

# Complete Prepotential of 5d Superconformal Field Theories

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**H.Hayashi,S.-S.Kim,KL,F.Yagi to appear soon**

5 SCFTs

Complete prepotential

Conclusion

# **5-dim SCFTs**

# 5-dim SCFTs

Seiberg (96): 5d  $N=1$  Super Yang-Mills theories with  $SU(2)$  gauge group and  $n$  fundamental hypers have a UV fixed point with enhanced global symmetry  $E_{n+1} \supset SO(2n) \times U(1)_I$

Instantons in  $R^{1+4}$  are solitons in 4+1 of mass  $8\pi^2/g^2 = m_0/2$

There is a conserved current  $J^\mu \sim \epsilon^{\mu\alpha\beta\gamma\delta} \text{tr}(F_{\alpha\beta}F_{\gamma\delta})$  with a conserved  $U(1)_I$  global symmetry

superconformal conformal group  $F(4) \supset SO(2,5) \times Sp(1)_R$

# 5-dim SCFTs

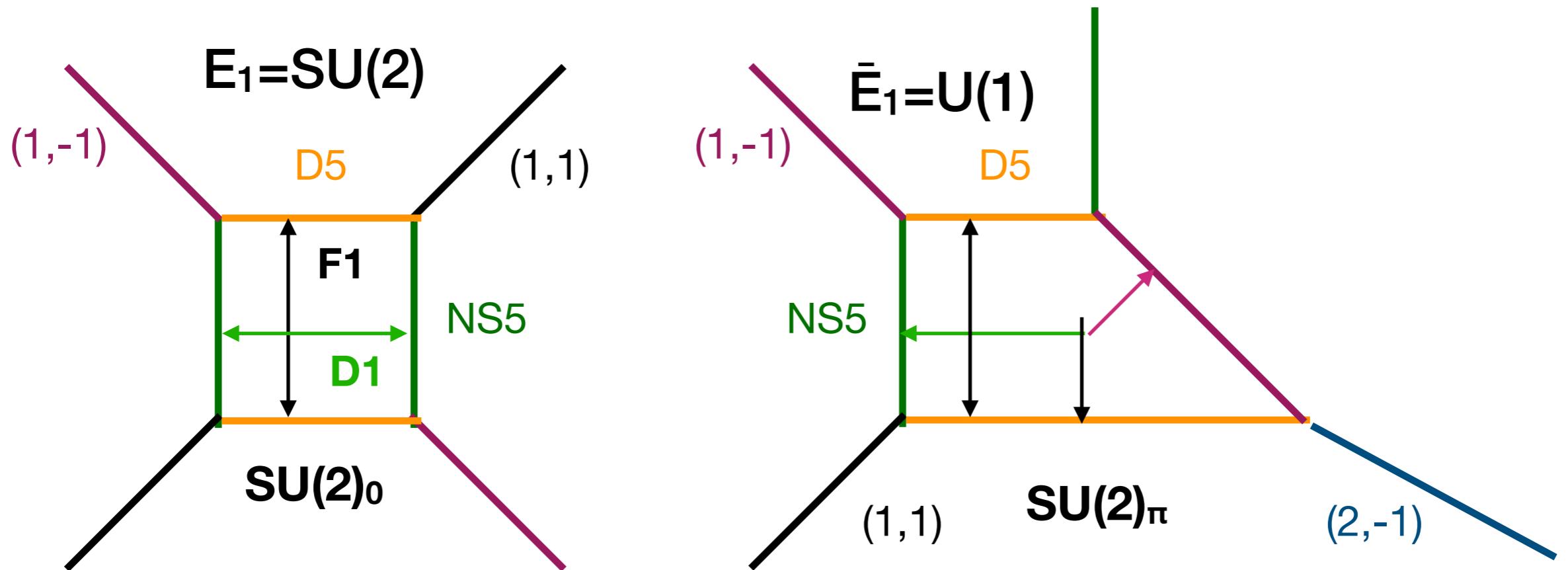
Morrison, Seiberg ('96): For  $n=0$ , one can have two options,  $\theta=0,\pi$ , with  $E_1, \bar{E}_1$  enhanced symmetry due to  $\pi_4(Sp(N))=\mathbb{Z}_2$ . There exists a non-Lagrangian theory of rank 1  $E_0$  without any global symmetry.

$E_0, \bar{E}_1 = U(1), E_1 = SU(2), E_2 = SU(2) \times U(1), E_3 = SU(3) \times SU(2),$   
 $E_4 = SU(5), E_5 = SO(10), E_6, E_7, E_8,$

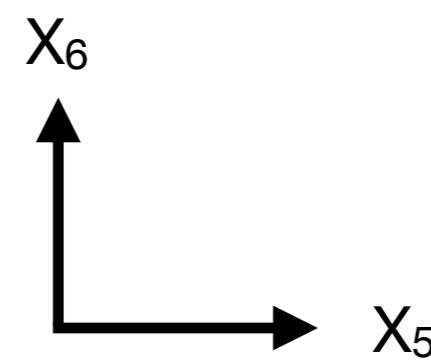
$\tilde{E}_8$ :  $SU(2) + 8$  hyper: completed in 6d (1,0) SCFT

- 5d pure SU(2) gauge theory with  $\theta=0,\pi$

Aharony, Hanany 97  
Aharony, Hanany, Kol 97



- A  $(p,q)$  5-brane ( $= p \text{ D5} + q \text{ NS5}$  branes) is a line with slope  $q/p$ .



# Nekrasov Partition Function

- $R^4_{\varepsilon_1 \varepsilon_2} \times S^1$  partition function
  - $Z = Z_{\text{pert}} Z_{\text{inst}}$ ,  $Z_{\text{inst}} = 1 + q Z_1 + q^2 Z_2 + q^3 Z_3 + \dots$   
$$q = e^{-m_0}$$
, instanton fugacity
  - counting BPS dyonic instanton index with with  $\Omega$ -deformation
  - topological vertex
- $Z = \exp(F) = \text{PE}(F_s)$

# Gopakumar-Vafa Invariant for SU(2) gauge theory

**small  $q=e^{-m_0/2}$ ,  $e^{-a}$  expansion**

Mitev,Pomoni,Taki,Yagi'14

- Invariant Coulomb parameter  $\tilde{a} = a + m_0/(8 - N_f)$
- Enhanced global symmetry  $\tilde{A} = e^{-\tilde{a}} = q^{-\frac{2}{8-N_f}} e^{-a}$
- $Z = \exp(F) = PE(F_s)$   $\mathcal{F} = \sum_{n=1}^{\infty} \frac{1}{n} \mathcal{F}_s(u^n, v^n, y_l^n, \tilde{A}^n)$

$$\mathcal{F}_s(u, v, \tilde{A}) = \frac{u}{(1 - uv)(1 - u/v)} \sum_{n, j_+, R}^{\infty} N_{j-, j_+}^{R, n} \chi_R(y_l) [j_-, j_+] \tilde{A}^n$$

$$[j_+, j_-] = (-1)^{2j_+ + 2j_- + 1} (u^{2j_-} + \dots u^{-2j_-})(v^{2j_+} + \dots v^{-2j_+}) \quad u = e^{-\epsilon_-}, \quad v = e^{-\epsilon_+}$$

$$\text{Spin Structure} = \left[ \left( \frac{1}{2}, 0 \right) + 2(0, 0) \right] \otimes [(j_-, j_+)]$$

