

# D meson mixing based on dispersion relation



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(Paper under preparation)

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# charm

- Charm quark mass is a unique scale.

$$m_c = 1.3 \text{ GeV}$$

- too heavy for ChPT
- too light for  $\Lambda_{\text{QCD}}/m_c$  expansion?

Theoretically challenging

# charm

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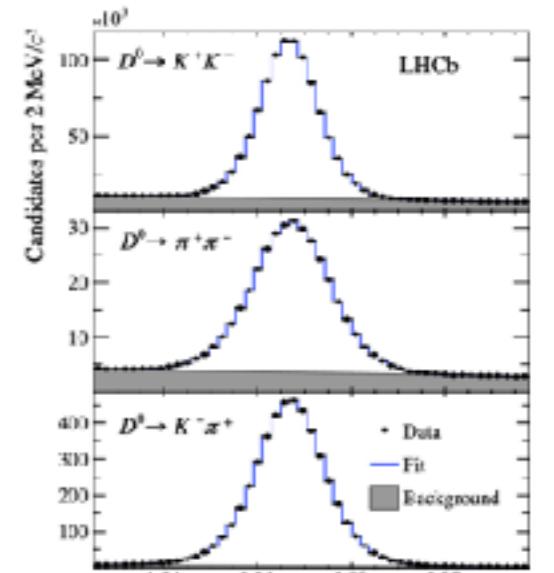
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- too heavy for ChPT
- too light for  $\Lambda_{\text{QCD}}/m_c$  expansion?

Theoretically challenging

- High statistics data is provided.

- in a good stage to test theories



LHCb [1810.06874]

# charm

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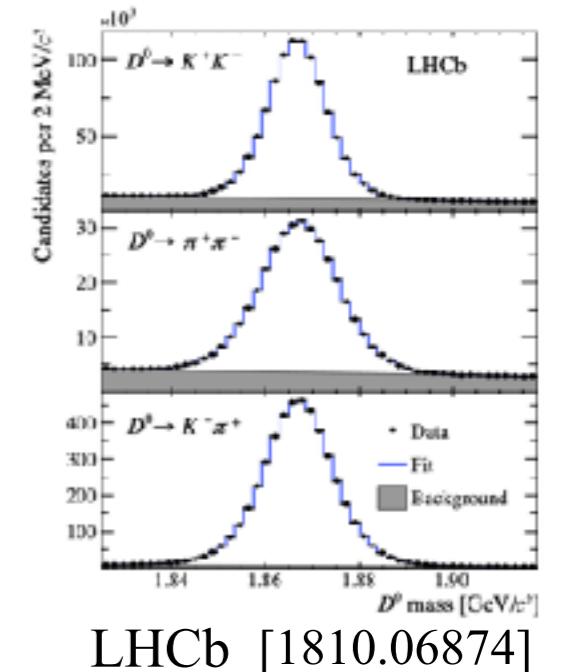
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Theoretically challenging

- High statistics data is provided.

- in a good stage to test theories



- D meson mixing.

- experimental data are not quantitatively reproduced yet

# **Outline**

**(A) D meson mixing**

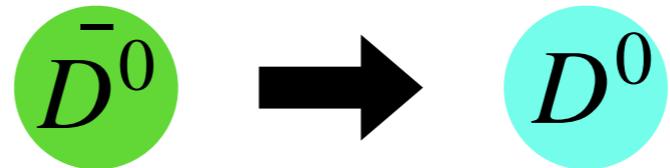
— experiment / theoretical methods

**(B) Dispersion relation**

— parametrization

# $D^0 - \bar{D}^0$ mixing

time evolution



Time evolution Eq.

$$i\frac{\partial}{\partial t} \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left( \mathbf{M} - \frac{i}{2}\boldsymbol{\Gamma} \right) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

Mass eigenstate  $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$

$q/p \neq \text{unity}$   
 CP violation

observables

$$\left\{ \begin{array}{l} x = (M_1 - M_2)/\Gamma = 2M_{12}/\Gamma \quad \text{mass difference} \\ y = (\Gamma_1 - \Gamma_2)/2\Gamma = 2\Gamma_{12}/\Gamma \quad \text{width difference} \end{array} \right.$$

Experiment

$$\left\{ \begin{array}{lll} x = (3.9^{+1.1}_{-1.2}) \times 10^{-3} & y = (6.51^{+0.63}_{-0.69}) \times 10^{-3} & \text{non-zero} > 11.5\sigma \\ |q/p| = 0.969^{+0.050}_{-0.045} & \arg(q/p)(^\circ) = -3.9^{+4.5}_{-4.6} & \text{CP violation not measured} \end{array} \right.$$

# D meson mixing: theory

## Two methods

○ Exclusive

Hadronic-level analysis

Not calculable  $\left\{ \begin{array}{l} \Gamma[D \rightarrow \pi\pi] \\ \Gamma[D \rightarrow KK] \end{array} \right.$



Data are used

(our study)  
○ Inclusive

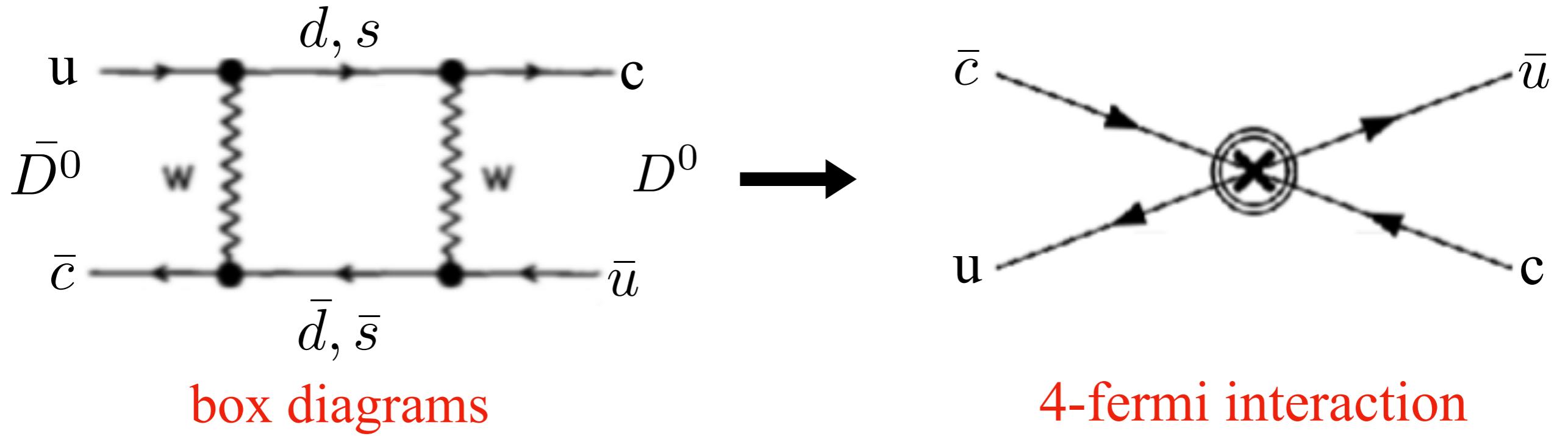
Quark-level analysis

without data

(quark-hadron duality)

# Inclusive approach

Quark-level



OPE/Heavy quark expansion (HQE)

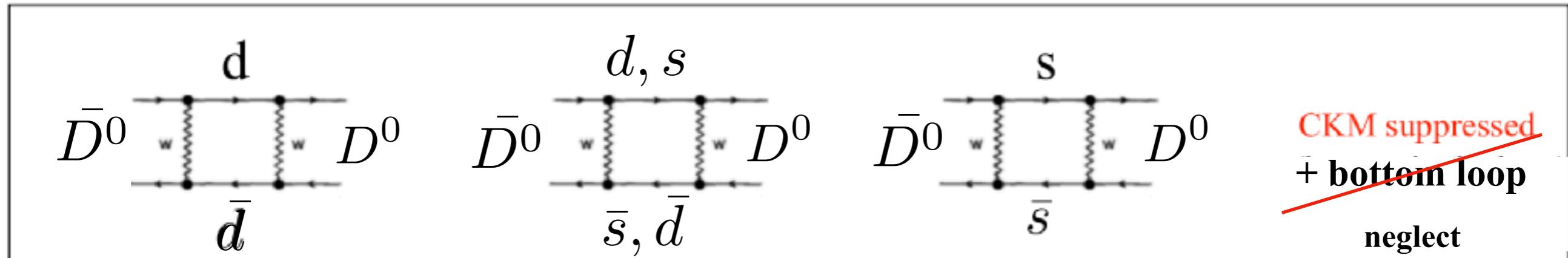
$$\Pi_{12} = M_{12} - \frac{i}{2} \Gamma_{12} \quad \longrightarrow \quad \Pi_{12} = \sum_n \frac{C_n}{m_c^n} \langle D^0 | \mathcal{O}_n^{\Delta C=2} | \bar{D}^0 \rangle$$

$C_n$  : Wilson coefficients

n: dimension of operator

# Contributions

$$\lambda_i = V_{ci} V_{ui}^*$$



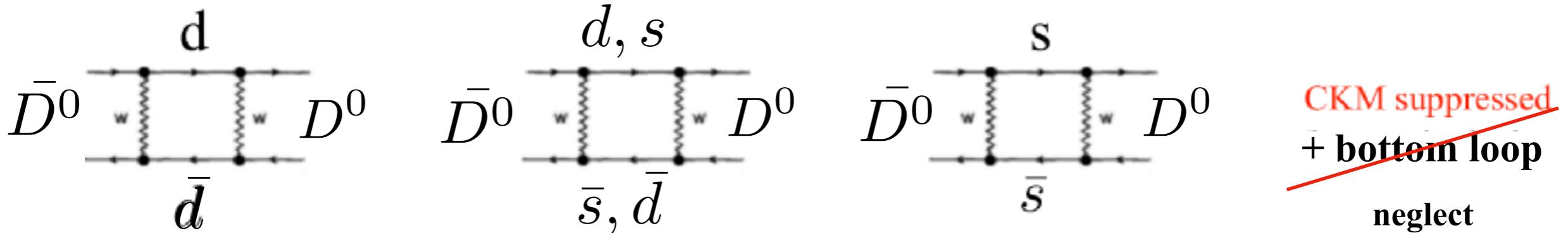
For  $m_s = m_d$

~~CKM unitarity  
 $\lambda_d + \lambda_s + \lambda_b = 0$   
neglect~~

**summation**  $\propto \lambda_d^2 + 2\lambda_d\lambda_s + \lambda_s^2$

# Contributions

$$\lambda_i = V_{ci} V_{ui}^*$$



For  $m_s = m_d$

~~CKM unitarity  
 $\lambda_d + \lambda_s + \lambda_b = 0$~~

**summation**  $\propto \lambda_d^2 + 2\lambda_d\lambda_s + \lambda_s^2 = 0$

→ Suppressed by GIM mechanism.

