semiconductors have many unique structural, electronic and optical properties that is suitable for novel energy-related applications.

- Mechanically strong
- High thermal conductance
- High electrical mobility at room temperature
- But graphene has no gap, TMD has poor transport property, and phosphorene is unstable





Needs to search/design better 2D materials!



Phosphorene - SiS



11	12	13 ² P [*] _{1/2} Aluminum 26.981538 [Ne]3s ² 3p	14 ³ P ₀ Silicon 28.0855 [Ne]3s ² 3p ²	15 ⁴ S _{3/2} P Phosphorus 30.973761 [Ne]3s ² 3p ³	16 ³ P ₂ Sulfur 32.065 [Ne]35 ² 39 ⁴
29 ² S _{1/2} Cu	30 ¹ S ₀ Zn	5.9858 31 ² P [*] _{1/2} Ga	32 ³ P ₀ Ge	10.4867 33 ⁴ S _{3/2} AS	34 ³ P ₂ Se
Copper 63.546 [Ar]3d ¹⁰ 4s 7.7264	Zinc 65.409 [Ar]3d ¹⁰ 4s ² 9.3942	Gallium 69.723 [Ar]3d ¹⁰ 4s ² 4p 5.9993	Germanium 72.64 [Ar]3d ¹⁰ 4s ² 4p ² 7.8994	Arsenic 74.92160 [Ar]3d ¹⁰ 4s ² 4p ³ 9.7886	Selenium 78.96 (Ar]3d ¹⁰ 4s ² 4p ⁴ 9.7524
47 ² S _{1/2} Ag	48 ¹ S ₀	⁴⁹ In ² P ^o _{1/2}	50 °P₀ Sn	51 ⁴ S ₃₂ Sb	52 ³ P ₂ Te
Silver 107.8682 [Kr]4d ¹⁰ 5s 7.5762	Cadmium 112.411 [Kr]4d ¹⁰ 5s ² 8.9938	Indium 114.818 [Kr]4d ¹⁰ 5s ² 5p 5.7864	Tin 118.710 [Kr]4d ¹⁰ 5s ² 5p ² 7.3439	Antimony 121.760 [Kr]4d ¹⁰ 5s ² 5p ³ 8.6084	Tellurium 127.60 [Kr]4d ¹⁰ 5s ² 5p ⁴ 9.0096

Atomic Transmutation for 2D Materials: Does it work?



Z. Zhu, J. Guan, D. Liu, D. Tománek, ACS Nano 9, 8284 (2015).

IM²ODE

Inverse-design of Materials by Multi-Objective Differential Evolution



Zhang, Y.-Y.; Gao, W.; Chen, S.; Xiang, H.; Gong, X.-G. Comput. Mater. Sci. 2015, 98, 51.

The Lowest Energy Structures of SiS Systems









Wave vecto

Formation Energy Diagram of Si_xS_{1-x}



• [1] Z. Zhu, ACS Nano 9, 8284 (2015).

• SiS₂ structures are the most stable 3D structure

Band Structures and DOS



Optical Properties



Both Pma2-SiS and Silicene Sulfide have direct bandgaps which can allow optical transitions at band edges and have values close to the optimal requirement for solar cell applications. It can also be used as sensor for polarized light.





Summary

First-principles electronic structure calculations can provide deep physical understanding on the material properties. Recent development in first-principles theory and computational power has enabled us to perform ab initio knowledge-based material design. It has now become a vital tool for accelerating scientific discovery of new energy materials.



Collaborators:

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Thank You for your attention

Members of Materials and Energy Division



74

研究部人员构成及研究方向



Roberto Car

Quantum and statistical mechanics. New method development based on abinitio molecular dynamics simulation and density functional theory (DFT).

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Entrance Hall



Academic Hall



Court Yard



Conference Room







Cafeteria



Drinking Bar





Ping-Pong Room

Exercise Room