## more hypers and vectors

$$[0,0] = -1, [0,\frac{1}{2}] = v + v^{-1}$$

$[0,0]\tilde{A}$  : perturbative hyper + instanton

$[0,1/2]\tilde{A}^2$  : perturbative vector + instantons

$$\mathcal{F}_s^{E_6} = \overline{27}[0,0]\tilde{A} + 27[0,\frac{1}{2}]\tilde{A}^2 + ([0,0] + 78[0,1] + [\frac{1}{2},\frac{3}{2}])\tilde{A}^3 + \dots$$

$$\overline{27}[0,0]\tilde{A} = (10q^{-\frac{2}{3}} + \overline{16}q^{\frac{1}{3}} + q^{\frac{4}{3}})[0,0]\tilde{A}$$

$$27[0,\frac{1}{2}]\tilde{A}^2 = (q^{-\frac{4}{3}} + 16q^{-\frac{1}{3}} + 10q^{\frac{2}{3}})[0,\frac{1}{2}]\tilde{A}^2$$

# **Complete Prepotential**

# IMS prepotential

- Intriligator-Morrison-Seiberg '97
- gauge group  $G \rightarrow U(1)^{r_G}$  in the Coulomb branch
- possible Chern-Simons for  $SU(N)$  gauge theory

$$\mathcal{F}(\phi) = \frac{1}{2}m_0 h_{ij}\phi_i\phi_j + \frac{\kappa}{6}d_{ijk}\phi_i\phi_j\phi_k + \frac{1}{12} \left( \sum_{r \in \text{roots}} |r \cdot \phi|^3 - \sum_f \sum_{w \in R_f} |w \cdot \phi - m_f|^3 \right)$$

# IMS prepotential

- IMS prepotential is cubic and one-loop exact
  - valid in small gauge coupling regime, perturbative
  - first derivative =monopole tension, second derivative=the Coulomb branch metric

$$T_a = \partial \mathcal{F} / \partial \phi_a, \tau_{ab} = \partial^2 \mathcal{F} / \partial \phi_a \partial \phi_b$$

- enhanced global symmetry may be not manifest.

# Complete prepotential

- Improve the IMS prepotential by requiring
  - valid in all flop-transition
  - enhanced global symmetry is manifest
- Complete prepotential = IMS prepotential + additional terms which could be cubic in the Coulomb parameters

$$\mathcal{F}_{total} = \mathcal{F}_{IMS} + \mathcal{F}_{additional}$$

# IMS prepotential for $SU(2) + N_f$

$$\begin{aligned}\mathcal{F}_{SU(2)} &= \frac{1}{2}m_0a^2 + \frac{4}{3}a^3 - \frac{1}{12}\sum_f |a \pm m_f|^2 \\ &= \frac{8-N_f}{6}a^3 + \frac{m_0}{2}a^2 - \frac{1}{2}\sum_f m_f^2 a + \frac{1}{6}\sum_f ||a \pm m_f||^3 \\ &= \frac{8-N_f}{6}\tilde{a}^3 - \frac{1}{2}\left(\frac{m_0^2}{8-N_f} + \sum_f m_f^2\right)\tilde{a} + \frac{1}{6}\sum_f \left|\left|\tilde{a} - \frac{m_0}{8-N_f} \pm m_f\right|\right|^3\end{aligned}$$

$$\tilde{a} = a + m_0/(8 - N_f), \quad \|f\| = \theta(-f)f, \quad |f| = f - 2\|f\|$$

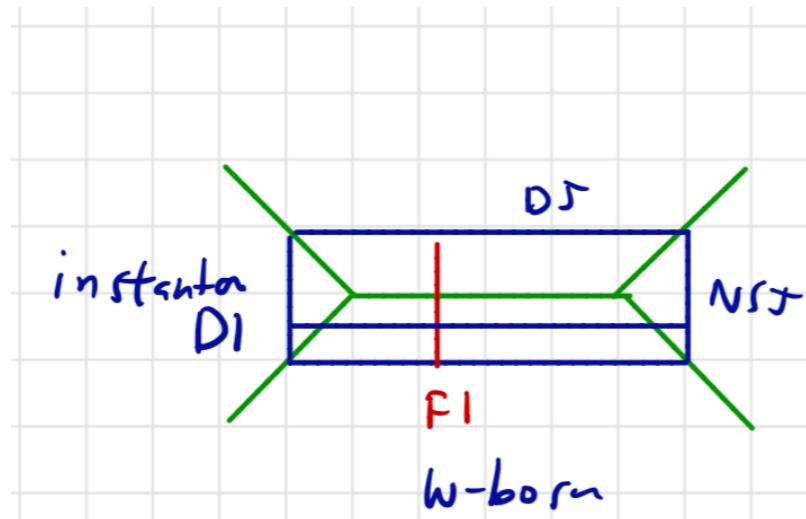
Invariant Coulomb

$$y_l = \{e^{\pm m_1}, e^{\pm m_2}, \dots e^{\pm m_f}, q\} \quad \text{for } SO(2N_f) \times U(1)_l$$

# E<sub>1</sub>=SU(2)

$$\mathcal{F}_{E_1} = 2[0, \frac{1}{2}] \tilde{A}^2 + \dots$$

$$2[0, \frac{1}{2}] \tilde{A}^2 = (q^{-\frac{1}{2}} + q^{\frac{1}{2}})[0, \frac{1}{2}] \tilde{A}^2$$