**non-zero contributions  
to D mixing**

$\left\{ \begin{array}{l} \text{SU(3) breaking: } \frac{m_s^2 - m_d^2}{m_c^2} \\ \text{small CKM : } \lambda_b = \mathcal{O}(\lambda^5) \end{array} \right.$

# Theory / Exp. comparison (for inclusive)

## D meson

Hagelin 1981, Cheng 1982

Buras, Slominski and Steger 1984

NLO QCD Golowich and Petrov 2005

$$\text{SM} \left\{ \begin{array}{l} x \simeq 6 \times 10^{-7} \\ y \simeq 6 \times 10^{-7} \end{array} \right.$$

Suppressed by GIM

$$\text{Exp.} \left\{ \begin{array}{l} x = (3.9^{+1.1}_{-1.2}) \times 10^{-3} \\ y = (6.51^{+0.63}_{-0.69}) \times 10^{-3} \end{array} \right. \text{HFLAV at Moriond2019}$$

## $B_s$ meson

Artuso, Borissov and Lenz, 2016

$$\text{SM} \left\{ \begin{array}{l} \Delta M_s = (18.3 \pm 2.7) \text{ ps}^{-1} \\ \Delta \Gamma_s = (0.088 \pm 0.020) \text{ ps}^{-1} \end{array} \right.$$

$$\text{Exp.} \left\{ \begin{array}{l} \Delta M_s = (17.757 \pm 0.021) \text{ ps}^{-1} \\ \Delta \Gamma_s = (0.082 \pm 0.006) \text{ ps}^{-1} \end{array} \right. \text{HFLAV}$$

## $B_d$ meson

Artuso, Borissov and Lenz, 2016

$$\text{SM} \left\{ \begin{array}{l} \Delta M_d = (0.528 \pm 0.078) \text{ ps}^{-1} \\ \Delta \Gamma_d = (2.61 \pm 0.59) \cdot 10^{-3} \text{ ps}^{-1} \end{array} \right.$$

$$\text{Exp.} \left\{ \begin{array}{l} \Delta M_d = (0.5055 \pm 0.0020) \text{ ps}^{-1} \\ \Delta \Gamma_d = 0.66(1 \pm 10) \cdot 10^{-3} \text{ ps}^{-1} \end{array} \right. \text{HFLAV}$$

- For  $B_s, B_d$  mesons, the data are reproduced within  $1\sigma$ .
- For D meson, the order of magnitude is not reproduced within leading-power.

# Theory / Exp. comparison (for inclusive)

## D meson

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## Possibilities discussed in the literature

### HQE is not convergent for charm?

— Higher dimensional operators ( $D=9,12$ ) avoid severe GIM cancellation?

$x, y \sim \mathcal{O}(10^{-3})$  Bigi and Uraltsev, 2001

$x \sim y \lesssim 10^{-3}$  Falk, Grossman, Ligeti and Petrov, 2001

(non-perturbative matrix element required)

### Violation of quark-hadron duality?

— 20% violation explains the data. (based on model)

Jubb, Kirk, Lenz and Tetlalmatzi-Xolocotzi, 2017

### Beyond the standard model?

—  $x$  is explainable.

Golowich, Hewett, Pakvasa and Petrov, 2009

— contribution to  $y$  is small.

Golowich, Pakvasa and Petrov, 2007

- For  $B_s, B_d$  mesons, the data are reproduced within  $1\sigma$ .
- For D meson, the order of magnitude is not reproduced within leading-power.

# Inclusive approach

## Strategy in this study

- We use the dispersion relation.
- HQE is applicable above  $\Lambda$ .  $\Lambda \sim m_b$

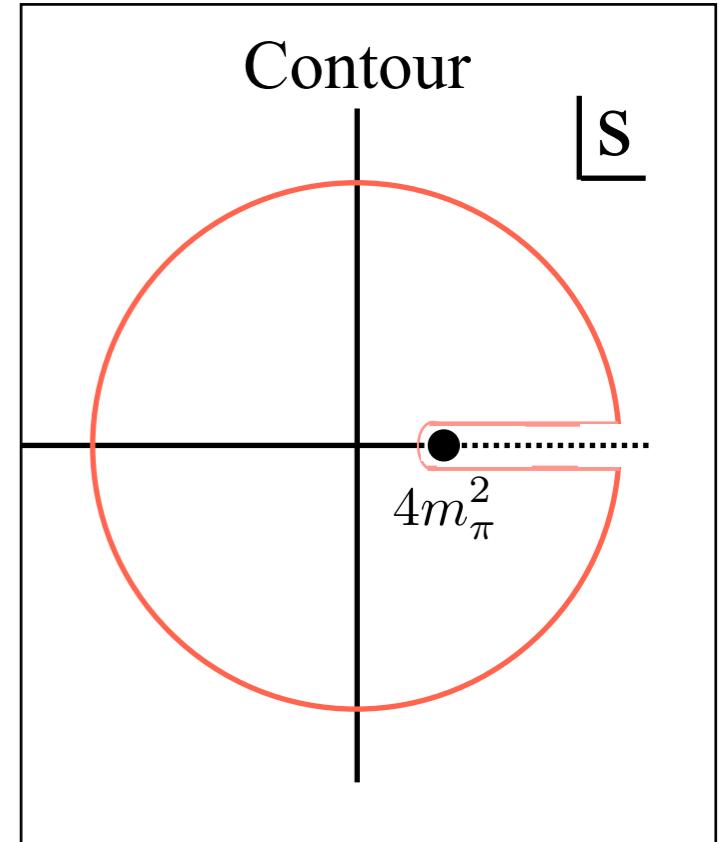


$1/m_b$  expansion works better than  $1/m_c$

# Dispersion relation

$$s = m_q^2$$

$$x(m_D^2) = -\frac{1}{\pi} P \int_{4m_\pi^2}^\infty \frac{y(s)}{s - m_D^2} ds \quad \text{for D meson}$$



generalized

→  $x(m_q^2) = -\frac{1}{\pi} P \int_{4m_\pi^2}^\infty \frac{y(s)}{s - m_q^2} ds \quad \text{for fictitious D meson}$

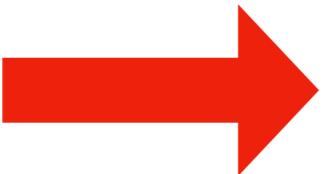
# Dispersion relation

$$\begin{aligned}\pi x(s) &= P \int_{4m_\pi^2}^\infty \frac{y(s')}{s - s'} ds' \\ &= P \int_{4m_\pi^2}^{\Lambda} \frac{y(s')}{s - s'} ds' + P \int_{\Lambda}^\infty \frac{y(s')}{s - s'} ds',\end{aligned}$$

devided into two pieces

# Dispersion relation

$$\begin{aligned}\pi x(s) &= P \int_{4m_\pi^2}^\infty \frac{y(s')}{s - s'} ds' \\ &= P \int_{4m_\pi^2}^{\Lambda} \frac{y(s')}{s - s'} ds' + P \int_{\Lambda}^\infty \frac{y(s')}{s - s'} ds'\end{aligned}$$

unknown function	calculable object
 $\int_{4m_\pi^2}^{\Lambda} \frac{y(s')}{s - s'} ds' = \omega(s)$	 s: large $s > \Lambda$

Fredholm equation: multiple solutions do exist.

$$\omega(s) = \pi x(s) - P \int_{\Lambda}^\infty \frac{y(s')}{s - s'} ds'$$

# expansion of l.h.s.

Problem to solve

$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$$

$$\begin{aligned} \frac{1}{s - s'} &= \frac{1}{s - m^2 - (s' - m^2)} & m^2 : \text{constant} \\ &= \frac{1}{s - m^2} \frac{1}{1 - \frac{s' - m^2}{s - m^2}} & (\text{Taylor expansion}) \end{aligned}$$

# expansion of l.h.s.

Problem to solve

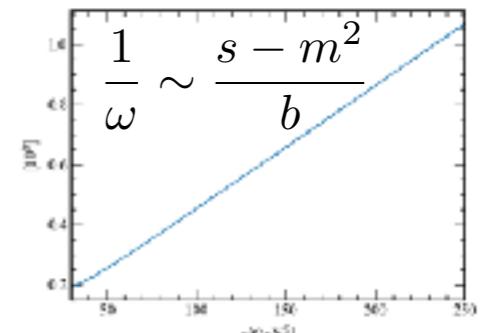
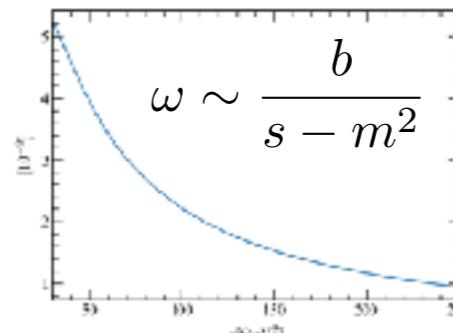
$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$$

$$\begin{aligned} \frac{1}{s - s'} &= \frac{1}{s - m^2 - (s' - m^2)} & m^2 : \text{constant} \\ &= \frac{1}{s - m^2} \frac{1}{1 - \frac{s' - m^2}{s - m^2}} & (\text{Taylor expansion}) \\ &= \frac{1}{s - m^2} + \underbrace{\frac{(s' - m^2)}{(s - m^2)^2} + \frac{(s' - m^2)^2}{(s - m^2)^3} + \dots}_{\text{subleading}} \end{aligned}$$