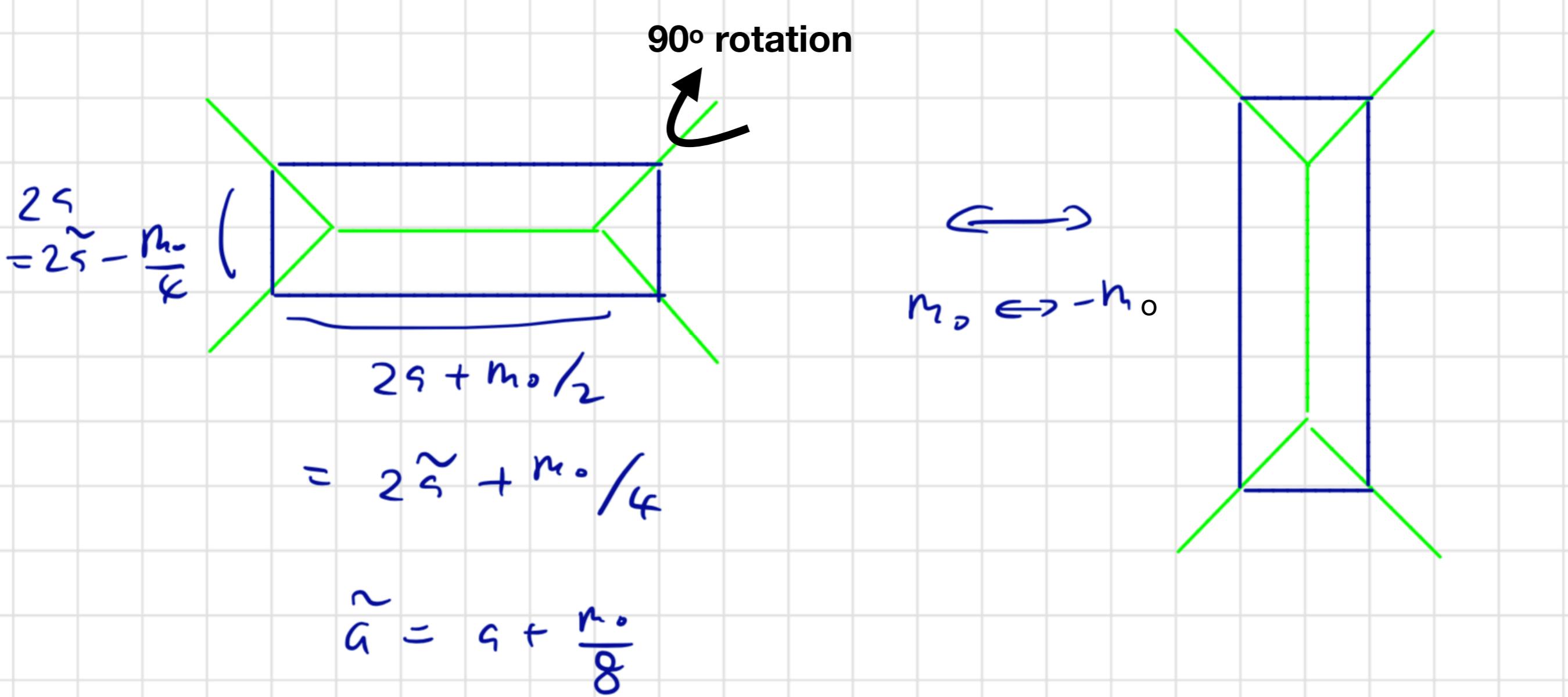
$$\tilde{A}^2 = A^2 q^{\frac{1}{2}} \quad q = e^{\frac{m}{2}}, \quad \tilde{a} = a + \frac{m}{g}$$

$$(q^{-\frac{1}{2}} + q^{\frac{1}{2}}) \tilde{A}^2 \\ = (1 + q) e^{-a},$$

Under the Weyl of  $SU(2)$ , keep  $\tilde{A}$  invariant, and  $q \leftrightarrow q^{-1}$

**invariant under  $m_0 \rightarrow -m_0$**

# E<sub>1</sub>=SU(2)



$$\mathcal{F}^{E_1} = \frac{4}{3}a^3 + \frac{1}{2}m_{E_1}a^2 = \frac{4}{3}\tilde{a}^3 - \frac{1}{8}m_{E_1}^2\tilde{a}$$

invariant under  $m \rightarrow -m$

# role of BPS objects in prepotential

- IMS prepotential for  $SU(2)_0$  is cubic and one-loop exact
  - only single W-boson contribution
  - matter contribution
  - enhanced global symmetry

**E<sub>2</sub>=SU(2)×U(1)**

**SU(2)+N<sub>f</sub>=1**

**IMS**

$$\begin{aligned}\mathcal{F}_{E_2} &= \frac{1}{2}m_0a^2 + \frac{4}{3}a^3 - \frac{1}{12}|a \pm m_1|^2 \\ &= \frac{4}{3}a^3 + \frac{1}{2}m_0a^2 - \frac{1}{6}a^3 - \frac{1}{2}m_1^2a + \frac{1}{6}||a \pm m_1||^3\end{aligned}$$

# **E<sub>2</sub>=SU(2)xU(1)**

**Complete**

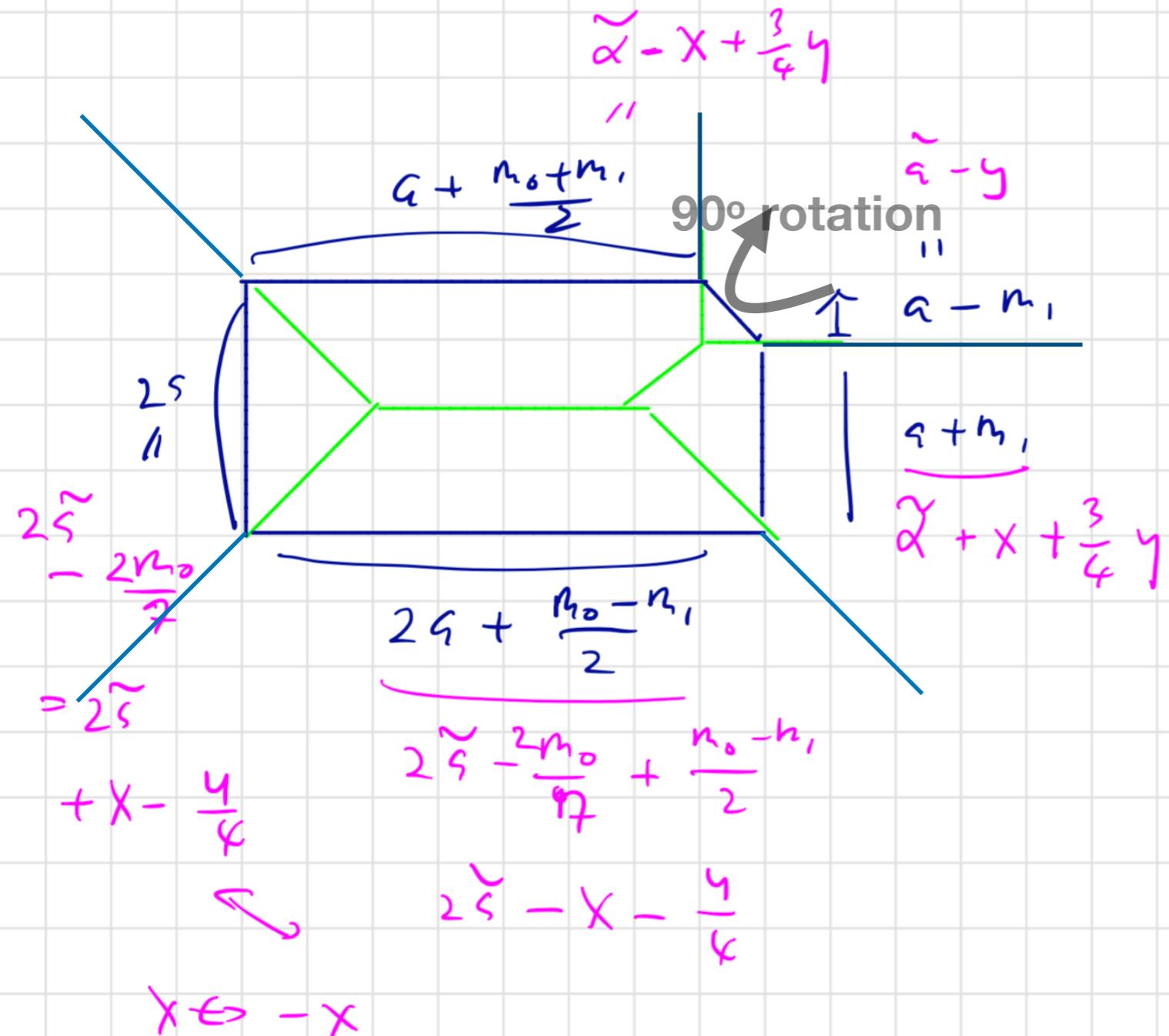
$$\begin{aligned}
 \mathcal{F}_{E_2} &= \frac{1}{2}m_0a^2 + \frac{4}{3}a^3 - \frac{1}{12}|a \pm m_1|^2 \\
 &= \frac{4}{3}a^3 + \frac{1}{2}m_0a^2 - \frac{1}{6}a^3 - \frac{1}{2}m_1^2a + \frac{1}{6}||a \pm m_1||^3 && \text{SU(2) doublet} \\
 &= \frac{7}{6}a^3 + \frac{1}{2}m_0a^2 - \frac{1}{2}m_1^2a + \frac{1}{6}||a + m_1||^3 + \frac{1}{6}||a - m_1||^3 + \frac{1}{6}||a + \frac{1}{2}(m_0 + m_1)||^3 \\
 &= \frac{7}{6}\tilde{a}^3 - (4x^2 + \frac{y^2}{16})\tilde{a} + \frac{1}{6}||\tilde{a} - \frac{4}{7}y||^3 + \frac{1}{6}||\tilde{a} \pm x + \frac{3}{4}y||^3
 \end{aligned}$$

$$||f|| = \theta(-f)f, \quad \tilde{a} = a + \frac{1}{7}m_0, \quad x = -\frac{1}{4}(m_0 - m_1), \quad y = \frac{m_0}{7} + m_1$$

**E<sub>2</sub> = SU(2)xU(1)**

**invariant under x→-x,y→y**

# E<sub>2</sub> S-duality

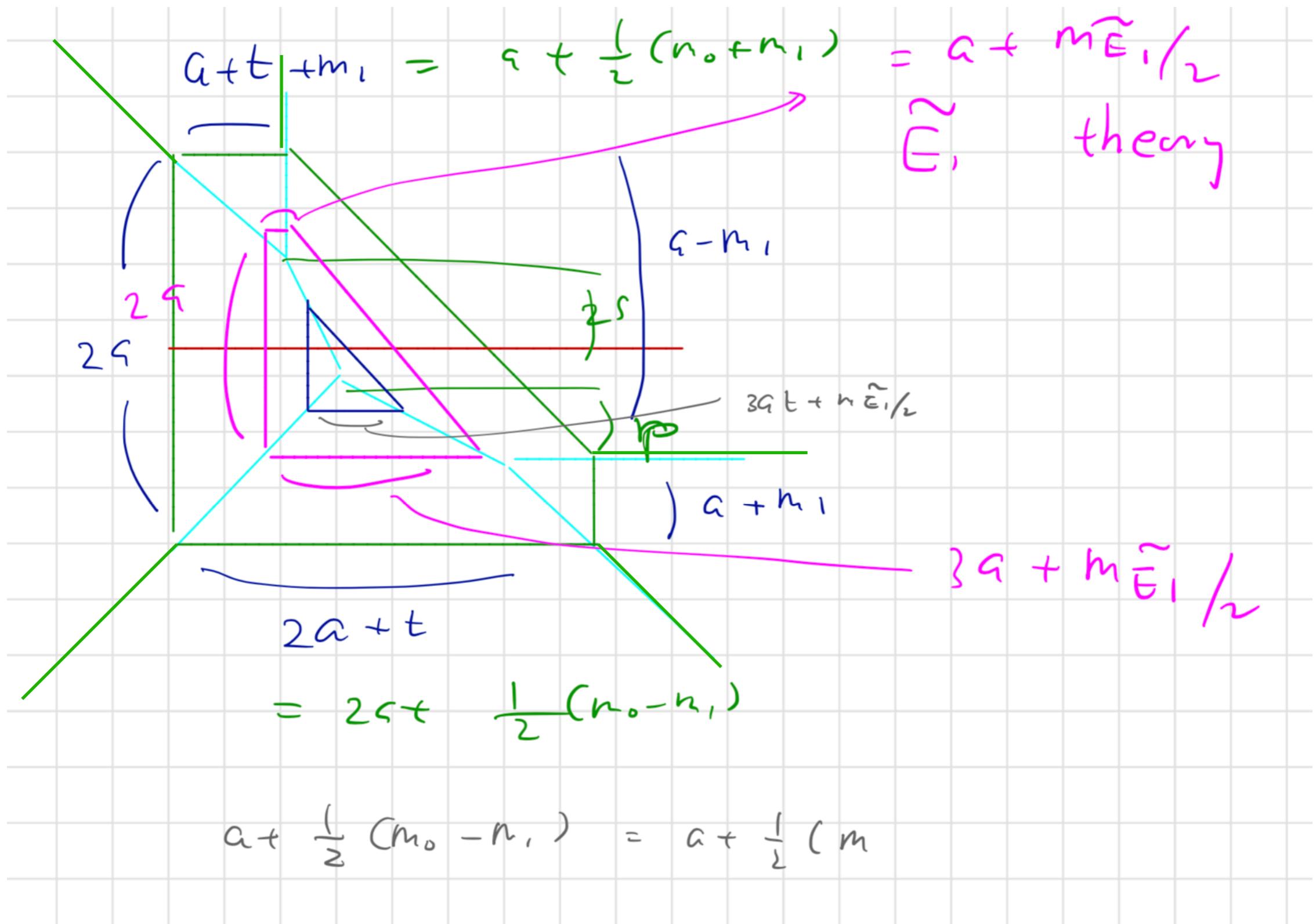


$$\begin{aligned}\tilde{\alpha} &= a + \frac{m_0}{7} \\ y &= \frac{m_0}{7} + m_1 : \text{inv} \\ X &= -\frac{m_0 - m_1}{4}\end{aligned}$$