subleading

This expansion is convergent since  $s \gg s'$

Mathematical consistency:  $\omega(s) \simeq \frac{b}{s - m^2}$  for large  $s$



## Problem to solve

$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$$

use of the approximation:  $\omega(s) \simeq \frac{b}{s - m^2}$

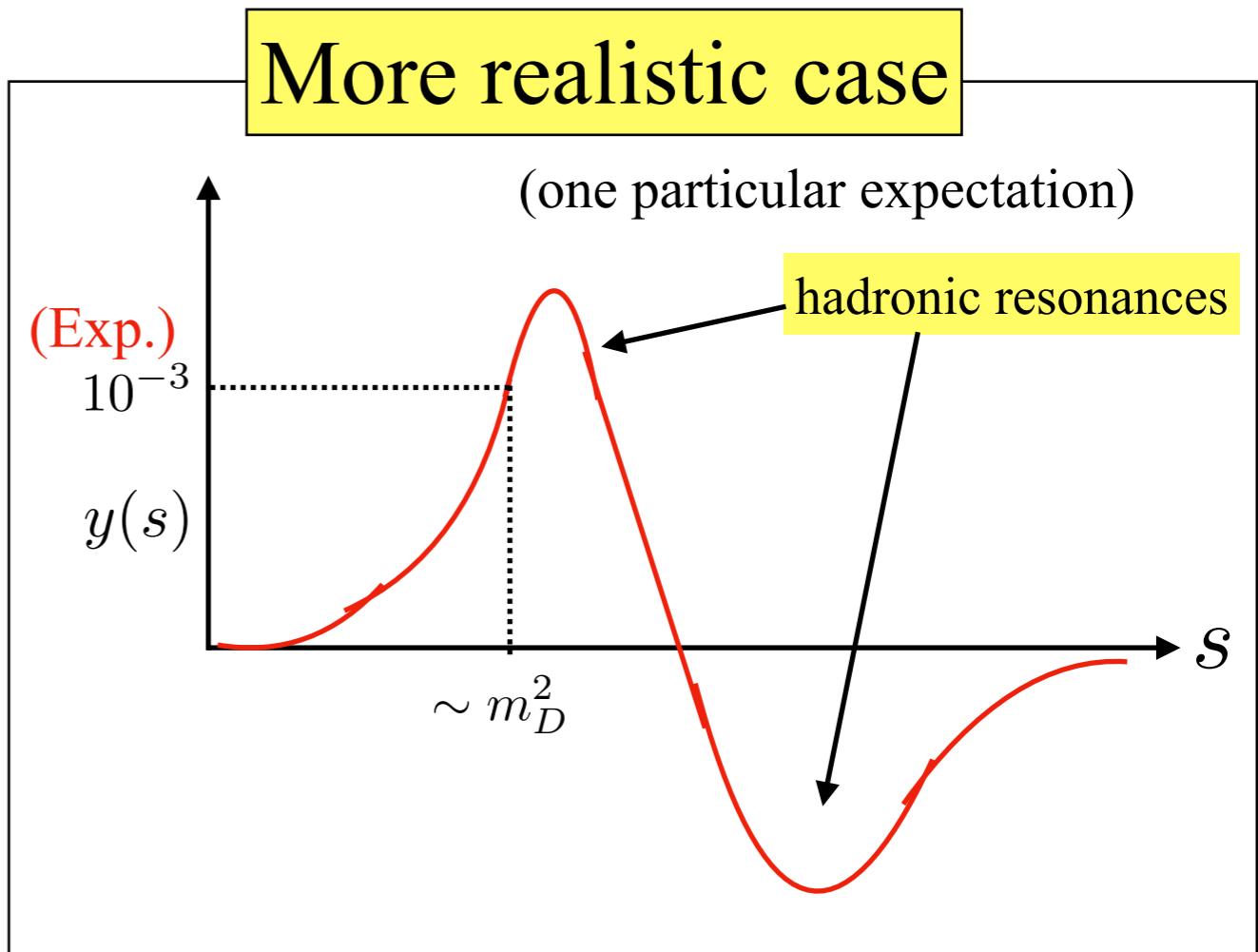
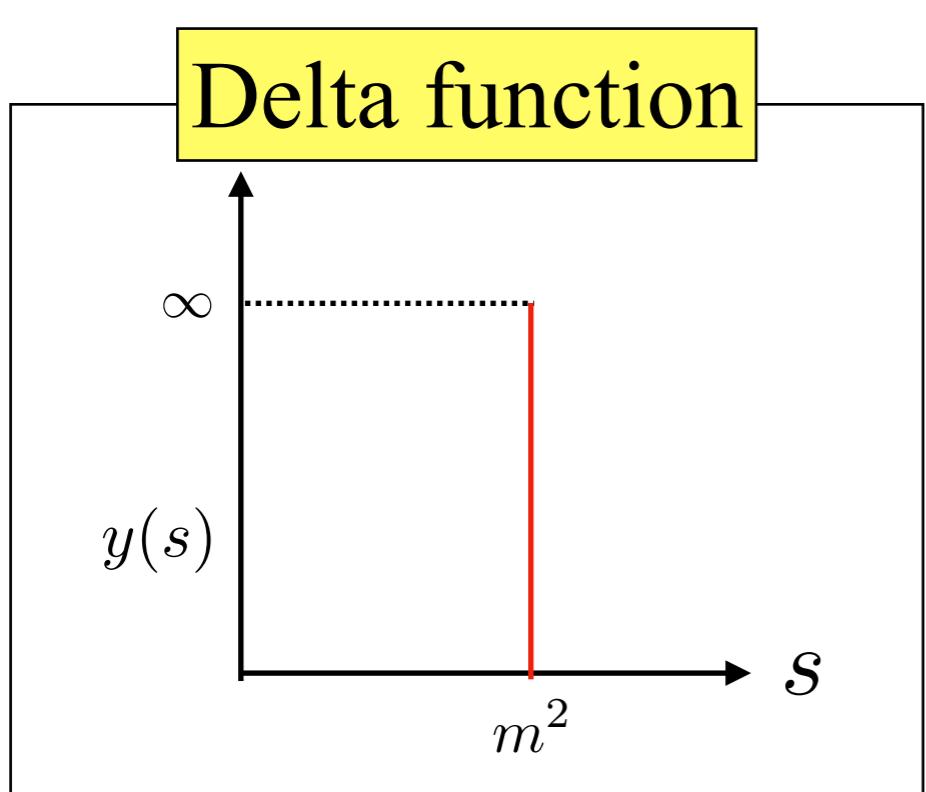
The problem becomes



$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \frac{b}{s - m^2}$$

(one particular) solution:  $y(s') = b \delta(s' - m^2)$

# Delta function insufficient?



## Points to improve

- Delta function should be smeared to be finite.
- Higher order terms in the expansion should be taken into account.

# Parametrization

$$y(s) = N_s \frac{b_0 + b_1(s - m^2) + b_2(s - m^2)^2}{[(s - m^2)^2 + d^2]^2}$$

## Strategy

①  $\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$

substitute  $y(s')$  theoretical input

② Fit unknown constants so as  
to reproduce the theoretical input.  
unknowns:  $(m^2, d, b_0, b_1, b_2)$

③ Pick out a solution and  
check whether experimental  
data are reproduced.

# Minimum structure

## How to handle multiple solutions

- (1) Fix  $(m^2, d)$
- (2) Fit  $(b_0, b_1, b_2)$   
via the least square method

## Residual sum of squares (RSS)

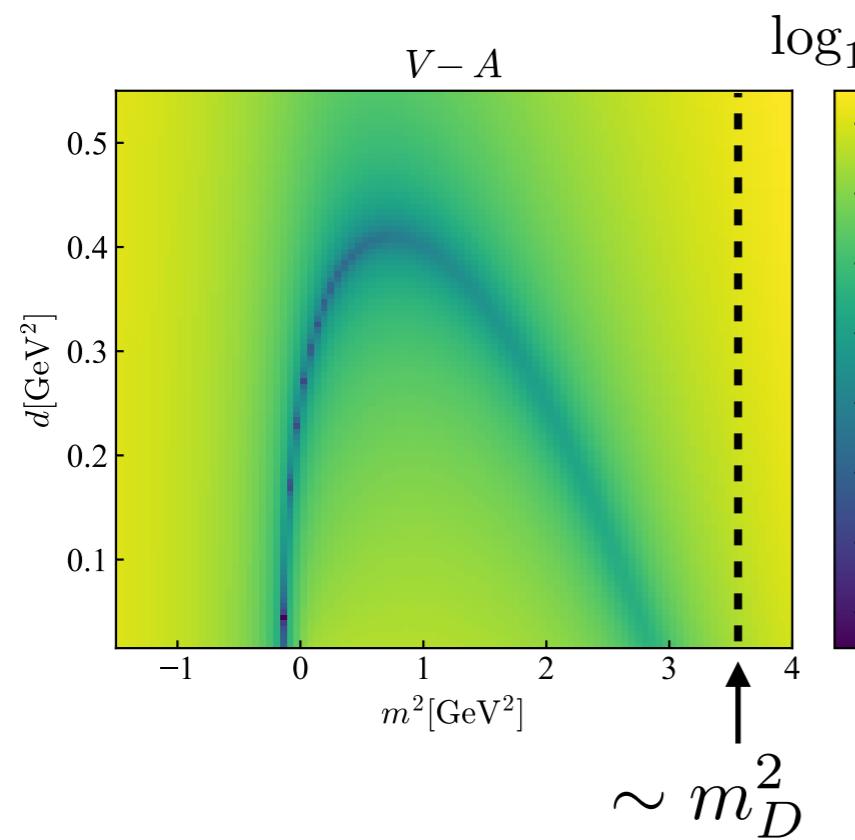
$$\text{RSS} = \sum_{i=1}^{200} \left( \omega(s_i) - \int_{\Lambda}^{\infty} \frac{y(s')}{s_i - s'} ds' \right)^2$$

$$s_i : [30\text{GeV}^2, 250\text{GeV}^2]$$

$$m_s = 96.6 \text{ MeV}$$

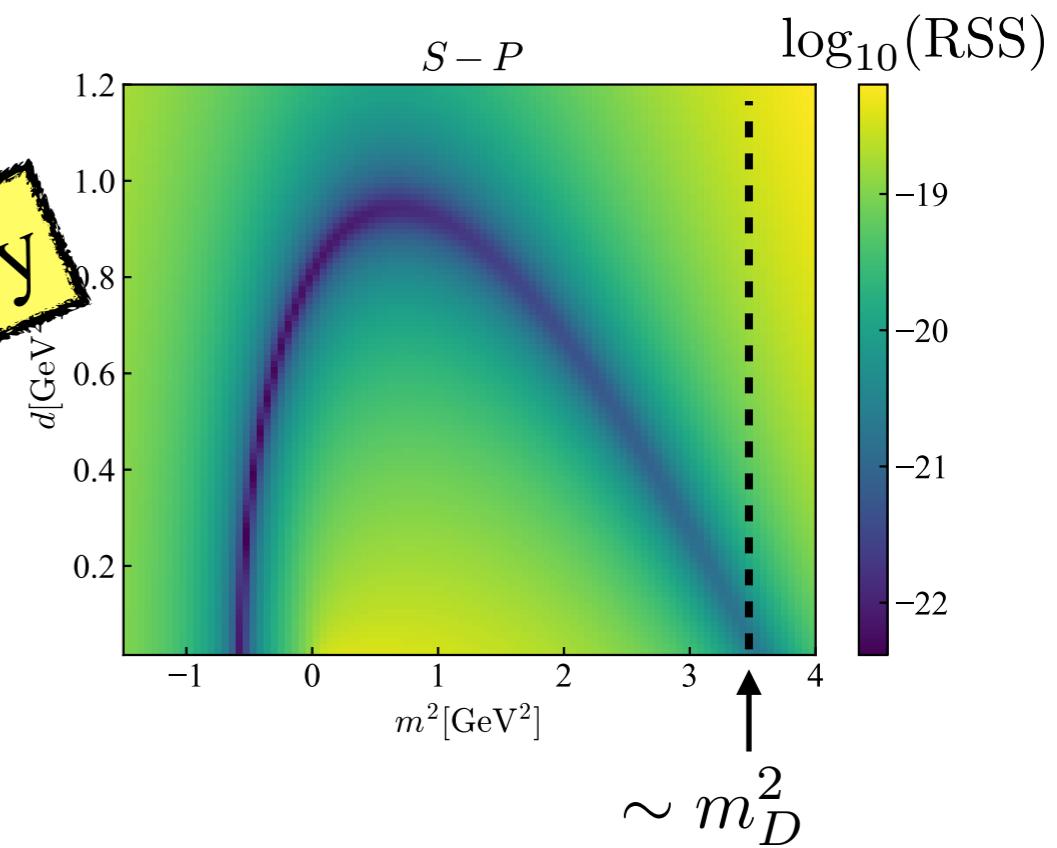
$$\Lambda = 15 \text{ GeV}^2$$

$$\mathcal{O}_1 = (\bar{c}u)_{V-A}(\bar{c}u)_{V-A}$$



(enhancement not expected)

$$\mathcal{O}_2 = (\bar{c}u)_{S-P}(\bar{c}u)_{S-P}$$

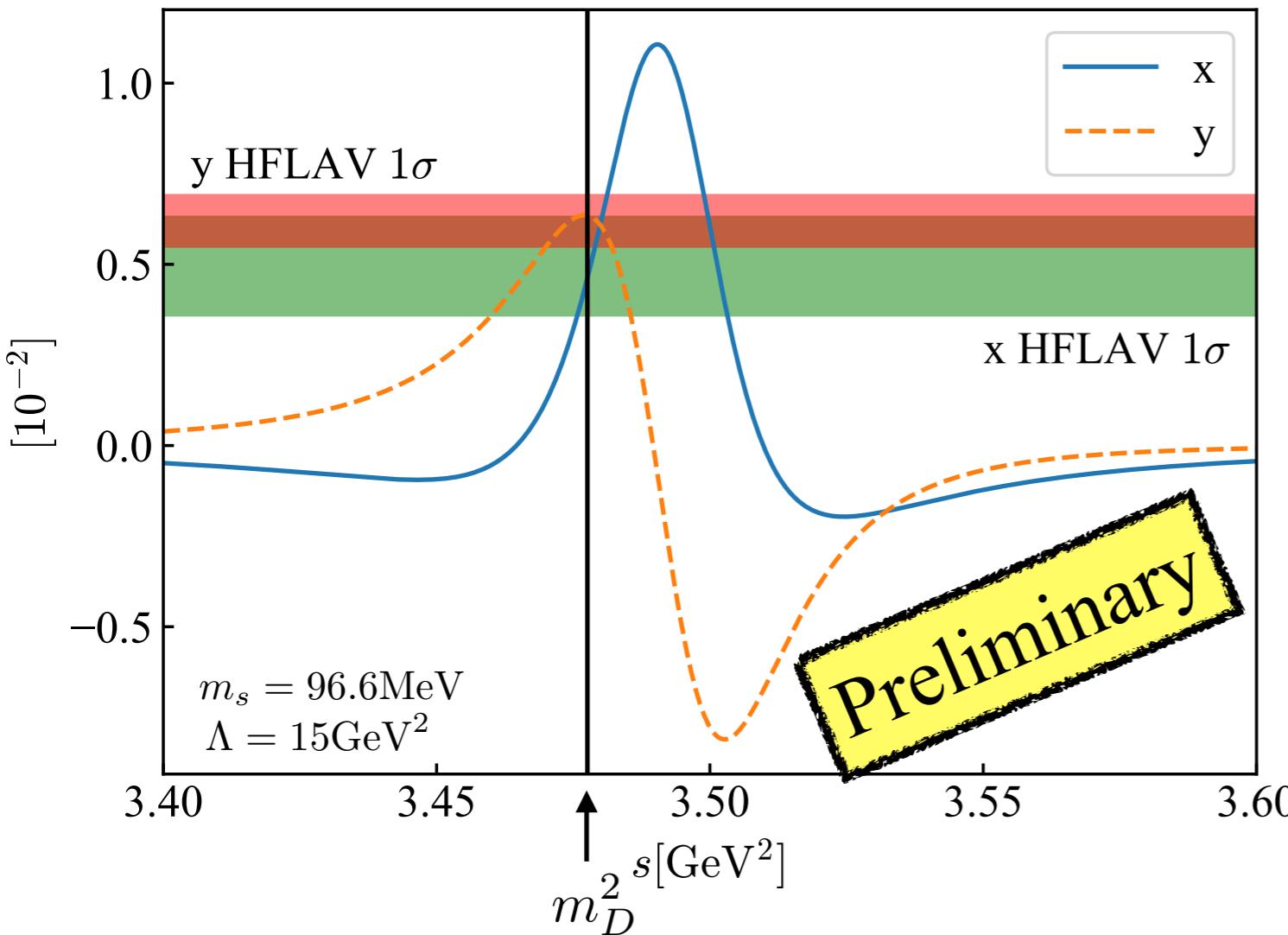


# Result

HFLAV2019 Experimental data

{  
Green band: x  
Red band: y

Without CP violation



$b_2$  contribution is only 10%  
of total x and y.

$$y(s) = Ns \frac{b_0 + b_1(s - m^2) + b_2(s - m^2)^2}{[(s - m^2)^2 + d^2]^2}$$

# Summary

- Using the OPE, which is reliable above  $\sim m_b$ ,  
the dispersion relation turns into an inverse problem.
- Parametrization of the solution is proposed so as to  
respect the argument of the multipole expansion.
- The specific solution in the SM can quantitatively  
explain the experimental data.

# Backup

# Parametrization

$$y(s) = N_s \frac{b_0 + b_1(s - m^2) + b_2(s - m^2)^2}{[(s - m^2)^2 + d^2]^2}$$

(a) The narrow width limit reproduces delta function.

$$\lim_{d \rightarrow 0} y(s) = b \delta(s - m^2) + \dots$$

(b) It parametrizes higher order terms in the Taylor expansion.

(c) The boundary condition,  $\Gamma_{12}(4m_\pi^2) = 0$ , is satisfied. ( $4m_\pi^2 \sim 0$ )  
or  $y(4m_\pi^2) = 0$

# Expressions in the SM

$$M_{12} - \frac{i}{2}\Gamma_{12} = B \langle D^0 | \mathcal{O}_1 | \bar{D}^0 \rangle + C \langle D^0 | \mathcal{O}_2 | \bar{D}^0 \rangle$$

Two contributions

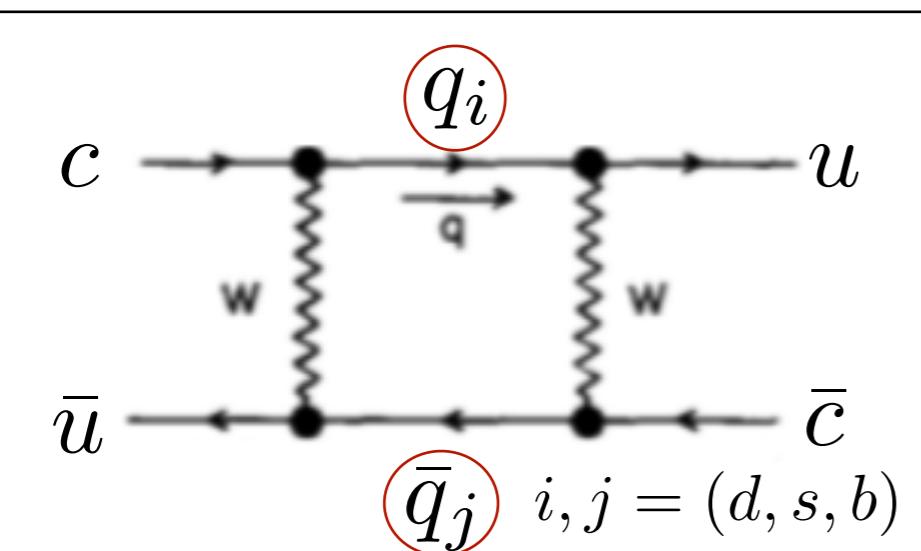
$$\left\{ \begin{array}{l} \mathcal{O}_1 = (\bar{c}u)_{V-A}(\bar{c}u)_{V-A} \\ \mathcal{O}_2 = (\bar{c}u)_{S-P}(\bar{c}u)_{S-P} \end{array} \right.$$

Explicit formula

$$\left\{ \begin{array}{l} M_{12}(s) = \frac{G_F^2 M_W^2}{32\pi^2} f_H^2 M_H \sum_{i,j}^{d,s,b} \lambda_i \lambda_j \left[ \xi_1 B_1(\mu) B_{ij}^{(d)}(s) + \xi_2 R(s) B_2(\mu) C_{ij}^{(d)}(s) \right], \\ \Gamma_{12}(s) = \frac{G_F^2 M_W^2}{32\pi^2} f_H^2 M_H \sum_{i,j}^{d,s,b} \lambda_i \lambda_j \left[ \xi_1 B_1(\mu) B_{ij}^{(a)}(s) + \xi_2 R(s) B_2(\mu) C_{ij}^{(a)}(s) \right], \end{array} \right.$$

Flavor sum

$\lambda_i = V_{ci} V_{ui}^*$



CKM unitarity

$$\lambda_d + \lambda_s + \lambda_b = 0 \quad \longleftrightarrow \quad \lambda_d = -\lambda_s - \lambda_b$$

$$\left\{ \begin{array}{l} M_{12}(s) = \frac{G_F^2 M_W^2}{32\pi^2} f_H^2 M_H (\lambda_s^2 U_{ss}^{(d)} + 2\lambda_s \lambda_b U_{sb}^{(d)} + \lambda_b^2 U_{bb}^{(d)}) \\ \Gamma_{12}(s) = \frac{G_F^2 M_W^2}{32\pi^2} f_H^2 M_H (\lambda_s^2 U_{ss}^{(a)} + 2\lambda_s \lambda_b U_{sb}^{(a)} + \lambda_b^2 U_{bb}^{(a)}) \end{array} \right.$$

$U$  : loop function

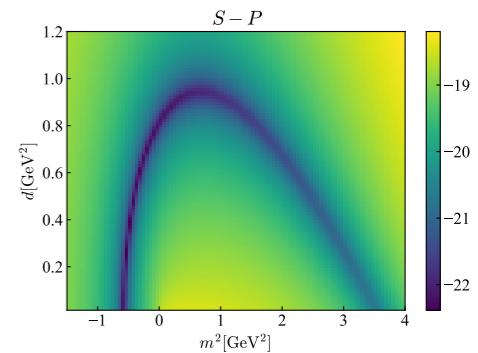
Buras, Slominski and Steger,  
Nucl. Phys. B245, 369 (1984)

# Solution of Fredholm equation

- Solution is sensitive to the variation of an input.

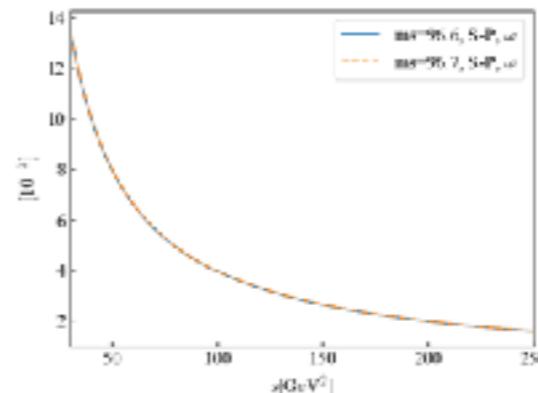
$$\int_{4m_\pi^2}^{\Lambda^2} \frac{y(s')}{s' - s} ds' = \omega(s)$$