**E<sub>2</sub> = SU(2) × U(1):**  $x \rightarrow -x, y \rightarrow y,$

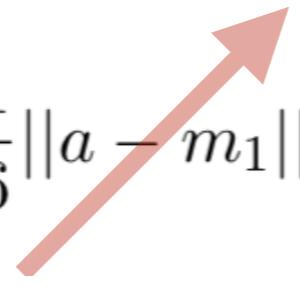
$$E_2 \rightarrow \tilde{E}_1 \rightarrow E_0$$

$$\mathbf{SU(2) \times U(1)} \rightarrow \mathbf{U(1)} \rightarrow \mathbf{0}$$



$$E_2 \rightarrow \tilde{E}_1 \rightarrow E_0$$

$$\mathcal{F}_{E_2} = \frac{7}{6}a^3 + \frac{1}{2}m_0a^2 - \frac{1}{2}m_1^2a + \frac{1}{6}\|a - m_1\|^3 + \frac{1}{6}\|a + m_1\|^3 + \frac{1}{6}\|a + \frac{1}{2}(m_0 + m_1)\|^3$$



$a > 0, m_1 < 0$

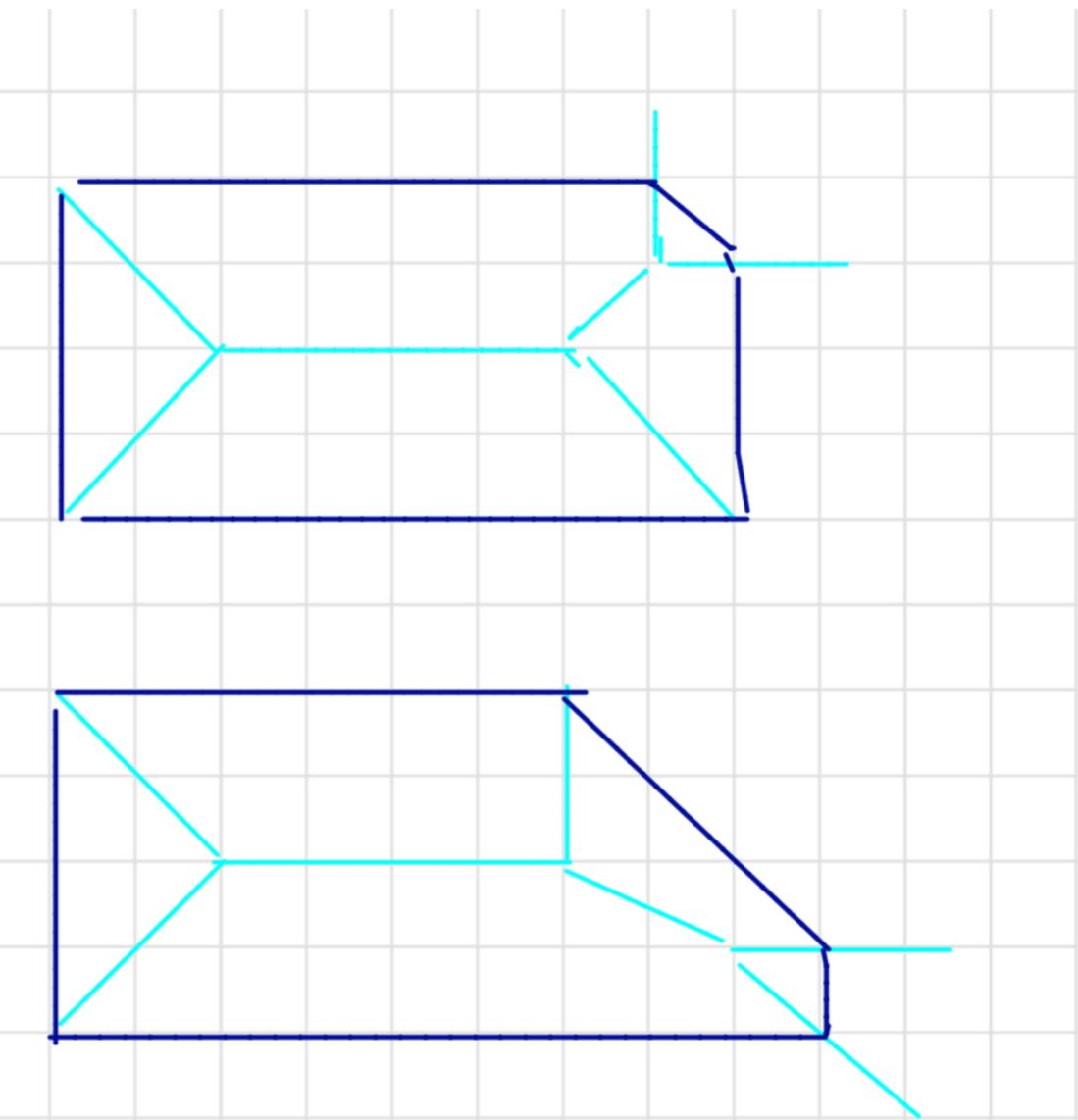
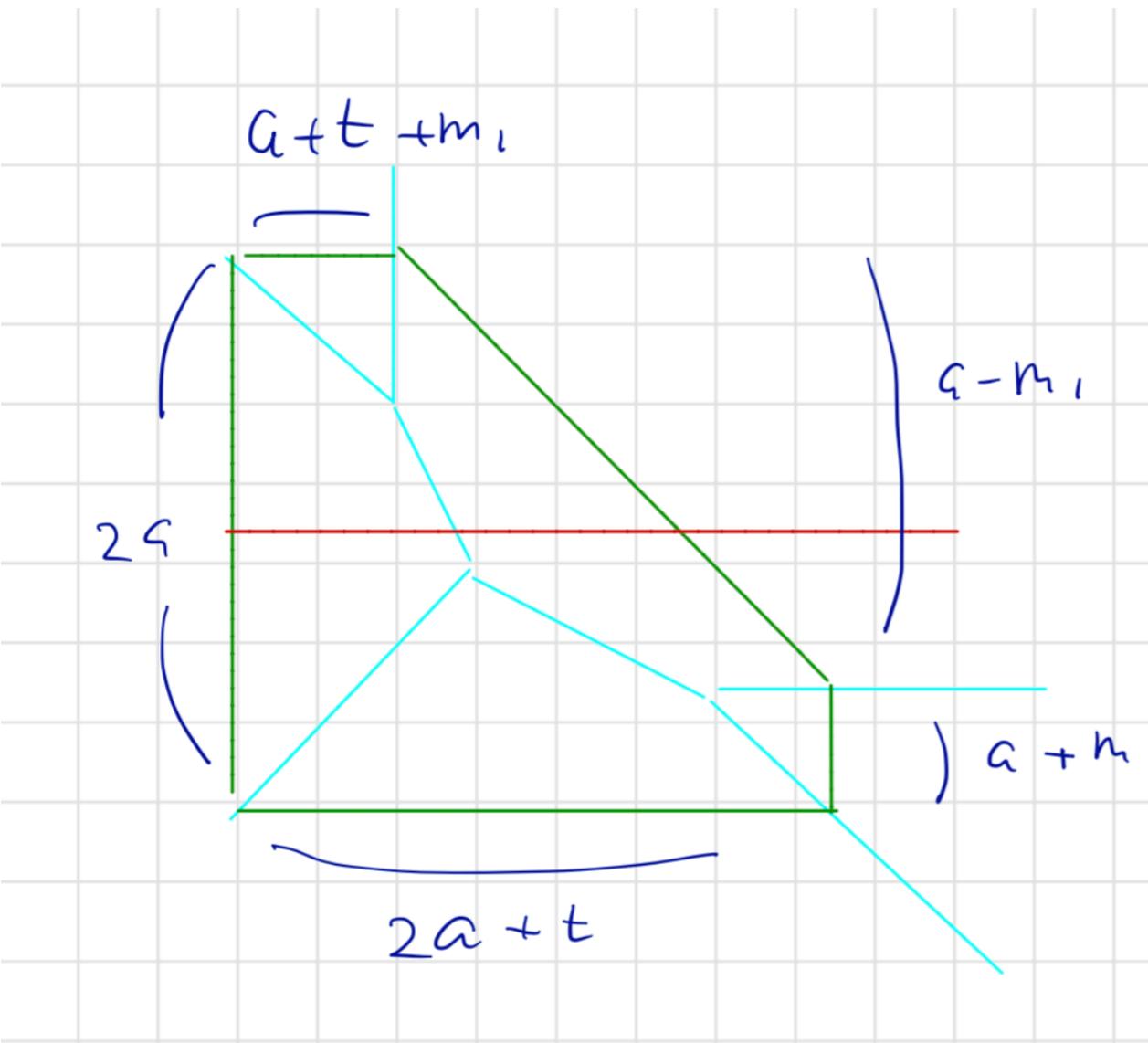
As  $a + m_1 < 0$  and  $m_0 + m_1$  fixed