Example:  $m_s = 96.6\text{MeV}$  VS  $m_s = 96.7\text{MeV}$

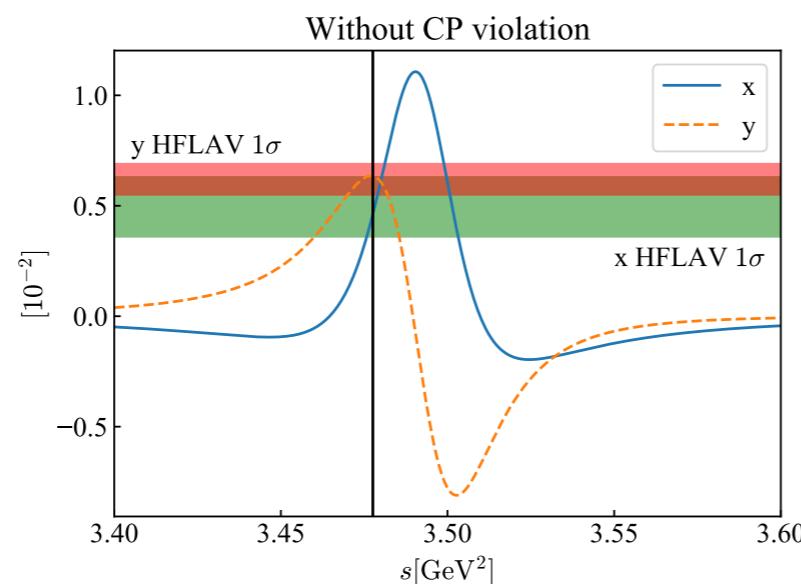


$\leftrightarrow$   
 $\sim m_D^2$

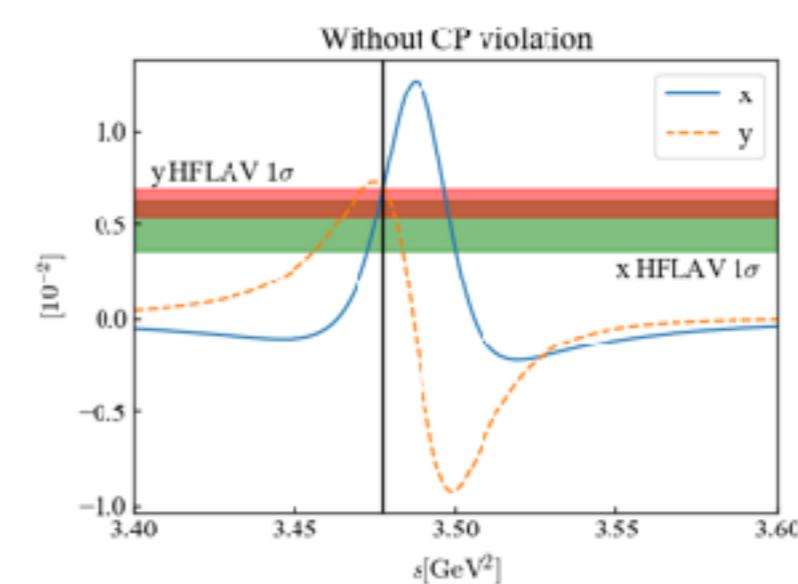
input: almost unchanged  
0.3%



$m_s = 96.6 \text{ MeV}$



$m_s = 96.7 \text{ MeV}$

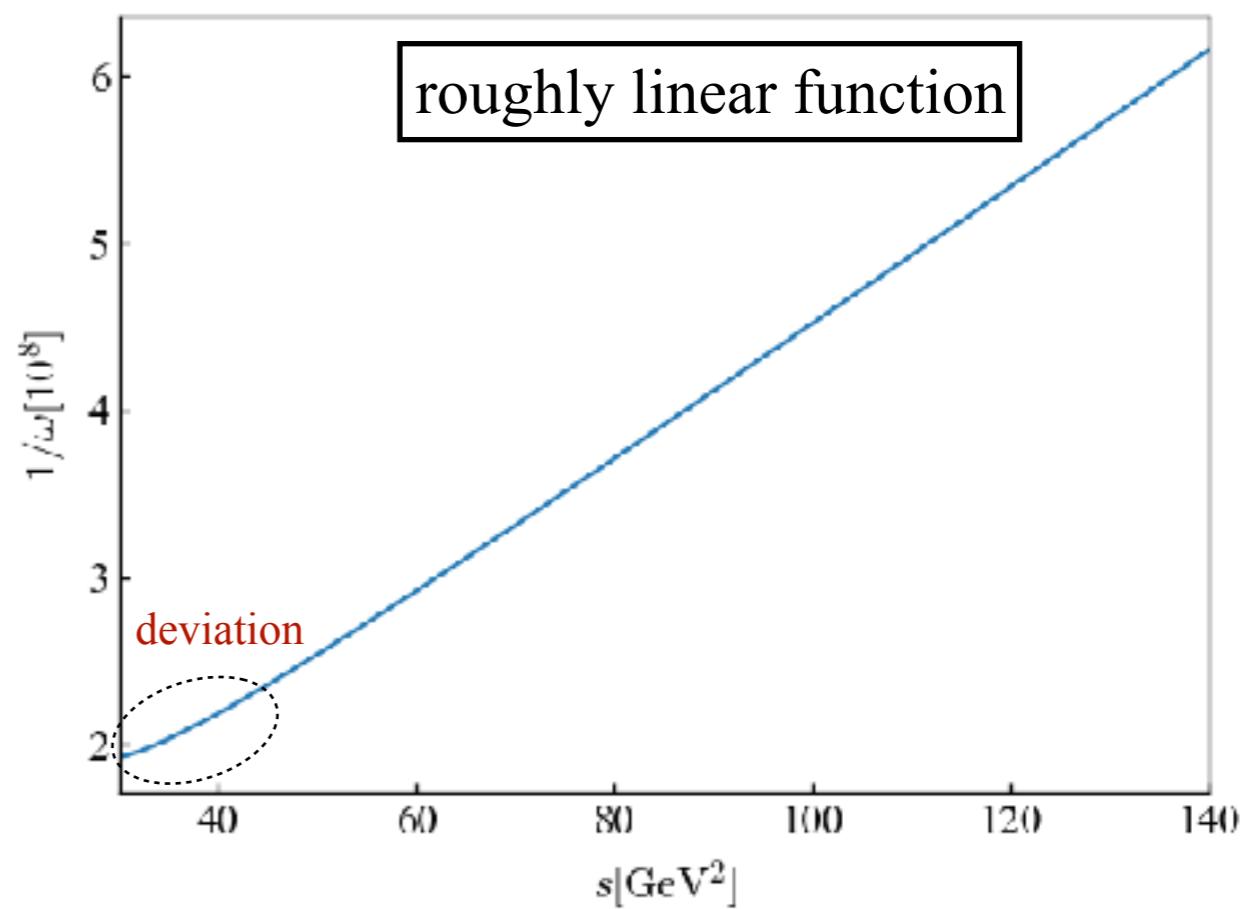
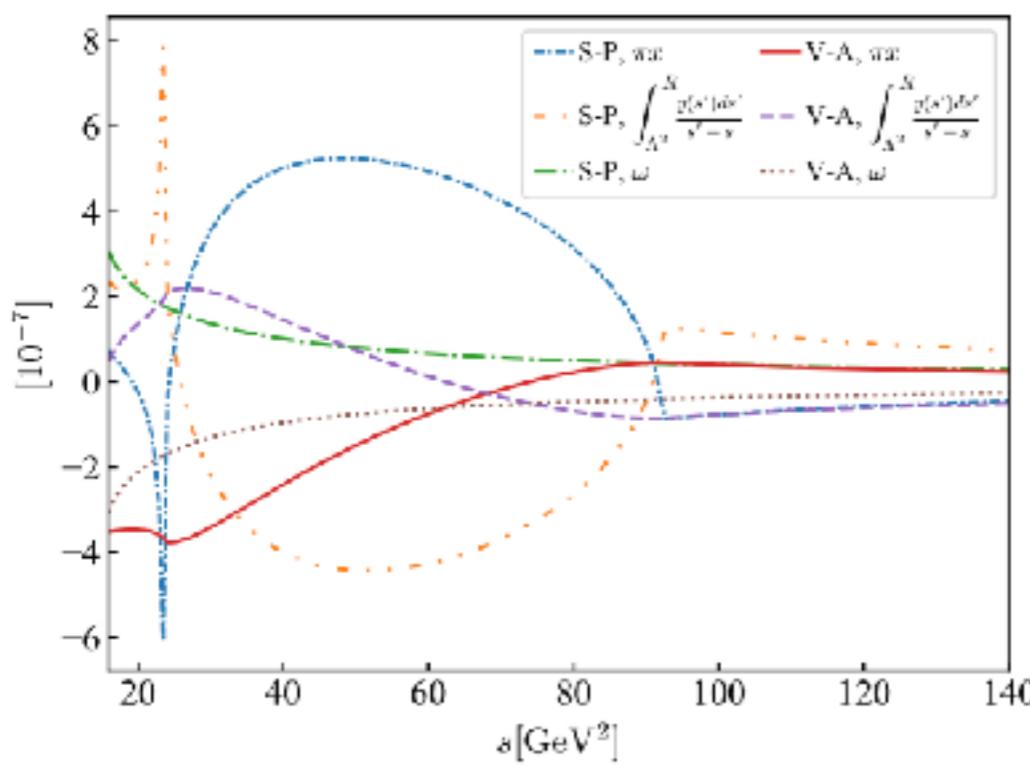
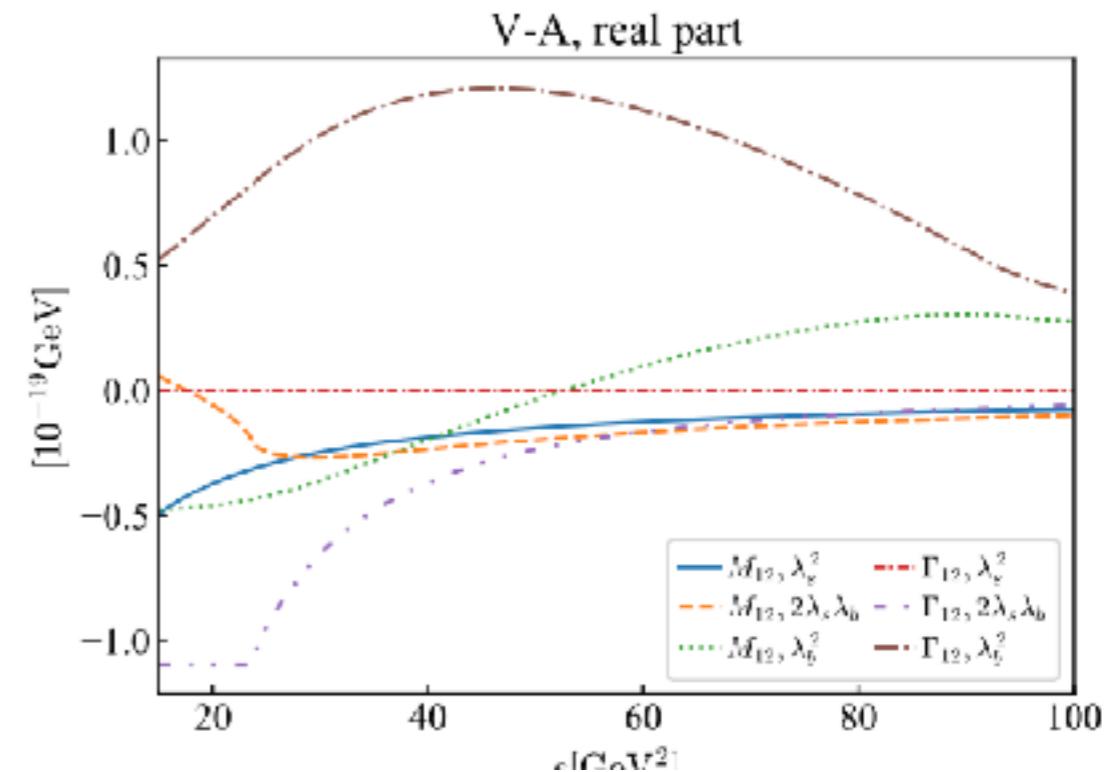
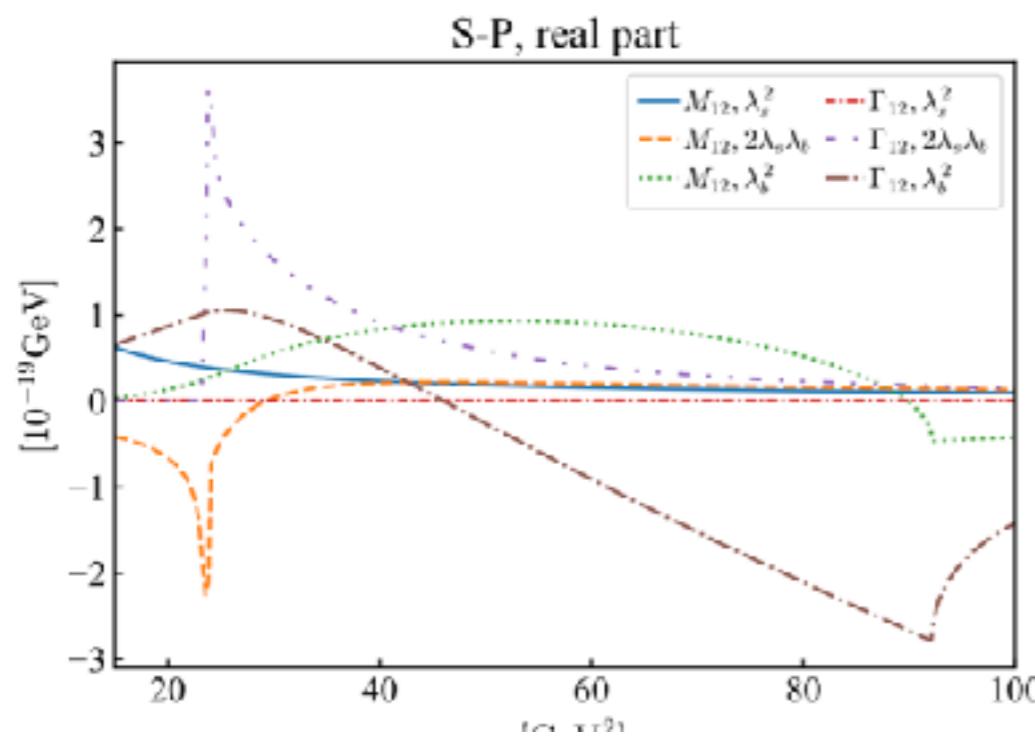


solution: modified

$1\sigma$  explainable

not explainable

# Input of OPE



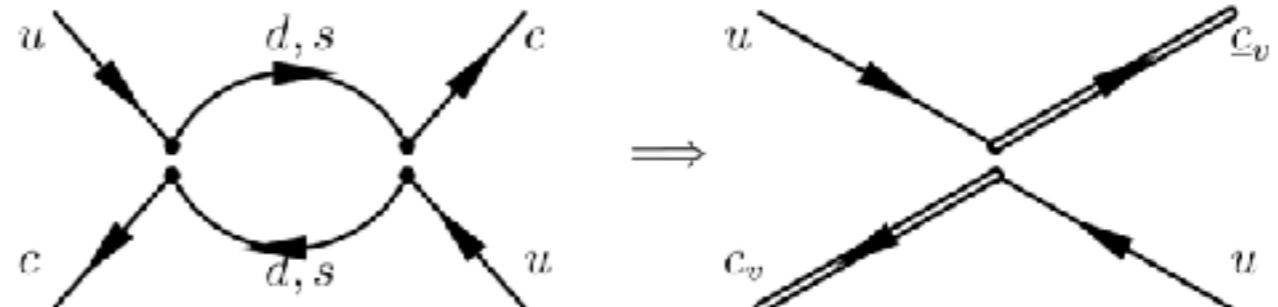
# Structure of higher-dimensional operators

Ohl, Ricciardi and Simmons [9301212]

D=6

Leading in  $1/m_q$

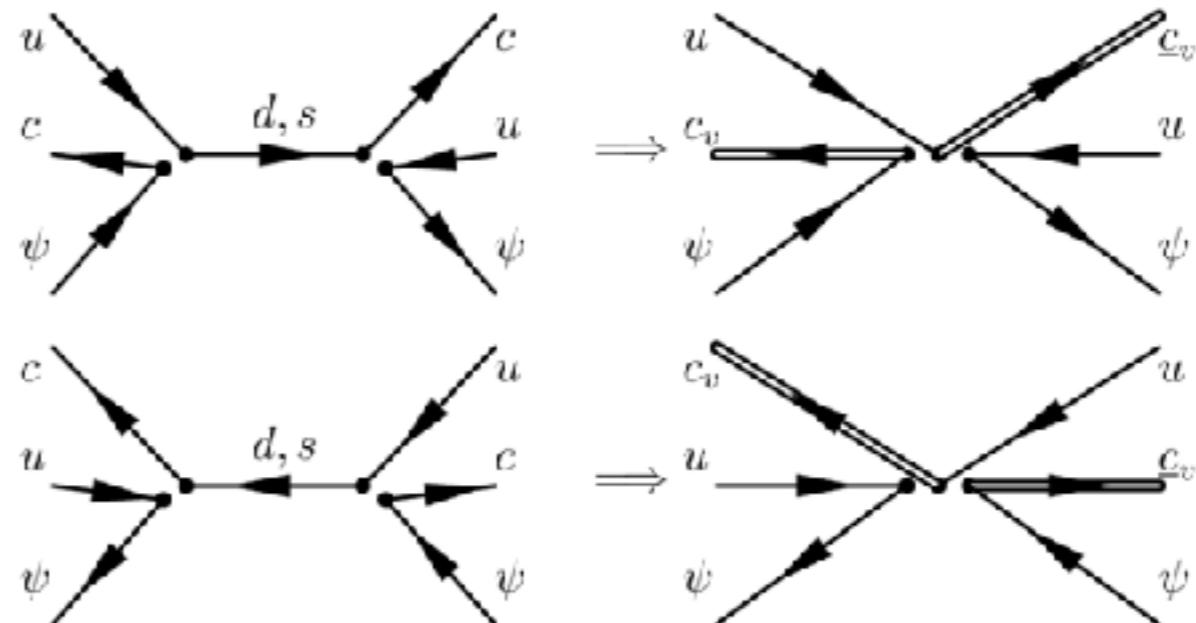
$$(\bar{c}_v \Gamma_1 u) (\bar{c}_v \Gamma_2 u)$$



D=9

Subleading in  $1/m_q$

$$(\bar{\psi} \Gamma_1 u) (\bar{c}_v \Gamma_2 \psi) (\bar{c}_v \Gamma_3 u)$$

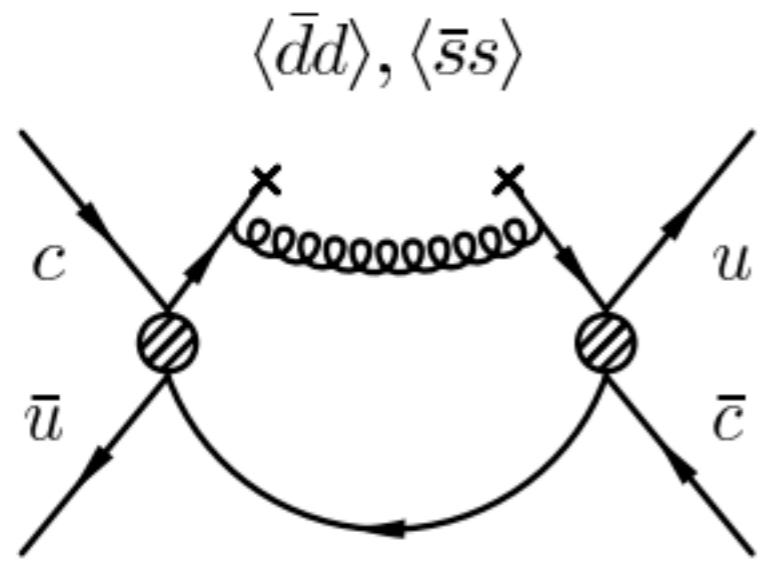


$\Gamma_i$  : color/Dirac structure

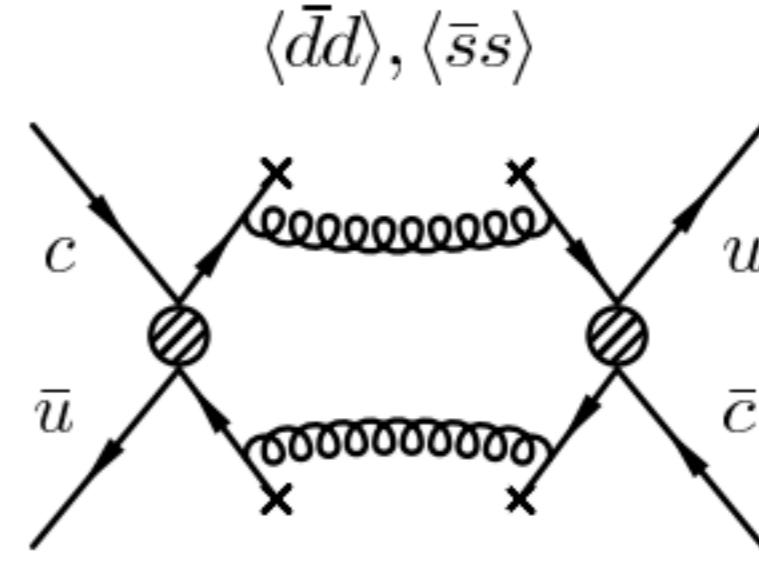
large  $m_q$  : leading term is dominant

# Effect of higher-dimensional operators

Bobrowski, Lenz and Riedl [1002.4794]



D=9



D=12

$$\mathcal{O}(\alpha_s(4\pi)\langle \bar{q}q \rangle/m_c^3)$$

$$\mathcal{O}(\alpha_s^2(4\pi)^2\langle \bar{q}q \rangle^2/m_c^6)$$

$y$	no GIM	with GIM
$D = 6, 7$	$2 \cdot 10^{-2}$	$5 \cdot 10^{-7}$
$D = 9$	$5 \cdot 10^{-4}$	?
$D = 12$	$2 \cdot 10^{-5}$	?

# Constraint of $D^0 \rightarrow \mu^+ \mu^-$ for $x \sim 1\%$

Golowich, Hewett, Pakvasa and Petrov, 2009

Model	$\mathcal{B}_{D^0 \rightarrow \mu^+ \mu^-}$	Current upper limit
Experiment	$\leq 1.3 \times 10^{-6}$	$Br[D^0 \rightarrow \mu^+ \mu^-] < 6.2 \times 10^{-9}$
Standard Model (SD)	$\sim 10^{-18}$	
Standard Model (LD)	$\sim \text{several} \times 10^{-13}$	
$Q = +2/3$ Vectorlike Singlet	$4.3 \times 10^{-11}$	
$Q = -1/3$ Vectorlike Singlet	$1 \times 10^{-11} (m_S/500 \text{ GeV})^2$	
$Q = -1/3$ Fourth Family	$1 \times 10^{-11} (m_S/500 \text{ GeV})^2$	
$Z'$ Standard Model (LD)	$2.4 \times 10^{-12}/(M_{Z'}(\text{TeV}))^2$	
Family Symmetry	$0.7 \times 10^{-18}$ (Case A)	
RPV-SUSY	$4.8 \times 10^{-9} (300 \text{ GeV}/m_{\tilde{d}_k})^2$	

TABLE I: Predictions for  $D^0 \rightarrow \mu^+ \mu^-$  branching fraction for  $x_D \sim 1\%$ . Experimental upper bound is a compilation from [16].

# Exclusive approach

exclusive sum       $D_{\pm}$  : CP eigenstate  
(CP limit)

$$y \approx \frac{\Gamma_+ - \Gamma_-}{2\Gamma} = \frac{1}{2} \sum_n (\mathcal{B}(D_+ \rightarrow n) - \mathcal{B}(D_- \rightarrow n))$$

For PP mode

$$\begin{aligned} y_{PP} = & \mathcal{B}(\pi^+\pi^-) + \mathcal{B}(\pi^0\pi^0) + \mathcal{B}(\pi^0\eta) + \mathcal{B}(\pi^0\eta') + \mathcal{B}(\eta\eta) + \mathcal{B}(\eta\eta') + \mathcal{B}(K^+K^-) + \mathcal{B}(K^0\bar{K}^0) \\ & - 2 \cos \delta_{K^+\pi^-} \sqrt{\mathcal{B}(K^-\pi^+)\mathcal{B}(K^+\pi^-)} - 2 \cos \delta_{K^0\pi^0} \sqrt{\mathcal{B}(\bar{K}^0\pi^0)\mathcal{B}(K^0\pi^0)} \\ & - 2 \cos \delta_{K^0\eta} \sqrt{\mathcal{B}(\bar{K}^0\eta)\mathcal{B}(K^0\eta)} - 2 \cos \delta_{K^0\eta'} \sqrt{\mathcal{B}(\bar{K}^0\eta')\mathcal{B}(K^0\eta')} . \end{aligned}$$

data is used to determine y

# Exclusive approaches

Exp:  $y = (0.651^{+0.063}_{-0.069})\%$  (CPV allowed)