$$\begin{aligned}\mathcal{F}_{\tilde{E}_1} &= \frac{7}{6}a^3 + \frac{1}{2}m_0a^2 - \frac{1}{2}m_1^2a + \frac{1}{6}(a + m_1)^3 + \frac{1}{6}\|a + \frac{1}{2}(m_0 + m_1)\|^3 \\ &= \frac{4}{3}a^3 + \frac{1}{2}m_{\tilde{E}_1}a^2 + \frac{1}{6}\|a + \frac{1}{2}m_{\tilde{E}_1}\|^3, \quad m_{\tilde{E}_1} = m_0 + m_1\end{aligned}$$

when  $a + \frac{m_{\tilde{E}_1}}{2} < 0$

$$\begin{aligned}\mathcal{F}_{E_0} &= \frac{4}{3}a^3 + \frac{1}{2}m_{\tilde{E}_1}a^2 + \frac{1}{6}(a + \frac{1}{2}m_{\tilde{E}_1})^3 \\ &= \frac{3}{2}a_{E_0}^3, \quad a_{E_0} = a + \frac{1}{6}m_{\tilde{E}_1}\end{aligned}$$

# $E_2, E_1, \tilde{E}_1, E_0$



$$T = (2a + t)(a + m_1) + \frac{1}{2}(a - m_1)(a + t + m_1 + 2a + t) = \frac{7}{2}a^2 + (2t + m_1)a - \frac{1}{2}m_1^2$$

$$T = \frac{d\mathcal{F}}{da} = \frac{7}{2}a^2 + m_0a - \frac{1}{2}m_1^2 \quad t = \frac{1}{2}(m_0 - m_1)$$

# **SU(2)+N<sub>f</sub>=7: E<sub>8</sub> global**

$$\tilde{a} = a + m_0, \tilde{A} = q^{-2}A, \quad e^{-\tilde{a}} = e^{2 \cdot \frac{m_0}{2}} e^{-a} \quad y_i = e^{-m_i}, i = 0, 1 \dots 7$$

$$6\mathcal{F}_{IMS} = a^3 + 3m_0a^2 - 3 \sum_{k=1}^7 m_i^2 a + \sum_{k=1}^7 \|a \pm m_a\|^3$$

$$6\mathcal{F} = \tilde{a}^3 - 3 \sum_{k=0}^7 m_i^2 \tilde{a} + \sum_{k=1}^7 \|\tilde{a} - m_0 \pm m_a\|^3 + \text{a lot more terms expected}$$

**14 terms**

$$248 \rightarrow 14_{-2} + 64_{-1} + 91_0 + 1_0 + 64_1 + 14_2 \text{ of } SO(14)$$

**GV invariants**

$$\mathcal{F}_s = \mathbf{248}[0,0]\tilde{A} + \dots$$

$$\mathcal{F}_s = (14q^{-2} + 64q^{-1} + 91 + 1 + \overline{64}q + 14q^2)[0,0]\tilde{A} + \dots$$

# SU(2)+N<sub>f</sub>=7: E<sub>8</sub> global

Add Weyl Reflections of SO(14)       $\pm m_0 \pm m_i, m_i \pm m_j$  ( $a \neq b$ )

$$\mathcal{F}_1 = \frac{1}{6} \tilde{a}^3 - \frac{1}{2} \sum_{k=0}^7 m_i^2 \tilde{a} + \sum_{0 \leq i < j \leq 7} \frac{1}{6} \| \tilde{a} \pm m_i \pm m_j \|^3$$

$SO(16)$  120  $\rightarrow$  14<sub>-2</sub> ++ 91<sub>0</sub> + 1<sub>0</sub> + 14<sub>2</sub> of  $SO(14)$

248  $\rightarrow$  14<sub>-2</sub> + 64<sub>-1</sub> + 91<sub>0</sub> + 1<sub>0</sub> + 64<sub>1</sub> + 14<sub>2</sub> of  $SO(14)$

Add E<sub>8</sub> Weyl Reflections       $m_i \rightarrow m_i - \frac{1}{4} \sum_{j=0}^7 m_j$        $m_0 + m_1 \rightarrow \frac{m_0 + m_1 - m_2 \cdots m_7}{2}$

$$\mathcal{F}_2 = \frac{1}{6} \tilde{a}^3 - \frac{1}{2} \sum_{k=0}^7 m_i^2 \tilde{a} + \frac{1}{6} \sum_{0 \leq i < j \leq 7} \| \tilde{a} \pm m_i \pm m_j \|^3 + \frac{1}{6} \sum_{\text{even+}} \| \tilde{a} \pm \frac{\pm m_0 \cdots m_7}{2} \|^3$$

$$\mathcal{F}_2 = \frac{1}{6} \tilde{a}^3 - \frac{1}{2} \sum_{k=0}^7 m_i^2 \tilde{a} + \frac{1}{6} \sum_{w \in 248} \| \tilde{a} + w \cdot \mathbf{m}_j \|^3$$

Complete Prepotential

# **SU(2)+N<sub>f</sub>=7: E<sub>8</sub> global**

Additional Checks:  
pq 5 brane webs,  
geometry, GV invariant  
and prepotential in small  
 $\epsilon_1, \epsilon_2$  limit

# Sp(2)+N\_f=9: SO(18) → SO(20)

$$\tilde{a}_1 = a_1 + m_0, \quad a_2, \quad M_i = \{m_0, m_1 \cdots m_9, -m_0, \cdots -m_9\}$$

$$\mathcal{F}_1 = \frac{1}{6}\tilde{a}_1^3 - \frac{1}{3}\tilde{a}_2^3 + \tilde{a}_1\tilde{a}_2 - \frac{1}{2} \sum_{k=0}^9 m_i^2(\tilde{a}_1 + \tilde{a}_2)$$

$$\mathcal{F}_2 = \frac{1}{6} \sum_{0 \leq i < j \leq 9} \|\tilde{a}_1 \pm m_i \pm m_j\|^3 \quad \text{SO(20) adjoint}$$

$$\mathcal{F}_3 = \frac{1}{6} \sum_{i=0}^9 \|\tilde{a}_2 \pm m_i\|^3 \quad \text{SO(20) vector}$$

$$\mathcal{F}_4 = \frac{1}{6} \sum_{s_i=\pm 1/2} \|\tilde{a}_1 + \tilde{a}_2 + \sum_{i=0}^9 s_i m_i\|^3 \quad \text{SO(20) spinors}$$

$$\mathcal{F}_5 = \frac{1}{6} \sum_{i_1 < i_2 \cdots i_5} \|2\tilde{a}_1 + \tilde{a}_2 + \sum_{k=1}^5 \pm m_{i_k}\|^3 \quad \text{rank 5 anti-symmetric}$$

# $\text{Sp}(2) + \mathbf{N}_f = 9$ : [3]-SU(2)-SU(2)-[4]

$$\tilde{a}_1 = m_0^{(1)} + m_0^{(2)} + a^{(2)}, \quad \tilde{a}_2 = \frac{1}{2}m_0^{(1)} + a^{(1)} - a^{(2)}$$

$$\mathcal{F}_1 = \frac{1}{6}\tilde{a}_1^3 - \frac{1}{3}\tilde{a}_2^3 + \tilde{a}_1\tilde{a}_2 - \frac{1}{2}\sum_{k=0}^9 m_k^2(\tilde{a}_1 + \tilde{a}_2)$$

$$\mathcal{F}_2 = \frac{1}{6}\sum_{0 \leq i < j \leq 9} \|\tilde{a}_1 \pm m_i \pm m_j\|^3 \quad \text{IMS for } \mathbf{a}^{(2)}$$

$$\mathcal{F}_3 = \frac{1}{6}\sum_{i=0}^9 \|\tilde{a}_2 \pm m_i\|^3 \quad \text{IMS for bifundamental}$$

$$\mathcal{F}_4 = \frac{1}{6}\sum_{s_i=\pm 1/2} \|\tilde{a}_1 + \tilde{a}_2 + \sum_{i=0}^9 s_i m_i\|^3 \quad \text{IMS for } \mathbf{a}^{(1)}$$

$$\mathcal{F}_5 = \frac{1}{6}\sum_{i_1 < i_2 \cdots i_5} \|2\tilde{a}_1 + \tilde{a}_2 + \sum_{k=1}^5 \pm m_{i_k}\|^3 \quad \text{IMS for bi-fundamental}$$

# [5]-SU(2)-SU(2)<sub>0</sub>: E<sub>8</sub> global symmetry

Use the duality map: Sp(2)+AS+7F = [1]-SU(2)-SU(2)-[5]

Brane picture

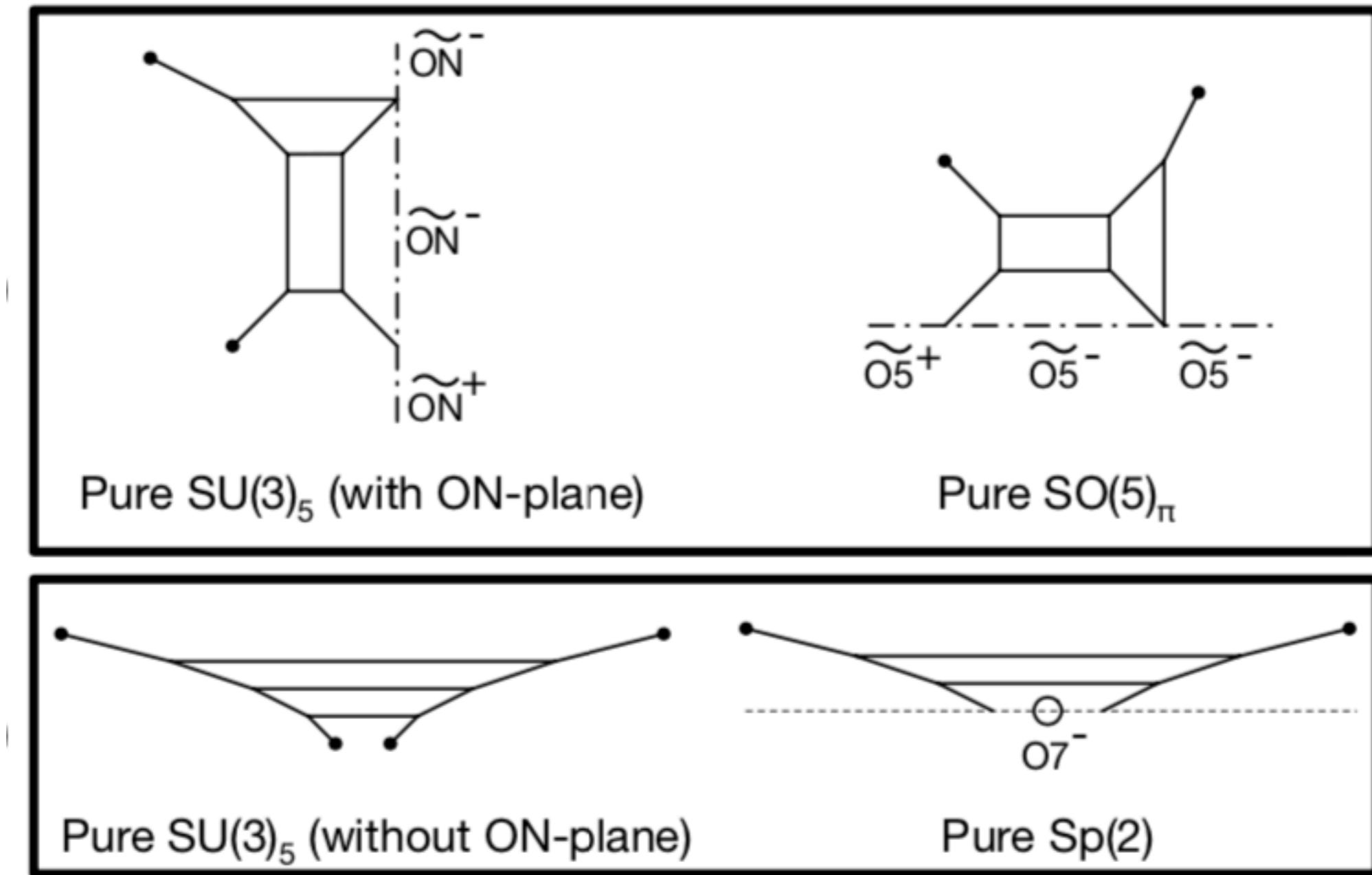
Apruzzi, Lawri, Lin, Schafer-Nameki, Wang'19