## Topological approach

H-Y Cheng, C-W Chiang, 2010

two solutions

$$y_{PP} = (0.086 \pm 0.041)\%$$

$$y_{PV} = (0.269 \pm 0.253)\% (A, A1)$$

$$y_{PV} = (0.152 \pm 0.220)\% (S, S1)$$

H-Y Jiang, F-S Yu, Q. Qin, H-n. Li and C-D Lu, 2017

## Factorization assisted topological (FAT) approach

$$D \rightarrow PP \quad D \rightarrow PV \quad D \rightarrow VV$$

$$y_{PP+PV} = (0.21 \pm 0.07)\%, \text{ below data}$$

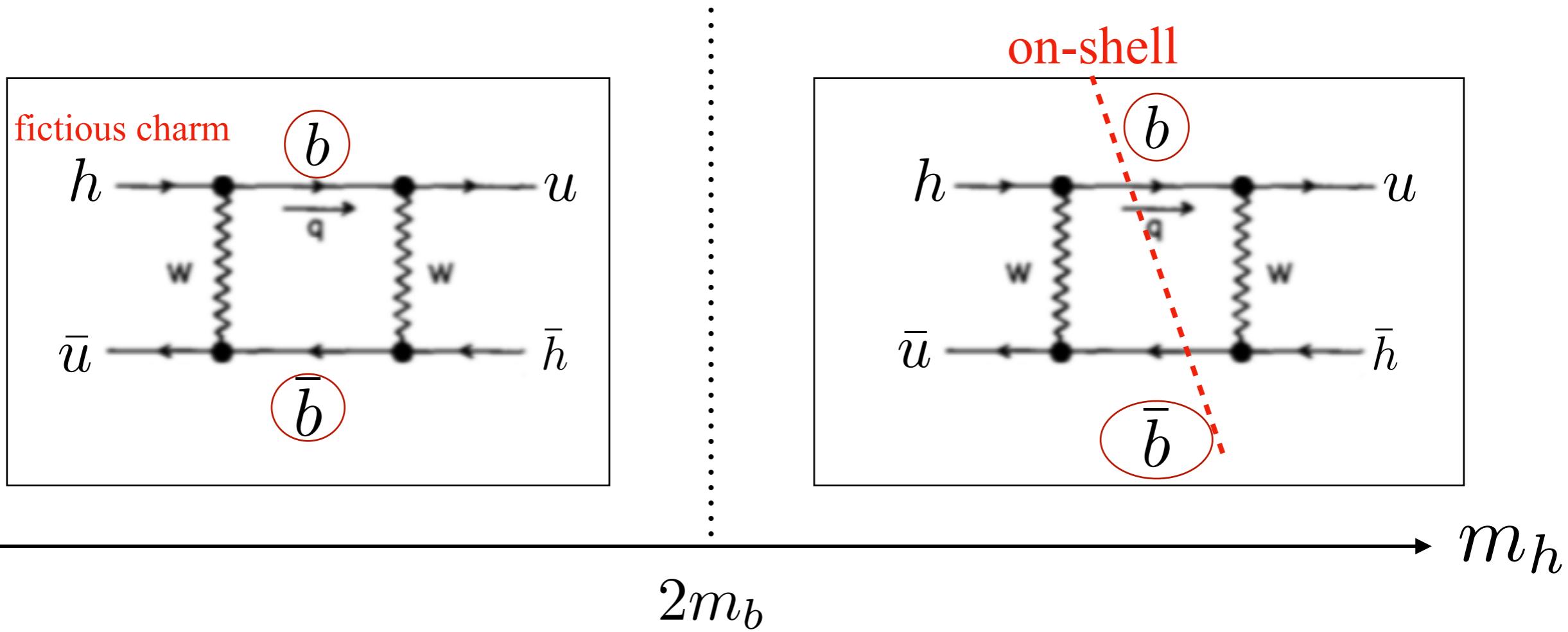
$VV$ :negligible

→ imply other two/multi-body final states' contribution

Exclusive → half-value explainable

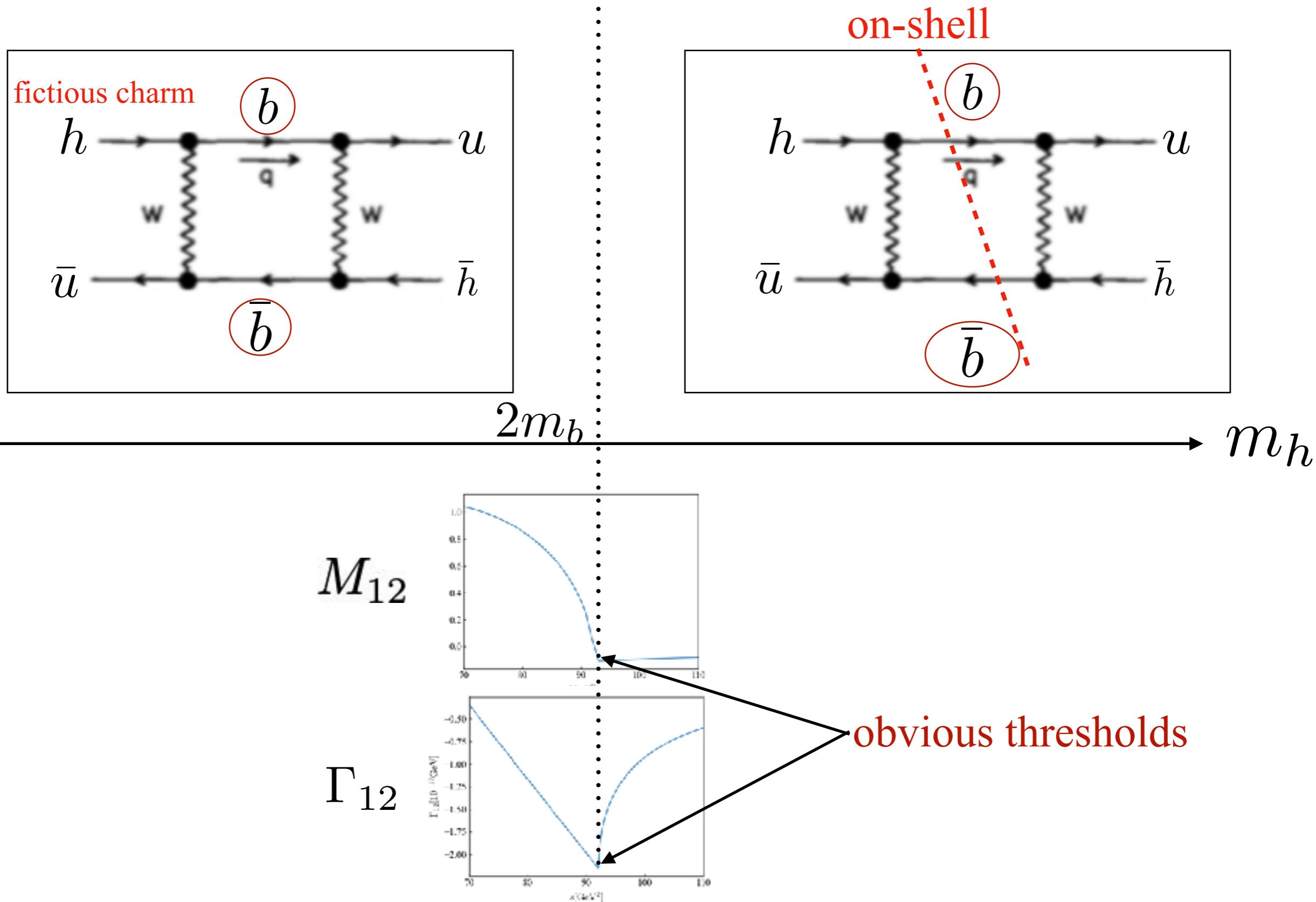
# thresholds

(Example: two bottom quarks)



(Example: two bottom quarks)

# thresholds



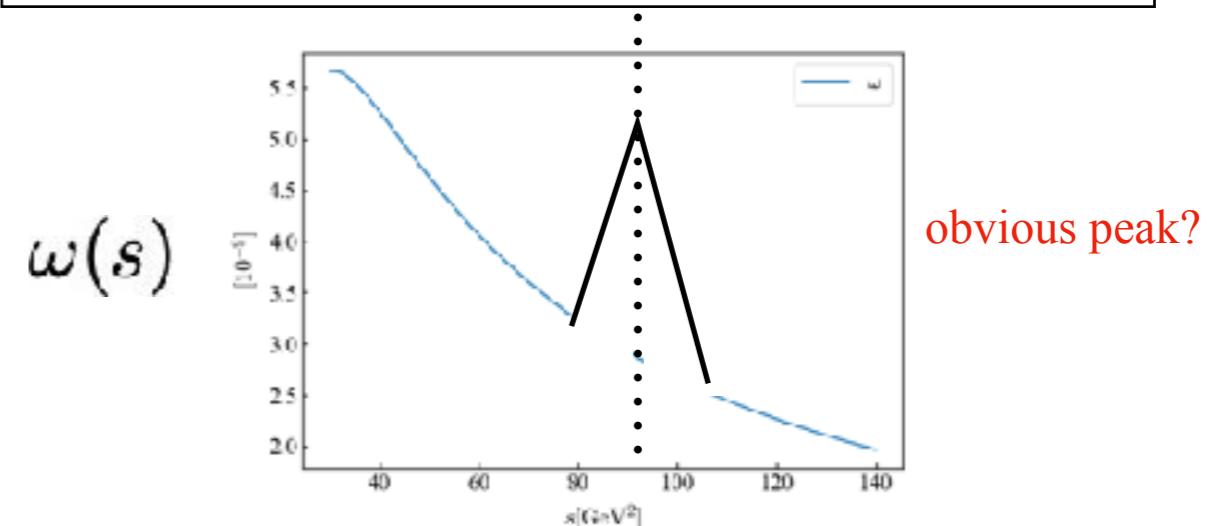
# thresholds

Problem to solve

$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$$

input (r.h.s.)