Prepotential  $\mathcal{F} = \mathcal{F}_1 + \mathcal{F}_2$

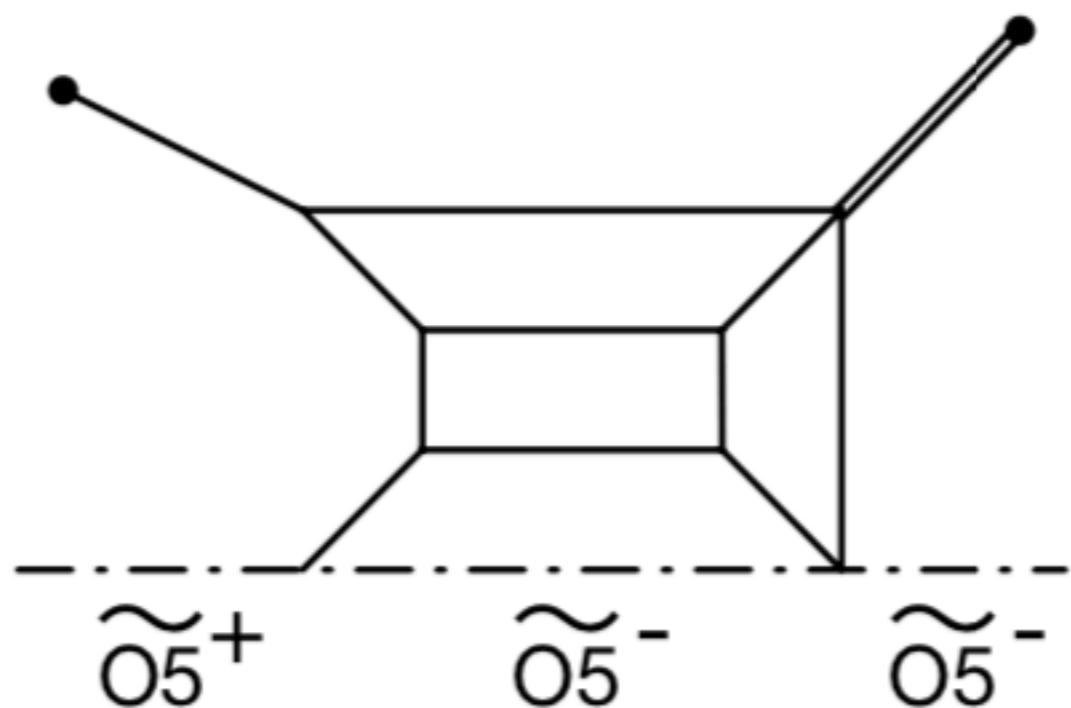
$$\mathcal{F}_1 = \frac{1}{6}(\tilde{a}_1^3 + \tilde{a}_2^3) - \frac{1}{2} \sum_{i=0}^7 m_i^2 (\tilde{a}_1 + \tilde{a}_2) + \|\tilde{a}_1 + \tilde{a}_2 + \sum_{i=0}^9 s_i m_i\|^3 + \frac{1}{6} \|\tilde{a}_1 - \tilde{a}_2\|^3$$

$$\mathcal{F}_2 = \frac{1}{6} \sum_{w \in 248} \|\tilde{a}_2 + w \cdot \mathbf{m}\|^3 + \frac{1}{6} \sum_{w \in 3875} \|\tilde{a}_2 + w \cdot \mathbf{m}\|^3 + \frac{1}{6} \sum_{w \in 30380} \|\tilde{a}_2 + w \cdot \mathbf{m}\|^3$$

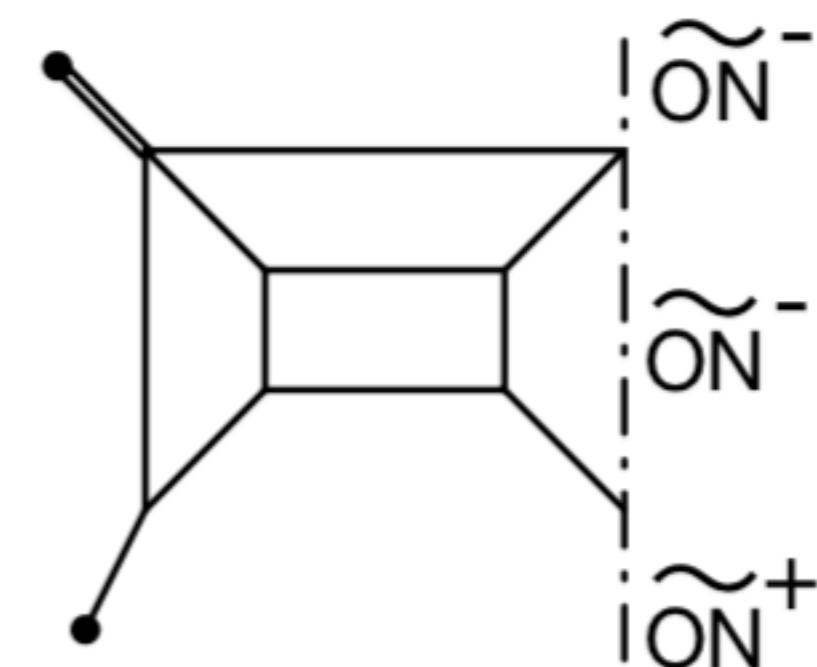
$$\text{SU}(3)_5 \leftrightarrow \text{Sp}(2)_{\pi}$$



$$\text{SU}(3)_7 \leftrightarrow \text{G}_2$$



Pure  $\text{G}_2$



Pure  $\text{SU}(3)_7$

# **Conclusion**

# Complete prepotential

- All the regions of the flop transition is included.
- the detail relation between complete prepotential and GV invariant is found.
  - the vector contribution is S-invariant
  - only BPS hypermultiplets which flop contribute to the additional expression
- The complete prepotential is S-dual invariant and has manifest global symmetry.

$$\mathcal{F}_{complete} = \mathcal{F}_{IMS} + \mathcal{F}_{add}$$

# Complete prepotential

- It would provide an additional tool for analysis of 5d, 6d SCFTs and LSTs.
- A great tool to test dualities between the various IR descriptions of a given UV theory.