$$\omega(s) = \pi x(s) - P \int_\Lambda^\infty \frac{y(s')}{s - s'} ds'$$



# thresholds

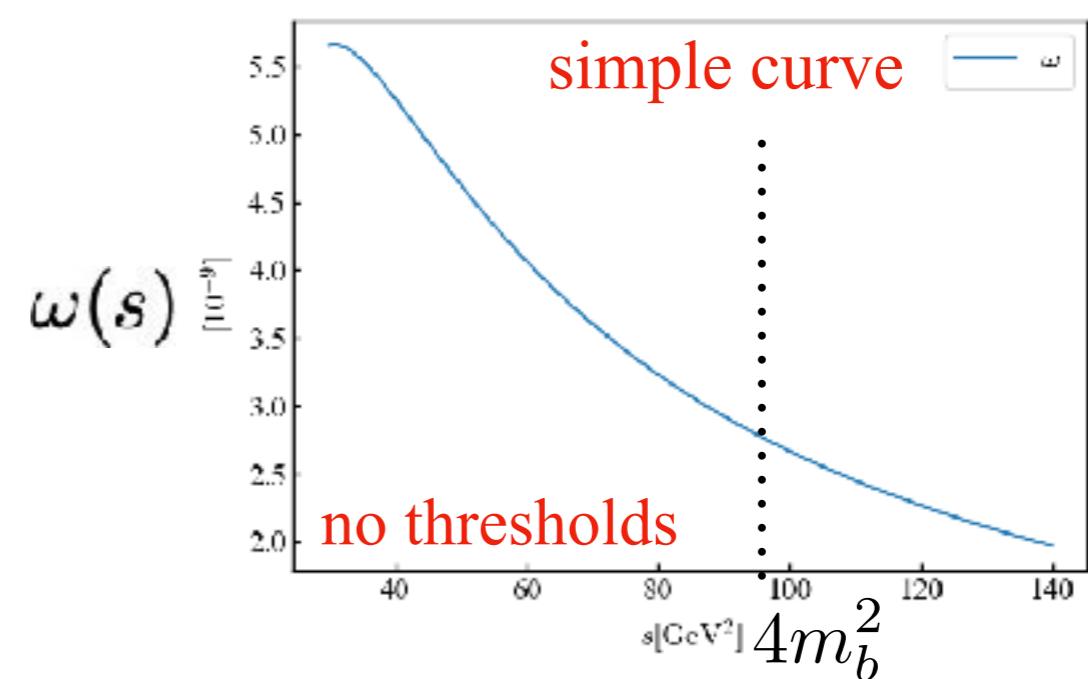
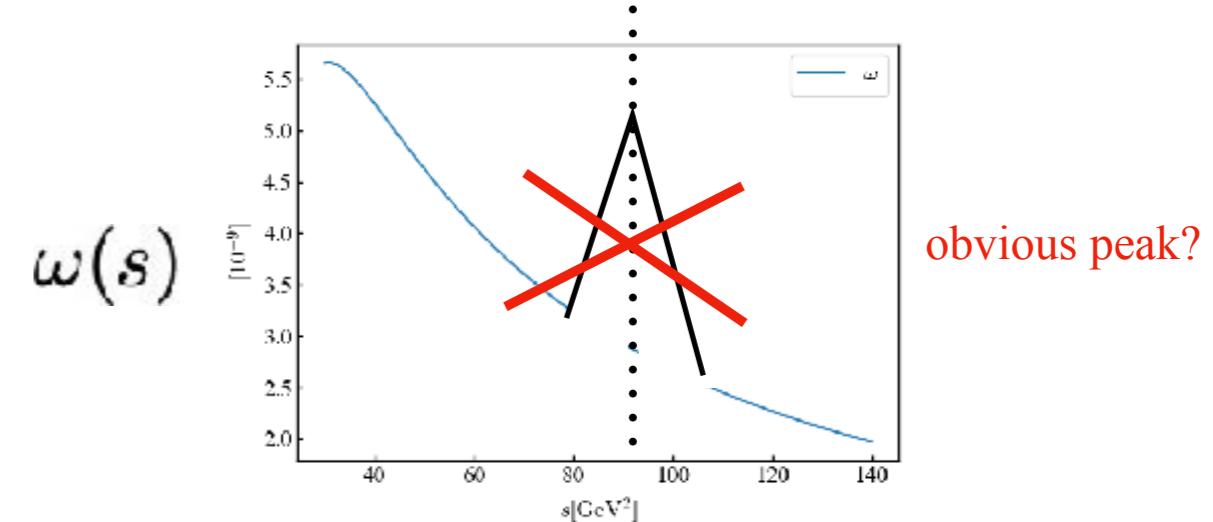
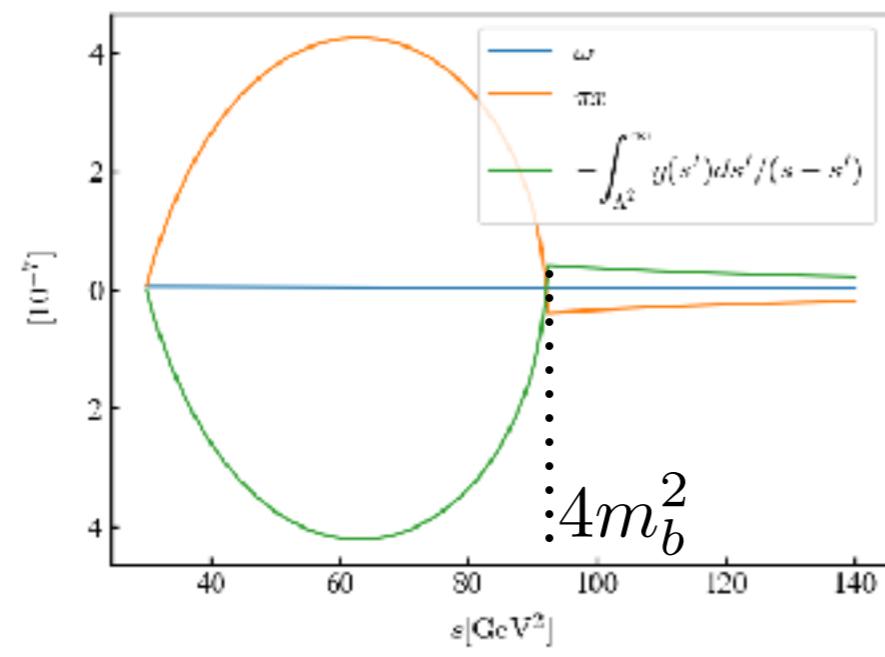
Problem to solve

$$\int_{4m_\pi^2}^\Lambda \frac{y(s')}{s - s'} ds' = \omega(s)$$

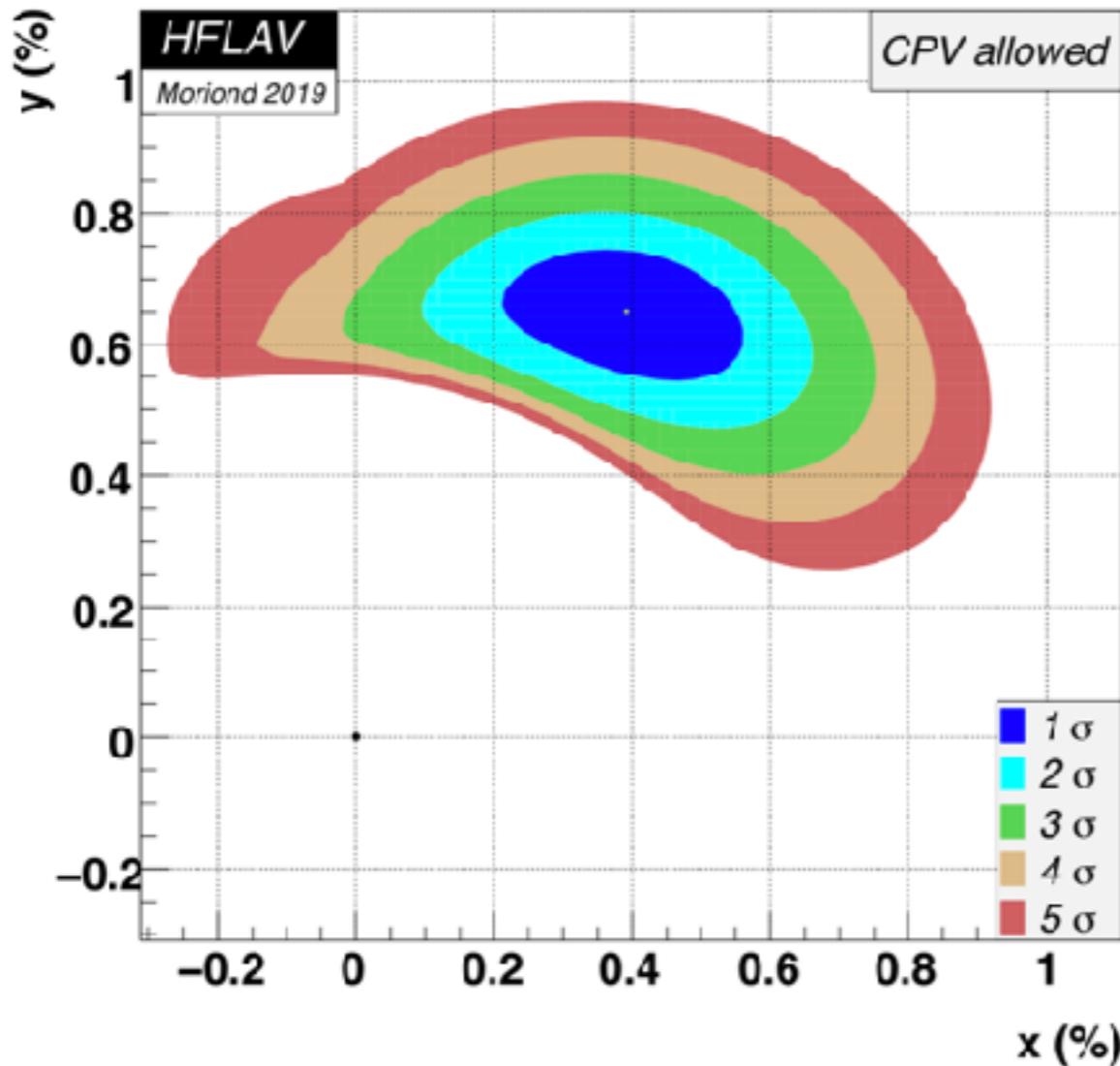
input (r.h.s.)

$$\omega(s) = \pi x(s) - P \int_\Lambda^\infty \frac{y(s')}{s - s'} ds'$$

Cancellation

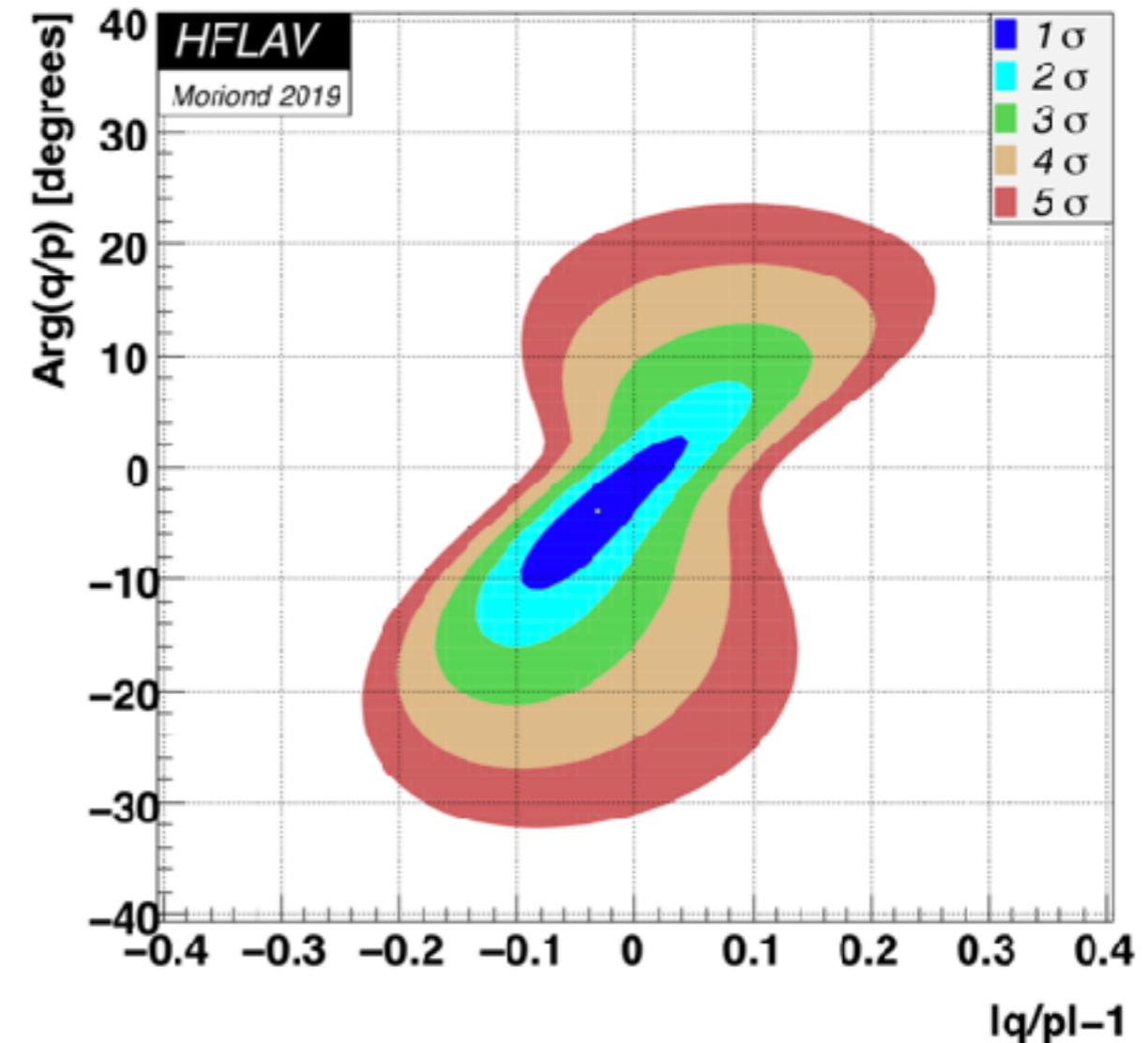


# $D^0 - \bar{D}^0$ mixing: experiment



$(x, y) = (0, 0)$  is excluded by  $>>11.5\sigma$

→ mixing is verified



$(|q/p| - 1, \text{Arg}(q/p)) = (0, 0)$  is arrowed

→ No signal for CP violation